OMB Number – 1122-0020 Expiration Date: 12/31/2018



U.S. Department of JusticeOffice on Violence Against Women (OVW)

OVW Fiscal Year 2019 Legal Assistance for Victims (LAV) Grant Program Solicitation

Release Date: on or about November 20, 2018

Eligibility

Eligible applicants are limited to: private nonprofit entities; publicly funded organizations not acting in a governmental capacity; territorial organizations; Indian tribal governments; and tribal organizations.

(See "Eligibility Information")

Deadlines

Applications are due by 11:59 p.m. Eastern Time (E.T.) on January 31, 2019. (See "Submission Dates and Times")

Registration Information: To submit an application, all applicants must obtain a Data Universal Number System (DUNS) Number and register online with the System for Award Management (SAM) and with <u>Grants.gov</u>. To ensure sufficient time to complete the registration process, applicants must obtain a DUNS Number and register online with SAM and with <u>Grants.gov</u> immediately, but no later than January 17, 2019.

(See "Registration")

Letter of Intent: Applicants are strongly encouraged to submit a Letter of Intent to ovw.lav@usdoj.gov by January 17, 2019. This letter confirms that the applicant has registered with SAM and Grants.gov. Submitting a Letter of Intent will not obligate a potential applicant to

OMB Number – 1122-0020 Expiration Date: 12/31/2018

submit an application. Interested applicants who do not submit a Letter of Intent are still eligible to apply.

(See "Letter of Intent")

Pre-Application Information Session: OVW will post a pre-recorded Pre-Application Information Session on its website for entities interested in submitting an application for this program. Listening to this session is optional. Interested applicants who do not listen to the pre-recorded session are still eligible to apply. The session is tentatively scheduled to be available by December 10, 2018 on the OVW website https://www.justice.gov/ovw.

(See "Pre-Application Information Session")

Contact Information

For assistance with the requirements of this solicitation, email OVW at ovw.lav@usdoj.gov. Alternatively, interested parties may call OVW at (202) 307-6026.

Submission and Notification Information

Submission: Applications for this program will be submitted through <u>Grants.gov</u>. For technical assistance with <u>Grants.gov</u>, contact the <u>Grants.gov</u> Customer Support Line at 1-800-518-4726.

The Grants.gov number assigned to this announcement is OVW-2019-15785

Notification: OVW anticipates notifying applicants of funding decisions by October 1, 2019.

Contents

A. Program Description	1
Overview	1
About the OVW Legal Assistance for Victims Grant Program	1
Program Scope	1
Purpose Areas	1
OVW Priority Areas	2
Activities that Compromise Victim Safety and Recovery and Undermine Offender Accountability	2
Out-of-Scope Activities	2
Activities Requiring Prior Approval	3
B. Federal Award Information	3
Availability of Funds	3
Award Period and Amounts	3
Types of Applications	3
Mandatory Program Requirements	4
C. Eligibility Information	4
Eligible Applicants	4
Cost Sharing or Matching	5
Other Program Eligibility Requirements	5
D. Application and Submission Information	7
Address to Request Application Package	7
Content and Form of Application Submission	7
Formatting and Technical Requirements	7
Application Contents	7
Summary Data Sheet	8
Proposal Abstract	9
Project Narrative	9
Budget Detail Worksheet and Narrative	12
Memorandum of Understanding (MOU)	13
Additional Required Information	16
Unique Entity Identifier (DUNS Number), System for Award Management (SAM), and Grants.gov Registration	19
Submission Dates and Times	19

OMB Number – 1122-0020 Expiration Date: 12/31/2018

OVW Policy on Late Submissions	21
Intergovernmental Review - Single Point of Contact Review	23
Funding Restrictions	23
Other Submission Requirements	24
Submitting a Grant Application	25
E. Application Review Information	25
Criteria	25
Review and Selection Process	26
Anticipated Announcement and Federal Award Dates	27
F. Federal Award Administration Information	27
Federal Award Notices	27
Administrative and National Policy Requirements	27
Reporting	28
G. Federal Awarding Agency Contact(s)	28
H. Other Information	28
Application Checklist	28

OVW LEGAL ASSISTANCE FOR VICTIMS (LAV) GRANT PROGRAM

(CFDA 16.524)

A. Program Description

Overview

The Office on Violence Against Women (OVW) is a component of the United States Department of Justice (DOJ). Created in 1995, OVW administers grant programs authorized by the Violence Against Women Act (VAWA) and subsequent legislation and provides national leadership on issues of sexual assault, domestic violence, dating violence, and stalking. OVW grants support coordinated community responses to hold offenders accountable and serve victims.

About the OVW Legal Assistance for Victims Grant Program

This program is authorized by 34 U.S.C. § 20121. The Legal Assistance for Victims (LAV) Grant Program is intended to increase the availability of civil and criminal legal assistance¹ needed to effectively aid adult and youth victims of sexual assault, domestic violence, dating violence, and stalking by providing funds for comprehensive direct legal services to victims in legal matters relating to or arising out of that abuse or violence. Comprehensive legal services should, as much as possible, extend beyond representation in emergency and non-emergency protection order hearings to address the broad spectrum of legal issues that victims encounter, including family matters such as divorce, child custody or child support, immigration, administrative agency proceedings, and consumer or housing matters.

For additional information about this program, see https://www.justice.gov/ovw/grant-programs and https://www.vawamei.org/grant-program/legal-assistance-program/.

Program Scope

Activities supported by this program are determined by statute, federal regulations, and OVW policies. If an applicant receives an award, the funded project is bound by the provisions of this solicitation, the <u>DOJ Financial Guide</u>, including updates to the guide after an award is made, the section of the <u>Solicitation Companion Guide</u> entitled "Post-Award Requirements for All Federal Grant Recipients," and the conditions of the award.

Purpose Areas

Pursuant 34 U.S.C. § 20121(c), funds under this program must be used for one or more of the following purposes:

1. To implement, expand, and establish cooperative efforts and projects between sexual assault, domestic violence, and dating violence victim service providers and legal assistance providers to provide legal assistance for victims of sexual assault, domestic violence, dating violence, and stalking.

¹ "Legal assistance" includes assistance to adult and youth victims of sexual assault, intimate partner domestic violence, dating violence, and stalking in: a) family, tribal, territorial, immigration, employment, administrative agency, housing matters, campus administrative, or protection or stay away order proceedings, and other similar matters; and b) criminal justice investigations, prosecutions, and post-trial matters (including sentencing, parole, and probation) that impact the victim's safety and privacy. 34 U.S.C. §12291(a)(19).

- 2. To implement, expand, and establish efforts and projects to provide legal assistance for victims of sexual assault, domestic violence, dating violence, and stalking by organizations with a demonstrated history of providing direct legal or advocacy services on behalf of these victims.
- **3.** To implement, expand, and establish efforts and projects to provide competent supervised pro bono legal assistance for victims of sexual assault, domestic violence, dating violence, or stalking, except that not more than 10 percent of the funds awarded may be used for this purpose.

OVW Priority Areas

In FY 2019, OVW is interested in supporting the priority areas identified below. Applications proposing activities in the following areas will be given special consideration.

- 1. Reduce violent crime against women and promote victim safety.
- 2. Increase the response to victims of human trafficking.
- 3. Increase efforts to combat stalking.

Activities that Compromise Victim Safety and Recovery and Undermine Offender Accountability

OVW does not fund activities that jeopardize victim safety, deter or prevent physical or emotional healing for victims, or allow offenders to escape responsibility for their actions. Information on activities that compromise victim safety and recovery and undermine offender accountability may be found in the <u>Solicitation Companion Guide</u>.

Applications that propose any activities that compromise victim safety and recovery or undermine offender accountability may receive a deduction in points during the review process or may be eliminated from consideration entirely.

Out-of-Scope Activities

The activities listed below are out of the program scope, and they will not be supported by this program's funding.

- Research projects (This does not include program assessments conducted only for internal improvement purposes. For information about DOJ regulations on research involving human subjects, see "Research and Protection of Human Subjects" in the Solicitation Companion Guide).
- 2. Representation in tort cases.
- 3. Child sexual abuse cases (i.e., involving victims under the age of 11).
- 4. Cases involving the child protection system unless the child dependency hearing involves allegations "relating to or arising out of" abuse of the client (rather than abuse of the children).
- 5. Criminal representation of victims charged with crimes.
- 6. Support of law reform initiatives, including but not limited to litigation.
- 7. Providing general training and/or technical assistance to agencies that are not formal or informal LAV Grant Program project partners.
- 8. Cases involving Deferred Action for Childhood Arrivals (DACA).
- 9. Funds for victim assistance/support unrelated to the provision of legal assistance or legal advocacy (such as transitional housing assistance, therapeutic counseling, and rental assistance).

