

Exhibit 300: Capital Asset Plan and Business Case Summary**Part 1: Summary Information And Justification (All Capital Asset)**

1. Date of Submission: February 1, 2018
2. Agency: Department of Justice
3. Bureau: Bureau of Prisons (BOP)
4. Name of Investment: FCI Midwestern/Leavenworth, KS
5. Justification for Investment:

Federal Correctional Institution (FCI) Midwestern/Leavenworth, Kansas will be designed as a 1,152 bed medium security prison with a 256 bed work camp (minimum security). The project will be awarded as a design/build project using a negotiated procurement which allows contractors input on all aspects of the project. FCI Midwestern/Leavenworth, Kansas received partial site and planning funds in FY 2001 and FY 2009. The Consolidated and Further Continuing Appropriations Act, 2012 rescinded \$5 million from this project. The preparation and publication of the Final Environmental Impact Statement (FEIS) was published for a 30 day review/comment period in April 2015. The comment/review period concluded on May 11, 2015. The BOP prepared the Record of Decision (ROD), however, it remains unsigned due to the lack of funding and uncertainty of the project.

Upon full funding and construction, this investment will provide a Federal correctional facility which directly supports the BOP's mission to protect society by confining offenders in the controlled environments of prisons and community-based facilities that are safe, humane, cost-efficient, and appropriately secure, and that provide work and other self-improvement opportunities to prepare offenders for reentry into their communities upon release.

6. Accountability

- a. Business Sponsor

The Business Sponsor is the individual with the authority to allocate resources and make personnel decisions.

I.	Name	<u>Cheryl Ciccone</u>
II.	Title	<u>Acting Chief of Construction & Environmental Review Branch</u>
III.	Telephone	<u>(202) 514 - 6470</u>
IV.	Email	<u>cciccone@bop.gov</u>

Exhibit 300: Capital Asset Plan and Business Case Summary**Part 1: Summary Information And Justification (All Capital Asset)**

b. Program/Project Manager

- I. Name Guido Rivas
- II. Telephone (202) 301 - 1288
- III. Email grivas@bop.gov
- IV. Qualifications (select one)

- 1. The project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment. X
- 2. The project manager's qualifications according to FAC-P/PM or DAWIA criteria are under review for this investment.
- 3. The project manager is assigned but does not meet the requirements according to FAC-P/PM or DAWIA criteria.
- 4. The project manager is assigned, but qualification status review has not yet started.
- 5. No project manager has yet been assigned to this investment.

7. Summary of Funding

The Summary of Funding must include the total cost of planning, acquiring, operating, Maintain and disposing of the investment. The amounts reported must include all of the Cost incurred by the managing partner and any other Federal Agencies.

- a. Enter the total estimated life cycle of the investment in Table 1. All amounts Represent budget authority in millions of dollars. Estimates for BY+1 and beyond are for Planning purposes only and do not represent budget decisions.
- b. How many years does the column "PY – 1 and Earlier" represent?
- c. How many years does the column "BY + 4 and Beyond" represent?
- d. If the summary of funding has changed from the previous budget request, briefly explain the changes.

Exhibit 300: Capital Asset Plan and Business Case Summary**Part 1: Summary Information And Justification (All Capital Asset)**

Table 1: Summary of Funding									
	PY-1 and Earlier (\$M)	PY 2014 (\$M)	CY 2015 (\$M)	BY 2016 (\$M)	BY+1 2017 (\$M)	BY+2 2018 (\$M)	BY+3 2019 (\$M)	BY+4 and Beyond (\$M)	Total (\$M)
Planning (a)	.4	.2							.6
Acquisition (b)	2.1	0	.3						358
Subtotal Planning & Acquisition (c)=(a)+(b)	2.5	.2	.3						358.6
Operation & Maintenance (d)									
Residual Value/Disposal Cost (e)									
Total (f)= (c)+(d)+ (e)	2.5	.2	.3						358.6

8. Acquisition Plan

The Acquisition Plan should maximize competition to ensure the government receives a fair price. The Plan must be available to OMB upon request.

