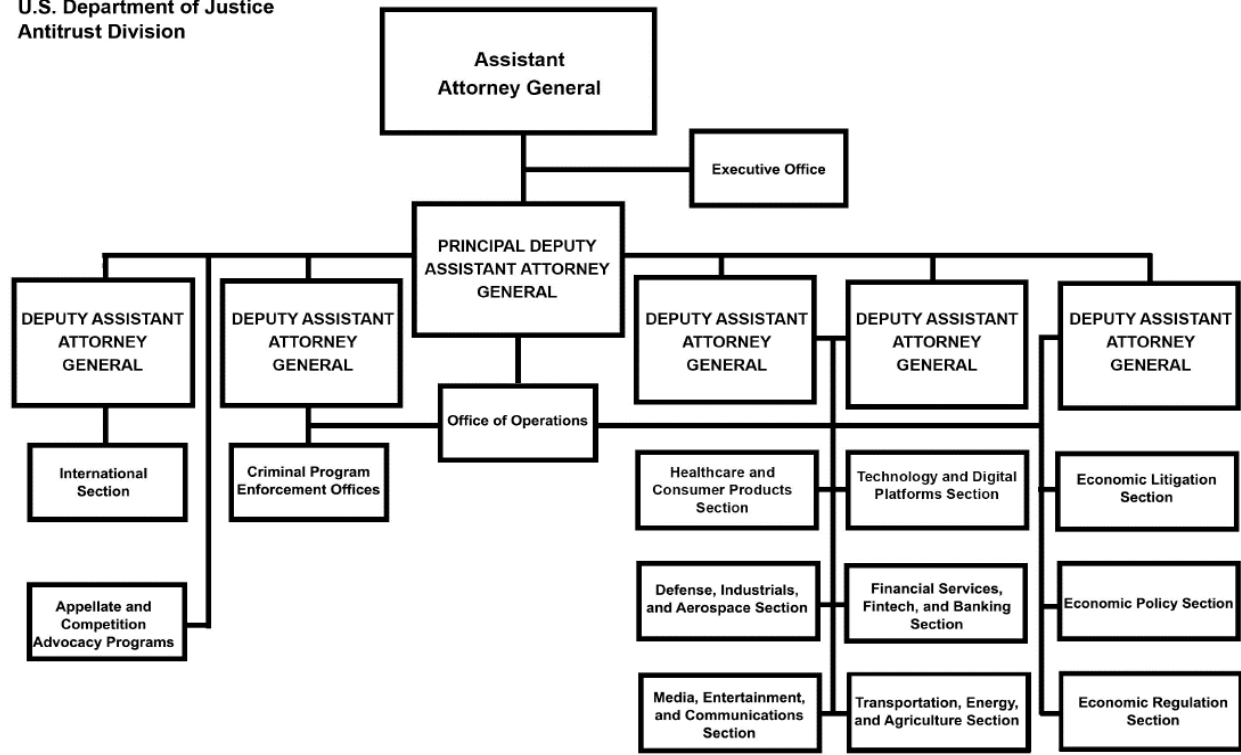


U.S. Department of Justice
Antitrust Division



Approved by: WP Barr Date: 9/17/20
William P. Barr
Attorney General

Summary of Requirements

Antitrust Division
Salaries and Expenses
(Dollars in Thousands)

	FY 2023 Request		
	Positions	Estimate FTE	Amount
2021 Enacted 1/	[740]	602	184,524
Total 2021 Enacted	[740]	602	184,524
2022 Continuing Resolution	[740]	659	184,524
Expected Change from FY 2022 CR	[90]	94	16,652
Total 2022 President's Budget	[830]	753	201,176
Base Adjustments			
Pay and Benefits	-[80]	-3	8,510
Domestic Rent and Facilities	0	0	-5,639
Other Adjustments	0	0	3,065
Total Base Adjustments	-[80]	-3	5,936
Total Technical and Base Adjustments	-[80]	-3	5,936
2023 Current Services	[750]	750	207,112
Program Changes			
Increases:			
Increased Antitrust Enforcement	[247]	124	27,400
Modernizing Antitrust Information Technology	[25]	13	38,494
Subtotal, Increases	[272]	137	65,894
Total Program Changes	[272]	137	65,894
2023 Total Request	[1,022]	887	273,006
2022 - 2023 Total Change	[192]	134	71,830