Applications that propose activities that are deemed to be substantially out-of-scope may receive a deduction in points during the review process or may be eliminated from consideration entirely.

Activities Requiring Prior Approval

Grantees must receive prior approval before using grant funds to support surveys.

B. Federal Award Information

Availability of Funds

All awards are subject to the availability of appropriated funds and any modifications or additional requirements that may be imposed by law. There is no guarantee that funds will be available in the future. OVW may elect to make awards in a future fiscal year for applications submitted under this solicitation but not selected for FY 2019 funding, depending on the merits of the applications and the availability of funding.

Award Period and Amounts

The award period is 36 months. Budgets must reflect 36 months of project activity, and the total "estimated funding" on the SF-424 must reflect 36 months. Generally, the award period will start on October 1, 2019.

This program typically makes awards in the range of \$600,000 - \$800,000. OVW estimates that it will make up to 54 awards for an estimated \$34,000,000.

Funding levels under this program for FY 2019 are:

- 1. New and Continuation LAV Grant Program applications that are proposing to provide legal services primarily to victims domestic violence or to provide less than 80% of the project's legal services to victims of sexual assault may request up to \$600,000 for the entire 36-month project period.
- 2. New and Continuation LAV Grant Program applications that are submitted by sexual assault victim service providers that are proposing to provide 80% or more of the project's legal services to victims of sexual assault, beyond intimate partner sexual assault, may request up to \$800,000 for the entire 36-month project period.

OVW has the discretion to make awards for greater or lesser amounts than requested and to negotiate the scope of work and budget with applicants prior to making an award.

Awards will be made as grants.

Types of Applications

In FY 2019, OVW will accept the following types of applications for this program:

<u>New</u>: applicants that have never received funding under this program or whose previous funding expired more than 12 months ago.

<u>Continuation</u>: applicants that have an existing or recently closed (within the last 12 months) award under this program. Continuation funding is not guaranteed.

This program's grant recipients that received an FY 2017 or FY 2018 award are NOT eligible to apply.

Additionally, current grantees with a substantial amount of funds remaining (50% of the award) at the time of application submission without adequate justification may not be considered for funding, or may receive a reduced award amount if selected for funding in FY 2019.

Mandatory Program Requirements

Applicants that receive funding under this program will be required to engage in the following activities:

- 1. OVW-sponsored training and technical assistance.
- 2. A new grantee orientation unless exempted by OVW.

C. Eligibility Information

Eligible Applicants

The following entities are eligible to apply for this program (34 U.S.C. § 20121(c)):

- 1. Private nonprofit entities:
- 2. Publicly funded organizations not acting in a governmental capacity such as law schools;
- 3. Territorial organizations;²
- 4. Indian tribal governments, 3 including Indian tribal consortia; 4 and
- 5. Indian tribal organizations.⁵

² For the purposes of this solicitation, a territorial organization is a nonprofit, nongovernmental organization addressing sexual assault, domestic violence, dating violence and/or stalking within a United States Territory, which include Commonwealth of Puerto Rico, Guam, American Samoa, the Virgin Islands, and the Northern Mariana Islands.

³ A "tribal government" is the governing body of an Indian tribe or a tribe, band, pueblo, nation, or other organized group or community of Indians, including any Alaska Native village or regional or village corporation (as defined in, or established pursuant to, the Alaska Native Claims Settlement Act (43 U.S.C. §§ 1601 et seq.)), that is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians. 34 U.S.C. § 12291(a)(36).

⁴ Regarding a tribal consortium application, the applicant must submit documentation of authority in the form of a resolution or legal equivalent from each tribal consortium member, unless existing consortium bylaws or other tribal governance documents allow action without explicit authorization from the member tribes in the consortium. In that case, the tribal consortium must submit a resolution, letter, affidavit, or other documentation, as appropriate, that certifies that the tribal consortium has the legal authority to apply for grants under this solicitation on behalf of the consortium. This documentation must be current, be sufficient to demonstrate authority for the application, contain authorizing signature(s), and be submitted by the application's due date. In addition, a copy of the bylaws or other governance documents that allow the tribal consortium's action without support from all consortium members must be included with this documentation.

⁵ A "tribal organization" is the governing body of any Indian tribe; any legally established organization of Indians which is controlled, sanctioned, or chartered by such governing body of a tribe or tribes to be served, or which is democratically elected by the adult members of the Indian community to be served by such organization and which

Nonprofit Organization Requirement – Offshore Accounts

Any nonprofit organization that holds money in offshore accounts for the purpose of avoiding paying the tax described in section 511(a) of the Internal Revenue Code is not eligible for a grant from this program. See 34 U.S.C. § 12291(b)(16)(B)(ii).

Cost Sharing or Matching

This program has no match or cost sharing requirement.

Other Program Eligibility Requirements

In addition to meeting the eligible entity requirements outlined above, applications for this program must also meet the requirements below. All certification and other eligibility related documents must be current and developed in accordance with the FY 2019 solicitation.

Delivery of Legal Assistance Certification

Pursuant to 34 U.S.C. § 20121(d), to be eligible for an award, any recipient or subrecipient providing legal assistance with funds awarded under this program must certify in writing that:

- 1. any person providing legal assistance with funds through this program
 - (A) has demonstrated expertise in providing legal assistance to victims of domestic violence, dating violence, sexual assault, or stalking in the targeted population; or
 (B)
 - i. is partnered with an entity or person that has demonstrated expertise described in subparagraph (A); and
 - ii. has completed, or will complete, training in connection with domestic violence, dating violence, sexual assault, or stalking and related legal issues, including training on evidence-based risk factors for domestic and dating violence homicide:
- any training program conducted in satisfaction of the requirement of paragraph (1) has been or will be developed with input from and in collaboration with a tribal, state, territorial, or local domestic violence, dating violence, sexual assault or stalking victim service provider or coalition, as well as appropriate tribal, state, territorial, and local law enforcement officials;
- 3. any person or organization providing legal assistance with funds through this program has informed and will continue to inform state, local, or tribal domestic violence, dating violence, or sexual assault programs and coalitions, as well as appropriate state and local law enforcement officials of their work; and
- 4. the grantee's organizational policies do not require mediation or counseling involving offenders and victims physically together, in cases where sexual assault, domestic violence, dating violence, or child sexual abuse is an issue.

This certification shall take the form of a letter, on letterhead, signed and dated by the authorized representative. Failure to provide a letter certifying to these requirements may disqualify an application from further consideration. At a minimum, an application missing the required legal assistance certification letter will be required to submit a certification letter prior to

includes the maximum participation of Indians in all phases of its activities; or any tribal nonprofit organization. 34 U.S.C. § 12291(a)(38). A "tribal nonprofit organization" a victim services provider that has as its primary purpose to assist Native victims of sexual assault, domestic violence, dating violence, or stalking; and that has staff and leadership with a demonstrated history of assisting American Indian or Alaska Native victims of sexual assault, domestic violence, dating violence, or stalking. 34 U.S.C. § 12291(a)(37).

receiving an award. The signed certification letter must be uploaded as a separate attachment in Grants.gov. A sample Certification Letter can be found on the OVW website at https://www.justice.gov/ovw/resources-applicants.

Required Partnerships

A lead applicant that is not a domestic violence and/or sexual assault victim service provider **is required** to enter into a collaborative working relationship with a sexual assault and/or domestic violence victim service provider in the community to be served as outlined in a memorandum of understanding.

A sexual assault and/or domestic violence victim service provider partner must be involved in the development and implementation of the project. For example, if the lead applicant is an organization with a primary mission to provide services to victims of crime generally, a community action agency, a community-based improvement corporation, a law school, or a legal services program, then the lead applicant must partner with a sexual assault and/or domestic violence service provider.

A victim service provider is a nonprofit, nongovernmental or tribal organization or rape crisis center, including a state or tribal domestic violence and/or sexual assault coalition, that assists or advocates for domestic violence, dating violence, sexual assault, or stalking victims, including a domestic violence shelter, faith-based organization or other organization, with a documented history of effective work concerning domestic violence, dating violence, sexual assault, or stalking. 34 U.S.C. § 12291(a)(43). Victim service providers must provide direct services to victims of sexual assault, domestic violence, dating violence, or stalking as one of their primary purposes and have a demonstrated history of effective work in this field. A sexual assault victim service provider is a victim service provider, as defined above, but that primarily serves victims of sexual assault.

Government-led family justice centers are not considered victim service providers for purposes of this solicitation. Community-based family justice centers **may** be considered victim service providers if they are nonprofit, nongovernmental organizations with a documented history of effective assistance to victims of domestic violence, dating violence, sexual violence, or stalking. Co-location with service providers will not, on its own, qualify an organization as a victim service provider; rather, to be eligible as a victim service provider, an organization must actually provide direct victim services. Victim service providers who partner with government-led family justice centers or community-based organization-led family justice centers are also eligible if they meet all other requirements of the solicitation. Nonprofit-led family justice centers that do not meet the definition of victim service provider are eligible to apply if they partner with a victim service provider.