- a. Has an Acquisition Plan been developed? Yes
- b. If an Acquisition Plan has been developed, answer the following questions.
 - i. Does the Acquisition Plan reflect the requirements of FAR Subpart 7.1? Yes
 - ii. Was the Acquisition Plan approved in accordance with agency requirements? Yes
 - iii. If the Plan was approved, enter the date of approval. May 2000
 - iv. Is the Acquisition Plan consistent with agency Strategic Sustainability Performance Plan? Yes
 - v. Does the Acquisition Plan meet the requirements of EO 13693? Yes
- c. If an Acquisition Plan has not been developed, provide a brief explanation.
- d. Enter all (including non-Federal) current and planned contracts and task orders in Table 2. Completed contracts and task orders do not need to be listed. Total Value should include option years. If a contract has not been awarded, estimates of dates, dollar values and any other information should be provided. Data definitions can be found at www.usaspending.gov/learn?tab=FAQ#2.
- e. Do all Procurement Instrument Identifier (PIID) and Indefinite Delivery Vehicle (IDV) PIID entries match www.USAspending.gov ? N/A
- f. Do all Solicitation IDs match FedBizOpps at www.fbo.gov ? N/A
- g. If Earned Value Management is not required or will not be a contract requirement for any of the contracts or task orders, provide a brief explanation.

Earned Value Management is considered a major system acquisition and is not utilized in BOP construction contracts.

Exhibit 300: Capital Asset Plan and Business Case Summary**Part 1: Summary Information And Justification (All Capital Asset)**

Table 2: Contracts				
Field	Data Description	Contract 1	Contract 2	Contract X
Contract Status	N/A			
Contracting Agency ID	15-X-1003			
Procurement Instrument Identifier (PIID)		DJBP0700CCJ9510011 DJBP0700CCI9510001 DJBP0700CCJ9510010 DJBP0700CCL9510029 DJBP0700CCJ9510008 DJBP0700CCLJ9510025		
Indefinite Delivery Vehicle (IDV) Reference ID	N/A			
Solicitation ID				
Alternative Financing	N			
EVM Required	N			
Ultimate Contract Value				
Type of Contract/Task Order (Pricing)	Environmental Impact Environmental Study Technical Support “ “ “ “ “ “ “ “	\$536,769.84 \$ 78,894.79 \$ 78,894.79 \$787,284.22 \$ 92,421.98 \$178,304.25 \$ 80,921.21		
Is the Contract a Performance Based Service Acquisition (PBSA)?	N			
Effective Date				
Actual or Expected End Date of Contract/Task Order	N/A			
Extent Competed	Full & Open Competition			
Short Description of Acquisition	Environmental Studies of a prison.			

9. Alternatives Analysis

a. Was an Alternatives Analysis conducted?

Yes

b. If an Alternatives Analysis was conducted, answer the following questions.

i. What is the date of the analysis?

May 2000

ii. How many alternatives were evaluated?

4

iii. Did the analysis evaluate the costs and the benefits of each alternative?

Yes

iv. Briefly summarize the rationale for the selected alternative.

Constructing a new facility was the alternative determined to provide the greatest benefit to taxpayers and ultimately be more cost effective than the other alternatives.

Exhibit 300: Capital Asset Plan and Business Case Summary**Part 1: Summary Information And Justification (All Capital Asset)**

- c. If an Alternatives Analysis was not conducted, provide a brief explanation. N/A

10. Risk Management

- a. Has a Risk Management Plan been developed? Yes

- b. If a Risk Management Plan has been developed, answer the following questions.

- i. What is the date of the plan? April 2011

- ii. Does the plan include a list of risks? Yes

- iii. Does the plan include the probability of occurrence of each risk? Yes

- iv. Does the plan include the impact of each risk? Yes

- v. Does the plan include a mitigation strategy for each risk? Yes

- vi. Does the plan include actively managing risk throughout the lifecycle? Yes

- c. If a Risk Management Plan has not been developed, provide a brief explanation. N/A

11. Performance Information

The investment must support the agency's strategic goals. The performance goals must be clearly measurable and quantifiable.

- a. Enter the strategic goals and the corresponding performance measures in Table 3.
b. Explanations

The table represents inmate crowding by project's security level, by fiscal year.

Medium Security

Fiscal Year	Performance Baseline	Performance Goal	Actual Result
2015	Crowding by security level	32%	32%
2016	Crowding by security level	22%	22%
2017	Crowding by security level	19%	18%
2018	Crowding by security level	21%	N/A

12. Earned Value Management (EVM) N/A