^{1/} FY 2021 FTE is actual

B. Summary of Requirements

Summary of Requirements

Antitrust Division
Salaries and Expenses
(Dollars in Thousands)

Program Activity	FY 2021 Enacted			2022 President's Budget			FY 2023 Technical and Base Adjustments			FY 2023 Current Services		
	Positions	Actual FTE	Amount	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount
Antitrust Division	[740]	602	184,524	[830]	753	201,176	[-80]	-3	5,936	[750]	750	207,112
Total Direct	[740]	602	184,524	[830]	753	201,176	[-80]	-3	5,936	[750]	750	207,112
Balance Rescission			0			0			0			0
Total Direct with Rescission			184,524			201,176			5,936			207,112
Reimbursable FTE		0			0			0			0	
Total Direct and Reimb. FTE		602			753			-3			750	
Other FTE:												
LEAP		0			0			0			0	
Overtime		0			0			0			0	
Grand Total, FTE		602			753			-3			750	
<i>Sub-Allotments and Direct Collections FTE</i>		45			23			-23			0	

Program Activity	2023 Increases			2023 Offsets			2023 Request		
	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount
Antitrust Division	[272]	137	65,894	0	0	0	[1,022]	887	273,006
Total Direct	[272]	137	65,894	0	0	0	[1,022]	887	273,006
Balance Rescission			0			0			0
Total Direct with Rescission			65,894			0			273,006
Reimbursable FTE		0			0			0	
Total Direct and Reimb. FTE		137			0			887	
Other FTE:									
LEAP		0			0			0	
Overtime		0			0			0	
Grand Total, FTE		137			0			887	
<i>Sub-Allotments and Direct Collections FTE</i>		0			0			0	

FY 2023 Program Increases/Offsets by Decision Unit

Antitrust Division
Salaries and Expenses
(Dollars in Thousands)

Program Increases	Location of Description by	Antitrust Division				Total Increases			
		Positions	Agt./Atty.	Est. FTE	Amount	Positions	Agt./Atty.	Est. FTE	Amount
Increased Antitrust Enforcement		[247]	[112]	124	27,400	[247]	[112]	124	27,400
Modernizing Antitrust Information Technology		[25]	0	13	38,494	[25]	0	13	38,494
Total Program Increases		[272]	[112]	137	65,894	[272]	[112]	137	65,894

Program Offsets	Location of Description by	Antitrust Division				Total Offsets			
		Positions	Agt./Atty.	Est. FTE	Amount	Positions	Agt./Atty.	Est. FTE	Amount
No Program Offsets									
Total Program Offsets									

Resources by Department of Justice Strategic Goal and Objective

Antitrust Division
Salaries and Expenses
(Dollars in Thousands)

Strategic Goal and Strategic Objective	FY 2021 Enacted			2022 President's Budget			FY 2023 Current Services		FY 2023 Increases		FY 2023 Offsets		FY 2023 Total Request	
	Direct & Reimb FTE	SubAllot /Dir Coll FTE	Direct Amount	Direct & Reimb FTE	SubAllot /Dir Coll FTE	Direct Amount	Direct & Reimb FTE	Direct Amount	Direct & Reimb FTE	Direct Amount	Direct & Reimb FTE	Direct Amount	Direct & Reimb FTE	Direct Amount
Goal 4 Ensure Economic Opportunity & Fairness for All														
4.1 Reinvigorate Antitrust Enforcement and Protect Consumers	602	45	184,524	830	23	201,176	750	207,112	137	65,894	0	0	887	273,006
Subtotal, Goal 4	602	45	184,524	830	23	201,176	750	207,112	137	65,894	0	0	887	273,006
TOTAL	602	45	184,524	830	23	201,176	750	207,112	137	65,894	0	0	887	273,006

Justifications for Technical and Base Adjustments

Antitrust Division
Salaries and Expenses
(Dollars in Thousands)