Limit on Number of Applications

OVW will consider only one application per organization for the same service area in response to this solicitation. In addition, if an applicant submits multiple versions of the same application, OVW will review only the most recent system-validated version submitted before the deadline.

D. Application and Submission Information

Address to Request Application Package

The complete application package (this solicitation, including links to required forms) is available on <u>Grants.gov</u> or the <u>OVW website</u>. Applicants wishing to request a paper copy of these materials should contact ovw.lav@usdoi.gov or (202) 307-6026.

Pre-Application Information Session: OVW will post a pre-recorded Pre-Application Information Session on its website for entities interested in submitting an application for this program. Listening to this session is optional. Interested applicants who do not listen to the pre-recorded session are still eligible to apply. The session is tentatively scheduled to be available by December 10, 2018 on the OVW website. The session will be captioned in English and Spanish. Interested applicants needing additional language assistance should contact this program's POC at ovw.lav@usdoj.gov or at (202) 307-6026 as soon as possible, but no later than December 5, 2018.

Content and Form of Application Submission

The information below ("**Letter of Intent** through "**Additional Required Information**") describes the full content and form of application submission. For a complete checklist of required contents, see the "<u>Other Information</u>" section in this solicitation.

Letter of Intent

Applicants intending to apply for FY 2019 funding under this program are strongly encouraged to submit a Letter of Intent. The letter should state that the applicant is registered and current with SAM and with <u>Grants.gov</u>. The letter should be submitted to OVW at <u>ovw.lav@usdoj.gov</u> by January 17, 2019. This letter will not obligate the applicant to submit an application. See https://www.justice.gov/ovw/resources-applicants for a sample Letter of Intent.

Formatting and Technical Requirements

Applications must follow the requirements below. Points may be deducted for applications that do not adhere to the following requirements:

- 1. Double spaced (Project Abstract, Summary Data Sheet and charts may be single spaced)
- 2. 8½ x 11 inch paper
- 3. One-inch margins
- 4. Type no smaller than 12 point, Times New Roman font
- 5. Page numbers
- 6. No more than 20 pages for the Project Narrative
- 7. Word documents in the following formats: Microsoft Word (.doc), PDF files (.pdf), or Text Documents (.txt).
- 8. Headings and sub-headings that correspond to the sections identified in this section of the solicitation.

Application Contents

Applications must include the following required documents and demonstrate that the program eligibility requirements have been met. OVW will not contact applicants for missing items on the list below. Applications that do not include all of the following documents will be considered substantially incomplete and will not be considered for funding:

- 1. Project Narrative
- 2. Budget Detail Worksheet and Narrative
- 3. Memorandum of Understanding or Statement of Expertise in providing legal representation for victims of sexual assault, domestic violence, dating violence and/or stalking.

Summary Data Sheet (5 Points Total)

The Summary Data Sheet should be one to four pages and may be single or double spaced. The Summary Data Sheet does not count toward the 20 page limit for the Project Narrative. Provide the following information:

- 1. Name, title, address, telephone number, and e-mail address of the individual with authority to accept grants on behalf of the applicant.
- 2. Name, title, address, telephone number, and e-mail address for the grant point-of-contact. This person must be an employee of the applicant.
- 3. Statement as to whether the applicant (the organization whose DUNS number is being used for the application) will serve as a fiscal agent/sponsor for an entity or entities that will implement the project, and the applicant itself will not be involved with implementation of the project beyond issuing subaward(s) to these entities. If this is the case, the applicant also must list these subrecipients and include a statement acknowledging that, should an award be made, the applicant will be responsible for all applicable statutory, fiscal, and programmatic requirements, including those of 2 C.F.R. Part 200, as well as all project deliverables. In such situations, the fiscal agent/sponsor must be an eligible applicant for the program.
- 4. Statement as to whether the applicant has expended \$750,000 in federal funds in the applicant's past fiscal year. If so, specify the end date of the applicant's fiscal year.
- 5. Summary of current and pending OVW grants (if applicable). If the applicant has a current grant or cooperative agreement under any OVW grant program or an award that has been closed within the last 12 months from the date this solicitation closes, the information must be provided in a table using the sample format found on the OVW website https://www.justice.gov/ovw/resources-applicants. Failure to provide the required table will result in a loss of points. The applicant should also provide the same information regarding any current OVW grants or pending applications on which the applicant is a subrecipient.
- 6. A list of other federal grant programs from which the applicant currently receives funding or for which it has applied for funding in FY 2019 **to do similar work**. Provide this information in a table using the sample format found on the OVW website https://www.justice.gov/ovw/resources-applicants.
- 7. Statement as to whether the applicant is a nonprofit organization that holds money in offshore accounts for the purpose of avoiding paying the tax described in section 511(a) of the Internal Revenue Code.
- 8. Statement as to whether the applicant is a nonprofit organization that uses the Internal Revenue Service's three-step safe-harbor procedure to establish a rebuttable presumption that its executives' compensation is reasonable. If the applicant is not a nonprofit organization or is a nonprofit that does not use the safe-harbor procedure, provide a statement to that effect. For additional information about the safe-harbor procedure, see "Disclosure of Process Related to Executive Compensation" in the Additional Required Information section.

- 9. The percentage of grant activities, should the application be funded, that will address each of the following issues (the total percentages should not exceed 100%):
 - Sexual assault;
 - Domestic violence:
 - Dating/teen dating violence; and/or
 - Stalking
- 10. Statement as to whether the applicant is a sexual assault victim service provider, as defined on page 6, that is applying for the \$800,000 award and planning to address at least 80% non-intimate partner sexual assault.
- 11. Statement as to whether the application addresses the trafficking priority area (if applicable) and the percentage of grant activities that will address the trafficking priority, if the application is funded.
- 12. Statement as to whether the application addresses the stalking priority area.
- 13. If applicable, the percentage of grant activities, should the application be funded, that will support services to victims on Indian tribal lands.
- 14. If applicable, the amount of funding, should the application be funded, that will be allocated towards pro bono activities.

Proposal Abstract (not scored)

The Proposal Abstract must provide a short summary (no more than two pages double-spaced) of the proposed project, including names of applicant and partners, primary activities, products and deliverables, the service area, and who will benefit. Applicants must not summarize past accomplishments in this section.

Project Narrative (55 Points Total)

The Project Narrative may not exceed 20 pages, double-spaced. The Project Narrative must include the following three sections:

Purpose of Application (10 points)

This section must:

- 1. Describe the communities to be served, including the geographic location, the populations in the service area, and any marginalized and/or underserved population(s):⁶
- 2. Describe the problem to be addressed (include local statistics/data, whenever possible):
- 3. Identify the target population and state how the target population will benefit from the proposed project (use current demographic information for the service area in order to be as specific and detailed as possible when describing the population to be served);
- 4. Describe the current legal services to prevent or reduce sexual assault, domestic violence, dating violence, and/or stalking in the jurisdiction, and the gaps in those services in the proposed service area;
- 5. Describe how the proposed services and activities will meet the need that exists; and
- 6. For continuation applicants only, explain how the proposed activities will enhance previously received LAV Grant Program funding.

⁶ Applicants should use U.S. Census and other government data, as well as the Limited English Proficient Mapping Tool, available at www.lep.gov/maps/, to obtain this information.

Sexual assault victim service providers proposing to provide 80% or more of the project's legal services to victims of sexual assault, beyond intimate partner sexual assault, must also:

- 1. Describe the problem of non-intimate partner sexual assault in the proposed service area (include local statistics/data, whenever possible);
- 2. Describe the available legal services for sexual assault in the proposed service area;
- 3. Describe the gaps in legal services for victims of non-intimate partner sexual violence in the proposed service area; and
- 4. Describe the barriers victims of sexual assault experience when attempting to access support and legal services in the proposed service area.

Applicants applying under the trafficking priority area must also:

- 1. Describe the problem of sex trafficking and other severe forms of trafficking in persons for victims who have experienced domestic violence, sexual assault, dating violence, or stalking within the proposed service area.
- 2. Describe the available legal services for the population identified above.
- 3. Describe the specific type of victims the project would serve (for example, victims of sexual assault who are also victims of forced labor trafficking).

Applicants applying under the stalking priority area must also:

- 1. Describe the problem of stalking within the proposed service area.
- 2. Describe the available legal services for victims of stalking in the proposed service area.
- 3. Describe the barriers victims of stalking experience when attempting to access support and legal services in the proposed service area.

What Will Be Done (30 points)

The application must provide a clear link between the proposed activities and the need identified in the "Purpose of Application" section above.

This section must:

- 1. Describe the extent to which the project will provide holistic and comprehensive legal services to sexual assault, domestic violence, dating violence, and/or stalking victims who cannot afford legal services as determined by the lead applicant or project partner after an intake interview with the prospective client.
- 2. Describe measurable goals and objectives for the proposed project.
- 3. Describe specific tasks and activities necessary for accomplishing all stated goals and objectives.
- 4. Describe how proposed activities would address the need identified.
- 5. Detail how the proposed activities will protect victim confidentiality.
- 6. Include a timeline for the proposed project that identifies when the tasks and activities will be accomplished within the 36-month grant period.
- 7. Provide a supervision and mentoring plan for grant-funded attorney staff.
- 8. If applicable, include a description of any products that will be generated using grant funds and how these products will be used to address the legal needs of victims of sexual assault, domestic violence, dating violence, and stalking. Product development is not required as some communities would not benefit from the development of new products.

- If the applicant proposes to use databases or other information-gathering or sharing technology, explain how the project will address any victim safety concerns that may arise from the use of the technology, such as confidentiality, safety planning, and informed consent.
- 10. Describe how the proposed project will be accessible to individuals with disabilities, individuals who are Deaf or hard of hearing, and persons with limited English proficiency.

Sexual assault victim service providers proposing to provide more than 80% of the project's legal services to victims of sexual assault, beyond intimate partner sexual assault, must also:

- 1. Describe the legal services that will be provided to victims of non-intimate partner sexual assault with LAV Grant Program funding.
- 2. Describe any proposed outreach efforts to victims of non-intimate partner sexual assault regarding the comprehensive legal sexual assault services that will be provided by the proposed project.
- 3. Provide (1) the number of victims of intimate partner sexual assault served in the past 12 months, (2) the number of victims of non-intimate partner sexual assault served in the past 12 months, and (3) information on the types of legal support provided to survivors of intimate partner and non-intimate partner sexual assault by the lead applicant and project partner(s) in the past 12 months.

Applicants applying under the trafficking priority area must also:

- Describe the legal services that will be provided to victims of trafficking who have also experienced sexual assault, domestic violence, dating violence, and/or stalking with LAV Grant Program funding.
- Describe any proposed outreach efforts to victims of sex trafficking and other severe
 forms of trafficking in persons who have also experienced sexual assault, domestic
 violence, dating violence, and/or stalking regarding the comprehensive legal services
 that will be provided by the proposed project.

Applicants applying under the stalking priority area must also:

- 1. Describe the legal services that will be provided to victims of stalking with LAV Grant Program funding.
- 2. Describe any proposed outreach efforts to victims of stalking regarding the comprehensive legal services that will be provided by the proposed project.

Who Will Implement the Project (15 points)

This section must:

- 1. Identify the key individuals and organizations involved in the proposed project.
- 2. Demonstrate that the individuals and organizations identified have the capacity to address the stated need, including the expertise necessary to appropriately serve any marginalized and/or underserved populations identified in the Purpose of the Application section, and can successfully implement the proposed project activities.
- 3. Identify all project partners, specifying their respective roles and responsibilities, including linking all activities listed in the "What Will Be Done" section to a specific agency.

- 4. For the individuals identified in response to #1, above, describe their relevant experience and expertise in addressing sexual assault, domestic violence, dating violence, and/or stalking; or, where positions are vacant, describe the required experience and expertise for those positions.
- 5. If the applicant is **not** a nongovernmental sexual assault and/or domestic violence victim service provider, explain how the proposed project will coordinate with nongovernmental sexual assault and/or domestic violence victim service provider.

Sexual assault victim service providers proposing to provide 80% or more of the project's legal services to victims of sexual assault, beyond intimate partner sexual assault, must also:

 Detail the experience and expertise of staff from the lead applicant and/or project partners in providing legal services to victims of sexual assault beyond intimate partner assault.

Applicants applying under the trafficking priority area must also:

 Detail the experience and expertise of staff from the lead applicant and/or project partner(s) in providing legal services to victims of sex trafficking and other severe forms of trafficking in persons who have also experienced domestic violence, sexual assault, dating violence, or stalking.

Applicants applying under the stalking priority area must also:

• Detail the experience and expertise of staff from the lead applicant and/or project partners in providing legal services to victims of stalking.

Note, in order to create a comprehensive coordinated community response to victims, applicants may include additional agencies in their multi-disciplinary partnership, such as law enforcement, state, local, and federal prosecutors, substance abuse organizations, and mental health services. However, these partners are not required and cannot be compensated with grant funds.

Budget Detail Worksheet and Narrative (15 Points)

All applications must include a detailed budget and budget narrative. A sample Budget Detail Worksheet is available on the OVW website at https://www.justice.gov/ovw/resources-applicants. Keep in mind that budgetary requirements vary among programs. Applicants must submit reasonable budgets based on the resources needed to implement their projects in their specific geographic location.

Award Period and Amount

Budgets should cover a period of 36 months, or three years, commencing October 1, 2019 and ending on September 30, 2022. Budget requests should not exceed \$600,000 with the exception of sexual assault victim service providers proposing to provide 80% or more of the project's legal services to victims of sexual assault, beyond intimate partner sexual assault, who may request up to \$800,000.

The budget and budget narrative will be reviewed separately from the proposed project narrative. The budget narrative must describe each line item requested in the budget and

explain all costs included in the budget, including how the costs of goods and services are determined and how they will fulfill the objectives of the project.

The budget must:

- 1. Display a clear link between the specific project activities and the proposed budget items and not contain items that are not supported by the project narrative.
- 2. Include funds to attend OVW-sponsored training and technical assistance in the amount of \$10,000 for proposals from organizations located within the 48 contiguous states and \$12,000 for organizations located within the territories, Hawaii, or Alaska. This amount is for the entire 36 months and NOT per year. Applicants also may budget expenses in excess of the required amount if they are aware of relevant non-OVW sponsored conferences or training for which they would like permission to use grant funds to support staff/project partner attendance.
- 3. Include funds or describe other resources available to the applicant to support activities to ensure access for individuals with disabilities, Deaf/hard of hearing individuals, and persons with limited English proficiency. See Accessibility under F. Federal Award Administration Information for more information.
- 4. Compensate all project partners as reflected in the MOU (except those non-required partners that may not be compensated with grant funds). For more information on compensating project partners, see the sample Budget Detail Worksheet on the OVW website at https://www.justice.gov/ovw/resources-applicants.
- 5. Distinguish clearly between subawards and contracts in allocating any grant funds to other entities. Pursuant to 2 C.F.R. § 200.330, a subaward is for the purpose of carrying out a portion of the federal award, such as compensating an MOU partner, and a contract is for the purpose of obtaining goods and services for the grantee's own use. The substance of the relationship is more important than the form of the agreement in determining whether the recipient of the pass-through funds is a subrecipient or a contractor. The awarding and monitoring of contracts must follow the recipient's documented procurement procedures, including full and open competition, pursuant to the procurement standards in 2 C.F.R. §§ 200.317-200.329. The issuance and monitoring of subawards must meet the requirements of 2 C.F.R. § 200.331, which includes oversight of subrecipient/partner spending and monitoring performance measures and outcomes attributable to grant funds. For more information, see the sample Budget Detail Worksheet and the Solicitation Companion Guide on the OVW website at https://www.justice.gov/ovw/resources-applicants.

OVW awards are governed by the provisions of 2 C.F.R. Part 200 and the <u>DOJ Financial Guide</u>, which include information on allowable costs, methods of payment, audit requirements, accounting systems, and financial records. For additional information on allowable and unallowable costs, go to the <u>Funding Restrictions</u> section of this solicitation and the sample Budget Detail Worksheet on the OVW website at https://www.justice.gov/ovw/resources-applicants.

Memorandum of Understanding (MOU) or Statement of Expertise (20 Points Total)

For purposes of this solicitation, the MOU is a document containing the terms of the partnership and the roles and responsibilities between two or more parties. The MOU **must** be a single document and **must** be signed and dated by the <u>Authorized Representative</u> of each proposed partner organization during the development of the application. If necessary, an MOU can include multiple signature pages so long as each page includes the names and titles of all signatories to the MOU.

The MOU must:

- 1. Clearly identify the partners and provide a brief history of the collaborative relationship among those partners, including when and under what circumstances the relationship began and when each partner entered into the relationship;
- 2. Clearly state the roles and responsibilities each partner will assume to ensure the success of the proposed project;
- 3. Clearly state that each project partner has reviewed the budget and is aware of the total amount being requested and the funding being requested for each project partner;
- 4. Describe the resources each organization would contribute to the project, either through time, in-kind contributions, or grant funds (e.g., office space, project staff, and training); and
- 5. Specify the extent of each partner's participation in developing the application and/or proposed project.

Sexual assault victim service providers proposing to provide 80% or more of the project's legal services to victims of sexual assault, beyond intimate partner sexual assault, must also:

- 1. Clearly identify which organization(s) has the expertise in providing sexual assault services for victims of non-intimate partner sexual assault. Include how many years the organization(s) has been providing sexual assault services for non-intimate partner sexual assault victims; and
- 2. Detail the personnel designated to provide sexual assault legal services, including: a) the name and title of each person; and b) how many years each staff person has been providing sexual assault legal services.

Applicants applying under the trafficking priority area must also:

- Clearly identify which organization(s) has the expertise in providing services to victims of trafficking. Include how many years the organization(s) has been providing services for victims of trafficking; and
- 2. Detail the personnel designated to provide legal services to victims of trafficking, including: a) the name and title of each person; and b) how many years each staff person has been providing services to victims of trafficking.

Applicants applying under the stalking priority area must also:

- Clearly identify which organization(s) has the expertise in providing services to victims of stalking. Include how many years the organization(s) has been providing services for victims of stalking; and
- 2. Detail the personnel designated to provide legal services to victims of stalking, including: a) the name and title of each person; and b) how many years each staff person has been providing services to victims of stalking.

Statement of Expertise

Applications from lead applicants that are nonprofit, nongovernmental or tribal victim service providers or governmental rape crisis centers that, as their primary mission, provide services to sexual assault, domestic violence, dating violence, and/or stalking victims may submit a Statement of Expertise in lieu of an MOU if they demonstrate that they provide legal representation for victims of sexual assault, domestic violence, dating violence, and/or stalking.

The Statement of Expertise must describe the applicant's experience providing legal representation if legal services will be provided by in-house attorneys. This statement should be provided on agency letterhead and signed (electronic or typed signatures do not satisfy this requirement) and dated by the <u>Authorized Representative</u>.

The Statement of Expertise in providing legal representation for victims of sexual assault, domestic violence, dating violence, and/or stalking must:

- 1. Demonstrate that the organization primarily serves victims of sexual assault, domestic violence, dating violence and/or stalking;
- 2. Include the organization's mission statement;
- 3. Provide the applicant's history of providing services to victims of sexual assault, domestic violence, dating violence, and/or stalking, including the length of time the victim service provider has operated; and
- 4. Describe the applicant's experience providing legal representation by in-house attorneys or contracted attorneys.

An applicant that is a sexual assault victim service provider proposing to provide 80% or more of the project's legal services to victims of sexual assault, beyond intimate partner sexual assault, must also:

- 1. State how many years the organization has been providing sexual assault legal services for non-intimate partner sexual assault victims; and
- 2. Detail the personnel designated to provide sexual assault legal services under the proposed project, including: a) the name and title of each person; and b) how many years each staff person has been providing sexual assault legal services.

Applicants applying under the trafficking priority area must also:

- Clearly identify which organization(s) has the expertise in providing services to victims of trafficking. Include how many years the organization(s) has been providing services for victims of trafficking; and
- 2. Detail the personnel designated to provide legal services to victims of trafficking, including: a) the name and title of each person; and b) how many years each staff person has been providing services to victims of trafficking.

Applicants applying under the stalking priority area must also:

- Clearly identify which organization(s) has the expertise in providing services to victims of stalking. Include how many years the organization(s) has been providing services for victims of stalking; and
- 2. Detail the personnel designated to provide legal services to victims of stalking, including: a) the name and title of each person; and b) how many years each staff person has been providing services to victims of stalking.

Statutory Requirements (Certification Letter) (5 Points Total)

To be eligible for an award under the LAV Grant Program, applicants must certify in writing that they are in compliance with statutory requirements outlined in "Other Program Eligibility Requirements" section. This certification must be in the form of a letter on agency letterhead and signed (electronic or typed signatures do not satisfy this requirement) and dated by the

authorizing official. The signed Certification Letter must be uploaded as a separate attachment in Grants.gov.

Additional Required Information

The following documents will not be scored during the review process but they must be included with the application. Failure to include any of the information may result in the application being removed from consideration for funding. Some documents will be generated during the application submission process while other documents will be uploaded and attached to the application.

The following documents will be generated and completed during the application submission process:

Application for Federal Assistance (SF-424)

Applicants must complete the SF-424 on Grants.gov. For "Type of Applicant," do not select "other." The amount of federal funding requested in the "Estimated Funding" section of this form must match the amount of federal funding requested in the budget section of the application package. This program does not requires a match; therefore, the values for the Applicant line should be zero. The individual who is listed in "Authorized Representative" must be an individual who has the authority to apply for and accept grant awards on behalf of the organization or jurisdiction.

Standard Assurances and Certifications Regarding Lobbying; Debarment, Suspension, and Other Responsibility Matters; and Drug-Free Workplace Requirements (Form 4061/6) Carefully review the assurances and certification forms online. Applicants will receive a request to compile these forms online during the application submission process. All applicants must complete the *Disclosure of Lobbying Activities* (SF-LLL) form. Applicants that expend any funds for lobbying activities must provide the detailed information requested on the form. Applicants that do not expend any funds for lobbying activities should enter "N/A" in the required highlighted fields.

The following documents must be uploaded and attached **separately** to the application:

Applicant Financial Capability Questionnaire (if applicable)

All nonprofit, nongovernmental organizations that apply for funding from OVW and have not previously (or within the last three years) received funding from OVW must complete an Applicant Financial Capability Questionnaire. In addition, applicants may be required to submit their current year's audit report at a later time. The questionnaire can be found at https://www.justice.gov/ovw/how-apply#ra.

Confidentiality Notice Form

All applicants are required to acknowledge that they have received notice that grantees and subgrantees must comply with the confidentiality and privacy requirements of VAWA, as amended. Applicants must submit the acknowledgement form available on the OVW website at http://www.justice.gov/sites/default/files/ovw/pages/attachments/2015/01/20/confidentiality_acknowledgement_form_42015.pdf. This form must be signed by the Authorized Representative.

<u>Disclosure of Process Related to Executive Compensation (if applicable)</u>

An applicant that is a nonprofit organization may be required to make certain disclosures relating to the processes it uses to determine the compensation of its officers, directors, trustees, and key employees.

Under certain circumstances, a nonprofit organization that provides unreasonably high compensation to certain persons may subject both the organization's managers and those who receive the compensation to additional federal taxes. A rebuttable presumption of the reasonableness of a nonprofit organization's compensation arrangements, however, may be available if the nonprofit organization satisfies certain rules set out in Internal Revenue Service regulations with regard to its compensation decisions.

Each applicant must state at the time of its application (in the Summary Data Sheet mentioned earlier) whether the applicant is a nonprofit organization that uses the Internal Revenue Service's three-step safe-harbor procedure to establish a rebuttable presumption that its executives' compensation is reasonable. If the applicant states that it uses the safe-harbor procedure, then it must disclose, in an attachment to its application (to be titled "Disclosure of Process Related to Executive Compensation"), the process it uses to determine the compensation of its officers, directors, trustees, and key employees (together, "covered persons"). See 34 U.S.C. § 12291(b)(16)(B)(iii).

At a minimum, the disclosure must describe in pertinent detail: (1) the composition of the body that reviews and approves compensation arrangements for covered persons; (2) the methods and practices used by the applicant nonprofit organization to ensure that no individual with a conflict of interest participates as a member of the body that reviews and approves a compensation arrangement for a covered person; (3) the appropriate data as to comparability of compensation that is obtained in advance and relied upon by the body that reviews and approves compensation arrangements for covered persons; and (4) the written or electronic records that the applicant maintains as concurrent documentation of the decisions with respect to compensation of covered persons made by the body that reviews and approves such compensation arrangements, including records of deliberations and of the basis for decisions. For a sample letter, see the OVW website at https://www.justice.gov/ovw/resources-applicants.

For purposes of the required disclosure, the following terms and phrases have the meanings set out by the Internal Revenue Service for use in connection with 26 C.F.R. § 53.4958-6: officers, directors, trustees, key employees, compensation, conflict of interest, appropriate data as to comparability, adequate documentation, and concurrent documentation.

Following receipt of an appropriate request, OVW may be authorized or required by law to make information submitted to satisfy this requirement available for public inspection. Also, a recipient may be required to make a prompt supplemental disclosure after the award in certain circumstances (e.g., changes in the way the organization determines compensation).

Pre-Award Risk Assessment

Each applicant must respond to the questions below. This information will be used for a mandatory pre-award risk assessment. Failure to provide this information or to respond to questions from OVW regarding this information in a timely manner could result in the applicant being removed from consideration or a delay in access to funds. Provide complete responses that address all questions included for each numbered item. This section of the application should be no more than four pages.

- 1. Will all funds awarded under this program be maintained in a manner that they will be accounted for separately and distinctly from other sources of revenue/funding? Provide a brief description of the applicant's policies and procedures that ensure funds will be tracked appropriately.
- 2. Does the applicant have written accounting policies and procedures? How often are these policies and procedures updated? Provide a brief list of the topics covered in the applicant's policies and procedures. OVW may request a copy for review during the application/award process or as part of the grant monitoring process.
- 3. Is the applicant's financial management system able to track actual expenditures and outlays with budgeted amounts for each grant or subgrant? Provide a brief summary of the organization's process for tracking expenditures, including tracking budgeted versus actual amounts.
- 4. Does the applicant have procedures in place for minimizing the time between transfer of funds from the United States Treasury and disbursement for project activities? Provide a short summary of the applicant's policy for requesting payments for grant awards.
- 5. Does the applicant have effective internal controls in place to ensure that federal funds are used solely for authorized purposes? Provide a brief description of the applicant's internal controls that will provide reasonable assurance that the award funds will be managed properly.
- 6. Does the applicant have a documented records retention policy? If so, briefly describe the policy and confirm that the policy complies with federal regulations. Information on Record Retention and Access can be found at 2 C.F.R. §§ 200.333-200.337.
- 7. Does the applicant or any of its employees have any potential personal or organizational conflicts of interest related to the possible receipt of OVW award funds? Applicants are required to disclose in writing any potential conflicts of interest to their awarding agency. See 2 C.F.R. § 200.112 and Chapter 3.20, Grant Fraud, Waste and Abuse, of the DOJ Financial Guide for additional information.
- 8. Is the individual primarily responsible for fiscal and administrative oversight of grant awards familiar with the applicable grants management rules, principles, and regulations including the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 C.F.R. Part 200)? Provide a short list of the individual's qualifications/experience. If the individual is not familiar with the applicable rules and regulations, the applicant must contact OVW's Grants Financial Management Division at <a href="https://over.org/linearing/cv//over
- 9. Does the applicant have policies and procedures in place to manage subawards and monitor activities of subrecipients as necessary to ensure that subawards are used for authorized purposes, in compliance with laws, regulations, and terms and conditions of the award, and that established subaward performance goals are achieved (2 C.F.R. §§ 200.330-200.332)? Provide a brief description of the organization's policies and procedures on subrecipient management and monitoring.
- 10. Does the applicant currently require employees to maintain time distribution records that accurately reflect the work performed on specific activities or cost objectives in order to support the distribution of employees' salaries among federal awards or other activities (2 C.F.R. § 200.430)? Budget estimates do not qualify as support for charges to federal awards. Provide a brief description of the organization's established timekeeping policies and procedures.

Indirect Cost Rate Agreement (if applicable)

Applicants that intend to charge indirect costs through the use of a negotiated indirect cost rate must have a current, signed, federally-approved indirect cost rate agreement. Applicants that

have never received a federally-approved indirect cost rate may elect to charge a de minimis rate of 10% of modified total direct costs, which may be used indefinitely. This includes state, local, and tribal governments that have never negotiated an indirect cost rate with the federal government and receive less than \$35 million in direct federal funding per year.

Organizations that wish to negotiate an indirect cost rate should contact OVW's Grants Financial Management Division at OVW.GFMD@usdoj.gov or 1-888-514-8556 for more information.

Letter of Nonsupplanting

Applicants must submit a letter to OVW's Director, signed by the <u>Authorized Representative</u>, certifying that federal funds will not be used to supplant non-federal funds should a grant award be made. A sample letter is available at https://www.justice.gov/ovw/resources-applicants.

Unique Entity Identifier (DUNS Number), System for Award Management (SAM), and Grants.gov Registration

Applicants for federal grants and cooperative agreements are required to have a Data Universal Number System (DUNS) Number to submit an application. A DUNS Number is a unique, nine-character identification number provided by the commercial company **Duns & Bradstreet** (**D&B**). Once an applicant has applied for a DUNS number through D&B, its DUNS Number should be available within two business days.

Federal regulations require that an applicant (1) be registered in SAM.gov prior to submitting an application; (2) provide a valid DUNS number in its application; and (3) continue to maintain an active SAM registration with current information at all times during which it has an active federal award or an application under consideration by a federal awarding agency. Also, federal agencies may not make an award to an applicant until that applicant has complied with all applicable DUNS and SAM requirements. If an applicant has not fully complied with these requirements by the time that OVW is ready to make an award, then OVW may make the determination that the applicant is not qualified to receive an award and use that determination as a basis for making the award to another applicant.

SAM centralizes information about grant recipients and also provides a central location for grant recipients to change organizational information. <u>Grants.gov</u> uses SAM to establish roles and IDs for electronic grant applicants.

If the applicant already has an Employer Identification Number (EIN), the SAM registration will take up to two weeks to process. If the applicant does not have an EIN, then the applicant should allow two to five weeks for obtaining an EIN from the Internal Revenue Service. There is no fee associated with these processes. Additionally, these processes cannot be expedited. OVW strongly discourages applicants from paying a third party to apply or register on their behalf in an attempt to expedite these processes. To ensure all applicants are able to apply by the deadline for this solicitation, applicants must have obtained a DUNS number and registered online with the SAM and with Grants.gov no later than January 17, 2019.

Submission Dates and Times

It is the responsibility of the applicant to ensure that the application is complete and submitted by the deadline. Failure to meet the submission deadline will result in an application being removed from consideration. Applicants should refer to the chart below to ensure that all required steps and deadlines are met.

Applicant Action	Information	Dates/Deadline
Apply for a DUNS	Obtain a DUNS number at the following website	January 17, 2019
number	http://www.dnb.com/us/ or call (866) 705-5711.	
Register with	Access the SAM online registration through the	January 17, 2019
SAM	SAM homepage at https://SAM.gov and follow the	
	online instructions for new SAM users. If the	
	applicant already has the necessary information on	
	hand, the online registration takes approximately 30	
	minutes to complete, depending upon the size and	
	complexity of the business or organization.	
	Organizations must update or renew their SAM	
	registration at least once a year to maintain an active status.	
Register with	Once the SAM registration becomes active, the	January 17, 2019
Grants.gov	applicant will be able to complete the Grants.gov	Juliany 17, 2015
<u>Cranto.gov</u>	registration (see Other Submission Requirements	
	for more information on registering for and using	
	Grants.gov).	
Submit Letter of	Legal Assistance for Victims, ovw.lav@usdoj.gov,	January 17, 2019
Intent	202-307-6026	
Download	Applicants are responsible for ensuring that the	At least 48 hours
Updated Version	most up-to-date version of Adobe Acrobat is	before application
of Adobe	installed on all computers that may be used to	deadline of 11:59
	download the grant application package and submit	p.m. E.T., January
	the proposal. To verify if the Adobe software	31, 2019
	version is compatible with <u>Grants.gov</u> , visit the	
	following link:	
	http://www.grants.gov/web/grants/applicants/adobe-	
	software-compatibility.html.	
Request	Applicants that cannot submit an application	January 17, 2019
Hardcopy	electronically due to lack of internet access must	
Submission (If	contact the POC at 202-307-6026 or	
Needed)	ovw.lav@usdoj.gov to request permission to submit	
	a hardcopy application.	
Begin Application	Applications must be submitted electronically via	11:59 p.m. E.T. on
Submission	Grants.gov.	January 30, 2019
Process		
Complete	Grants.gov	11:59 p.m. E.T. on
Application	<u>Oranto.gov</u>	January 31, 2019
Submission		5411441 7 5 1, 20 10
Process		
Confirmation of	Authorized Organization Representatives	Submitting the
Application	(AORs) should closely monitor their email	application at least
Receipt	for any notification from <u>Grants.gov</u> about a	48 hours before
	possible failed submission. The AOR is a	the application
	user role within Grants.gov for a user	deadline of
	who is authorized to submit applications	January 31, 2019
	on behalf of the organization.	will enable the

2.	The AOR should receive a minimum of two emails from Grants.gov. One will confirm receipt of the application package. The other will contain either a notice that the application was successfully submitted or a notice that there was an error with the	applicant to receive notice of a failed submission and provide an opportunity to correct the error before the
0) 444	application submission.	deadline.
	does not send out these notifications, nor DVW receive a copy of these notifications. It	

is the responsibility of the applicant to notify OVW of any problems with the application submission process.

OVW Policy on Late Submissions

Applications submitted after 11:59 p.m. E.T. on January 31, 2019 will not be considered for funding, unless the applicant receives OVW permission to submit a late application. In limited circumstances, OVW will approve a request to submit an application after the due date. The charts below provide a description of the circumstances under which OVW will consider such requests. OVW's approval of a late submission request is not an indication of the application's final disposition. Applications approved for late submission are still subject to all of the review process and criteria described in this solicitation.

Failure to begin registration or application submission by the deadlines stated in the chart above is not an acceptable reason for late submission. To ensure fairness for all applicants, OVW requires that applicants requesting late submission adhere to the following:

Severe Inclement Weather or Natural Disaster

- 1. Document when the severe inclement weather or natural disaster occurred, the impacted area. and the specific impact on the applicant/partners (e.g., without power for "x" days, office closed for "x" days).
- 2. Contact OVW at the earliest possible date and provide the information described in #1.
- 3. Contact OVW at least 24 hours prior to the application deadline to request a late submission. Applicants impacted by severe inclement weather or a natural disaster occurring on the deadline must contact OVW within 72 hours after the due date or as soon as communications are restored.

OVW may not be able to accommodate all requests resulting from severe inclement weather or a natural disaster.

Experiencing Technical Difficulties Beyond the Applicant's Reasonable Control			
Issue	Applicant Action	OVW Policy	
Issue with SAM	 Register and/or confirm 	Failure to begin the SAM or	
or <u>Grants.gov</u>	existing registration at least	Grants.gov registration	
Registration	3 weeks prior to the	process in sufficient time (i.e.,	

application due date to by the date identified in this ensure that the individual solicitation) is not an acceptable reason for late who will be submitting the application has SAM and submission. Grants.gov access and is the person registered to submit on behalf of the applicant. 2. Maintain documentation of when registration began, any issues related to registration, and all communication with technical support. 3. Notify OVW as soon as the applicant becomes aware of a problem with registration but no later than 14 days before the application due date. Experiencing 1. Document when the Common foreseeable technical Unforeseeable applicant began the difficulties for which OVW will Technical submission process. not approve a late submission Difficulties 2. Contact Grants.gov for requests include: technical support at least 24 During the Application hours prior to the application a. Using an outdated Submission deadline. version of Adobe **Process** 3. Maintain documentation of Acrobat; and b. Attachment rejection. all communication with Grants.gov support. 4. Contact the POC for this Through Grants.gov, OVW can confirm when submission program at ovw.lav@usdoi.gov began. Applicants who start the submission process less indicating that the applicant is experiencing technical than 24 hours before the difficulties and would like deadline will not be considered permission to submit a late for late submission. application. Provide a telephone number and/or By beginning the email address at which application submission someone with the authority process 24-48 hours before to submit the application and the deadline, applicants required documentation can should have sufficient time be reached for the first 3 to receive notice of business days immediately problems with their following the application submissions and make deadline. necessary corrections. 5. Respond promptly to 2. To ensure that attachments communication from OVW are not rejected. requesting the complete attachment names should application package, only include allowable applicant DUNS Number.

Grants.gov helpdesk

characters. (See "Other

tracking numbers, and any other relevant	Submission Requirements").
documentation.	

Intergovernmental Review - Single Point of Contact Review

Executive Order 12372 requires applicants from state and local units of government or other organizations providing services within a state to submit a copy of the application to the <u>state Single Point of Contact (SPOC)</u> if one exists and if the program has been selected for review. Applicants must contact their state SPOCs to determine whether their programs have been selected for state review. The applicant must enter the date that the application was sent to the SPOC or the reason such submission is not required in the section of the SF 424 that refers to EO 12372. Applicants can find a list of SPOCs on the Office of Management and Budget website at https://www.whitehouse.gov/wp-content/uploads/2017/11/SPOC-Feb.-2018.pdf

Funding Restrictions

The following information is provided to allow applicants to develop an application and budget consistent with program requirements.

Unallowable Costs

The activities listed below are unallowable, and costs for them must not be included in applicants' budgets.

- 1. Lobbying
- 2. Fundraising
- 3. Purchase of real property
- 4. Physical modifications to buildings, including minor renovations (such as painting or carpeting)
- 5. Construction

Food and Beverage/Costs for Refreshments and Meals

Generally, food and beverage costs are **not** allowable. OVW may approve the use of grant funds to provide a working meal at a meeting, conference, training, or other event, if one of the following applies:

- The location of the event is not in close proximity to food establishments, despite efforts to secure a location near reasonably priced and accessible commercial food establishments.
- 2. Not serving food will significantly lengthen the day or necessitate extending the meeting to achieve meeting outcomes.
- 3. A special presentation at a conference requires a plenary address where there is no other time for food to be obtained.
- 4. Other extenuating circumstances necessitate the provision of food.

Justification for an exception listed above must be included in the applicant's budget narrative, and grantees may only use funds to purchase food and/or beverages if OVW approves the specific expenditures in advance. For additional information on restrictions on food and beverage expenditures go to https://www.justice.gov/ovw/conference-planning.

Conference Planning and Expenditure Limitations

Applicants' budgets must be consistent with all requirements (including specific cost limits and prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences (which is defined to include meetings, retreats, seminars, symposiums, training, and other similar events), and costs of attendance at such events. Information on conference planning, minimization of costs, and conference reporting is available at https://www.justice.gov/ovw/conference-planning. This includes requirements pertaining to:

- 1. Cost of Logistical Conference Planning
- 2. Cost of Programmatic Conference Planning
- 3. Conference Space and Audio-Visual Equipment and Services
- 4. Prohibition on Trinkets at Conferences
- 5. Prohibition on Entertainment at Conferences
- 6. Food and Beverages at Conferences
- 7. Prior Approval Required Before Entering Into Contracts or Expending Funds for Conferences
- 8. Conference Reporting

Program Assessments

Grantees under this program are prohibited from using OVW funds to conduct research. They may use funds to assess their work for quality assurance and program improvement purposes only, such as by surveying training participants about the quality of training content and delivery or convening discussion forums with key stakeholders. Applicants considering such assessments must refer to the DOJ/OJP decision tree to ensure that the activity does not qualify as human subjects research. For additional information on federal requirements related to assessments or surveys, see the Solicitation Companion Guide.

Pre-Agreement Cost Approval

OVW generally does not allow pre-award costs. Costs incurred prior to the start date of the award may not be charged to the project unless the recipient receives prior approval from OVW. See the DOJ Financial Guide for more information on pre-award costs.

Other Submission Requirements

As discussed in the <u>Submission Dates and Times</u> section above, applications must be submitted electronically via <u>Grants.gov</u>. Applicants that are unable to submit electronically must follow the instructions in that section.

After applicants obtain their DUNS number and register with SAM, they can begin the <u>Grants.gov</u> registration process. The applying organization must complete the <u>Grants.gov</u> registration process <u>prior to beginning an application for a federal grant</u>. The E-Business Point of Contact (E-Biz POC) must register the applicant organization with <u>Grants.gov</u>. The E-Biz POC oversees the applicant's <u>Grants.gov</u> transactions and assigns the AOR. The AOR submits the application to <u>Grants.gov</u> and must register with <u>Grants.gov</u> as well. In some cases the E-Biz POC is also the AOR for the applicant. Complete instructions can be found at <u>Grants.gov</u>.

This registration process generally takes between three and five business days and may take as long as four weeks if all steps are not completed in a timely manner. The application process can move forward once the applicant successfully registers with Grants.gov. Grants.gov is not the Office of Justice Programs' (OJP) Grants Management

System (GMS). If applicants experience difficulties at any point during this process, they should call the <u>Grants.gov</u> Customer Support Hotline at **1-800-518-4726**.

Note: Grants.gov limits the use of specific characters in names of attachment files. Valid file names include only the characters shown in the table below. Grants.gov is designed to reject any application that includes an attachment(s) with a file name that contains any characters not shown in the table below.

Characters	Special Characters			
Upper case (A –	Parentheses ()	Curly braces { }	Square brackets []	
۷)				
Lower case (a – z)	Ampersand (&)	Tilde (~)	Exclamation point (!)	
Numbers (0-9)	Comma (,)	Semicolon (;)	Apostrophe (')	
Underscore ()	At sign (@)	Number sign (#)	Dollar sign (\$)	
Hyphen (-)	Percent sign (%)	Plus sign (+)	Equal sign (=)	
Space	When using the ampersand (&) in XML, applicants must use the			
Period (.)	"&" format.	amp;" format.		

Use simple titles for all documents, such as "FY 2018 OVW Project Narrative." Visit the Grants.gov website to review the most up-to-date guidelines about the use of specific characters.

Submitting a Grant Application

Grants.gov has updated its application tool. The legacy PDF application package was phased out and retired as of December 31, 2017. Grants.gov Workspace is now the standard application method for applying for grants. Applicants should familiarize themselves with the Workspace format. For complete information and instructions on using Workspace (and other changes), go to the Workspace Overview page at https://www.grants.gov/web/grants/applicants/workspace-overview.html.

The <u>Grants.gov</u> Help Desk can be reached at 1-800-518-4726 and is available 24/7, except federal holidays.

E. Application Review Information

Criteria

Applications will be scored based on the degree to which the application responds to each section and addresses each element in the section. Furthermore, applications will be scored based upon the quality of the response and the level of detail provided. Each element **must** be addressed in the section in which it is requested. Points may be deducted if the applicant does not include the information in the appropriate section even if it is included elsewhere within the application. Each section will be reviewed as a separate document and will be scored as such. Specifically, for the LAV Grant Program, scoring will be as follows:

- 1. Summary data sheet: (5) points
- 2. Project narrative: (55) points, of which
 - A. Purpose of the project: (10) points
 - B. What will be done: (30) points
 - C. Who will implement: (15) points

- 3. Budget narrative and detail worksheet: (15) points
- 4. MOU/Statement of Expertise: (20) points
- 5. Statutory Requirements (Certification Letter): (5) points

Voluntary match or other cost sharing methods will not be considered in the evaluation of the application.

Review and Selection Process

Applications will be subject to a peer review and a programmatic review.

Peer Review

OVW will subject all eligible, complete, and timely applications to a peer review process that is fair and based on the criteria outlined in this solicitation. OVW may use internal reviewers, external reviewers, or a combination of both.

Programmatic Review

All applications that are considered for funding will be subject to a programmatic review. The programmatic review consists of assessing the application for compliance with the program's scope, activities that compromise victim safety, and, if applicable, past performance and priority area review. OVW reserves the right to deduct points from applications for the following reasons:

- 1. Activities that compromise victim safety and recovery and undermine offender accountability (deduct up to 25 points.)
- 2. Out-of-scope and unallowable activities (deduct up to 25 points)
- 3. Past performance (deduct up to 25 points)
- 4. Formatting and Technical Requirements (deduct up to 5 points)

An application that is deemed to be substantially out of scope, proposes a substantial number of activities that are unallowable, or proposes activities that pose a significant threat to victim safety or a serious breach of confidentiality will not be considered for funding. An applicant with considerable past performance issues may receive a deduction in points as described above or be removed from consideration entirely regardless of the application's peer review score.

As a part of the programmatic review process described above, applicants will be reviewed for past performance and risk based on the elements listed below.

- 1. Demonstrated effectiveness of the current project indicated by timely progress toward meeting project goals and objectives
- 2. Demonstration that past activities supported with OVW grant funds have been limited to program purpose areas
- 3. Adherence to all special conditions of existing grant award(s) from OVW
- 4. Adherence to programmatic and financial reporting requirements, including timely submission of required reports
- 5. Completion of close-out of prior awards in a timely manner
- 6. Appropriate use of and active participation in OVW-sponsored workshops and other technical assistance events as required by a special condition of the current or recent award
- 7. Receipt of financial clearances on all current or recent grants from OVW
- 8. Timely resolution of issues identified in any audit or on-site financial or programmatic monitoring visit

- 9. Adherence to the Office of Management and Budget single-audit requirement
- 10. Timely expenditure of grant funds
- 11. Adherence to the requirements of the DOJ Financial Guide

Prior to making an award, OVW is required to review and consider any information about applicants included in the designated integrity and performance system accessible through SAM (currently FAPIIS). Applicants may review and comment on information in FAPIIS about themselves that another Federal awarding agency has previously entered. OVW will consider the applicant's comments as well as other information available in FAPIIS in making its judgment about the risk posed by making an award to the applicant as described in 2 C.F.R. § 200.205.

Absent explicit statutory authorization or written delegation of authority to the contrary, all final award decisions will be made by the OVW Director, who also may give consideration to factors including, but not limited to, reaching underserved populations, geographic diversity, OVW priorities, past performance, and available funding when making awards. All award decisions are final and not subject to appeal.

High-Risk Grantees

Based on DOJ's assessment of each grantee with regard to current or previous funding, unresolved audit issues, delinquent programmatic and fiscal reporting, and prior performance, a grantee may be designated "high risk." Awards to high-risk grantees may carry special conditions such as increased monitoring and/or prohibitions on drawing funds until certain requirements are met. High-risk grantees with substantial or persistent performance or compliance issues, long-standing open audits, or open criminal investigations will likely not receive an additional OVW award until all issues are resolved.

Anticipated Announcement and Federal Award Dates

It is anticipated that all applicants will be notified of the outcome of their applications by October 1, 2019.

F. Federal Award Administration Information

Federal Award Notices

Successful applications will receive OVW award notifications electronically from the OJP Grants Management System (GMS) (not Grants.gov). This award notification will be sent to the individuals listed as the Authorized Representative and the Point of Contact on the SF-424 for the application that was selected for funding and will include instructions on accepting the award. Recipients will be required to log in; accept any outstanding assurances and certifications on the award; designate financial points of contact; and review, sign, and accept the award. The award acceptance process involves physical signature of the award document and terms and conditions by the Authorized Representative, scanning the fully executed award document, and returning the scanned document to OVW via facsimile or email.

Administrative and National Policy Requirements

Information for All Federal Award Grantees

Applicants selected for awards must agree to comply with additional legal, administrative, and national policy requirements. OVW strongly encourages applicants to review the information pertaining to these additional requirements prior to submitting an application. This information

can be found in the section of the <u>Solicitation Companion Guide</u> entitled "Post-Award Requirements for All Federal Grant Recipients."

Terms and conditions for OVW awards are available at https://www.justice.gov/ovw/award-conditions. These terms are subject to change prior to the issuance of the awards.

Violence Against Women Act Non-Discrimination Provision

The Violence Against Women Reauthorization Act of 2013 added a civil rights provision that applies to all FY 2019 OVW grants. This provision prohibits OVW grantees from excluding, denying benefits to, or discriminating against any person on the basis of actual or perceived race, color, religion, national origin, sex, gender identity, sexual orientation, or disability in any program or activity funded in whole or in part by OVW. For more information on this prohibition, see http://www.justice.gov/ovw/docs/faqs-ngc-vawa.pdf. Additional information on the civil rights obligations of OVW funding recipients can be found in the Solicitation Companion Guide under "Civil Rights Compliance."

Accessibility

Recipients of OVW funds must comply with applicable federal civil rights laws, which, among other things, prohibit discrimination on the basis of disability and national origin. Compliance with these laws includes taking reasonable steps to ensure that persons with limited English proficiency have meaningful access to recipients' programs and activities and that these programs and activities are readily accessible to individuals with disabilities. More information on these obligations is available in the Solicitation Companion Guide under "Civil Rights Compliance."

Reporting

OVW grantees are required to submit semi-annual progress reports and quarterly Federal Financial Reports (SF-425). Appropriate progress report forms will be provided to all applicants selected for an award. Forms will be submitted electronically via GMS. Future awards and fund drawdowns may be withheld if reports are delinquent.

G. Federal Awarding Agency Contact(s)

For assistance with the requirements of this solicitation contact the following: for programmatic questions, contact the POC for this program at (202)307-6026 or ovw.lav@usdoj.gov, for financial questions, contact (202)307-6026 or ovw.gfmd@usdoj.gov, and for technical support, contact 1-800-518-4726 for the Grants.gov helpdesk.

H. Other Information

Application Checklist

Applicants must submit a fully executed application to OVW, including all required supporting documentation. Additionally, if an applicant plans to submit an application under any other OVW grant program this fiscal year, it is the applicant's responsibility to ensure that only documents pertinent to this solicitation are included with this application. OVW will not redirect documents that are inadvertently submitted with the wrong application (e.g., a Rural Program letter submitted with a Transitional Housing Program application will not be transferred to the Rural application).

Ар	plication Document	Date Completed
1.	Letter of Intent	
2.	Summary Data Sheet	
3.	Project Narrative	
	a) Purpose of the Application	
	b) What Will Be Done	
	c) Who Will Implement	
4.		
5.		
6.	Memorandum of Understanding or Statement of Expertise	
	in Providing Legal Representation	
	Application for Federal Assistance: SF 424	
8.	Standard Assurances and Certifications	
9.	Applicant Financial Capability Questionnaire (if applicable)	
10.	Confidentiality Notice Form	
11.	Disclosures of Process Related to Executive Compensation	
12.	Pre-award Risk Assessment	
13.	Indirect Cost Rate Agreement (if applicable)	
14.	Letter of Nonsupplanting	
15.	Delivery of Legal Assistance Certification Letter	

Any materials submitted as part of an application may be released pursuant to a request under the Freedom of Information Act.

Public Reporting Burden - Paperwork Reduction Act Notice

Under the Paperwork Reduction Act, a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. OVW tries to create forms and instructions that are accurate, can be easily understood, and impose the least possible burden on applicants. The estimated average time to complete and file this form is 30 hours. Comments regarding the accuracy of this estimate or suggestions for simplifying this form can be submitted to the Office on Violence Against Women, U.S. Department of Justice, 145 N Street, NE, Washington, DC 20530.