	Positions	Estimate FTE	Amount
Pay and Benefits			
1 <u>2023 Pay Raise - 4.6%</u> This request provides for a proposed 4.6 percent pay raise to be effective in January of 2023. The amount requested, \$4,282,000, represents the pay amounts for 3/4 of the fiscal year plus appropriate benefits (\$3,274,000 for pay and \$1,008,000 for benefits).	0	0	4,282
2 <u>Annualization of 2021 Approved Positions</u> Personnel: This provides for the annualization of 45 new positions appropriated in 2021. Annualization of new positions extends up to 3 years to provide entry level funding in the first year, with a 1 or 2-year progression to a journeyman level. For 2021 increases, this request includes an increase of \$58,600 for full-year payroll costs associated with these additional positions. Non-Personnel: This request includes an increase of \$12,400 for one-time items associated with the new positions, for a net of +\$71,000.	0	0	71
3 <u>Annualization of 2022 Approved Positions</u> Personnel: This provides for the annualization of new positions requested in 2022. Annualization of new positions extends up to 2 years to provide entry level funding in the first year, with a 1 or 2-year progression to a journeyman level. For 2022 increases, this request includes an increase of \$4,081,000 for full-year payroll costs associated with these additional positions. Non-Personnel: This request includes a decrease of \$337,000 for one-time items associated with the new positions, for a net of +\$3,744,000.	0	18	3,744
4 <u>Annualization of 2022 Pay Raise</u> This pay annualization represents first quarter amounts (October through December) of the 2022 pay increase of 2.7%. The amount requested, \$748,000, represents the pay amounts for 1/4 of the fiscal year plus appropriate benefits (\$571,000 for pay and \$177,000 for benefits).	0	0	748
5 <u>Changes in Compensable Days</u> The decreased cost for one less compensable day in FY 2023 compared to FY 2022 is calculated by dividing the FY 2022 estimated personnel compensation and applicable benefits by 260 compensable days is -\$471,000.	0	0	-471
6 <u>Employees Compensation Fund</u> Employees Compensation Fund	0	0	-13
7 <u>Health Insurance</u> Effective January 2023, the component's contribution to Federal employees' health insurance increases by 2.8 percent. Applied against the 2022 estimate of \$5,888,000, the additional amount required is \$163,000.	0	0	163
8 <u>Non-SES Awards</u>	0	0	21

Justifications for Technical and Base Adjustments

Antitrust Division
Salaries and Expenses
(Dollars in Thousands)

	Positions	Estimate FTE	Amount
<p>This request provides for annual Non SES Award pay adjustment of 1% of FY 2023 Pay Raise amount for 3/4 of the year, totaling \$21,000.</p> <p>9 <u>Position/FTE Rightsizing Adjustment</u> This position and FTE adjustment rightsizes the Antitrust Division's FY 2023 current services staffing levels to those anticipated as a result of its FY 2021 Hiring Plan and FY 2022 President's Budget. This adjustment allows for the FY 2023 total request to reflect the staffing state for the Division.</p> <p>10 <u>Retirement - CSRS to FERS Conversion</u> Agency retirement contributions increase as employees under CSRS retire and are replaced by FERS employees. Based on U.S. Department of Justice Agency estimates, we project that the DOJ workforce will convert from CSRS to FERS at a rate of 0.8 percent per year, for both LEO and Non-LEO, based on the past 5 years of DOJ retirement data. The requested increase of \$7,000 is necessary to meet our increased retirement obligations as a result of this conversion.</p> <p>11 <u>Retirement - FERS/FRAE Conversion Savings</u> Agency retirement contributions will decrease as new FERS RAE employees are hired and replace CSRS and regular FERS employees. Based on OMB Circular A-11 FERS RAE withholding rates, we project agency savings from employees hired after December 31, 2012 of 1.8 percent of salaries for Non-LEO employees and 1.7 percent of salaries for LEO employees in FY 2021, for a savings of \$42,000.</p> <p style="text-align: right;">Subtotal, Pay and Benefits</p>	<p>-[80]</p> <p>0</p> <p>0</p> <p>-[80]</p>	<p>-21</p> <p>0</p> <p>0</p> <p>-3</p>	<p>0</p> <p>7</p> <p>-42</p> <p>8,510</p>
Domestic Rent and Facilities			
<p>1 <u>GSA Rent</u> GSA will continue to charge rental rates that approximate those charged to commercial tenants for equivalent space and related services. The requested increase of \$1,072,000 is required to meet our commitment to GSA. The costs associated with GSA rent were derived through the use of an automated system, which uses the latest inventory data, including rate increases to be effective FY 2022 for each building currently occupied by Department of Justice components, as well as the costs of new space to be occupied.</p> <p>2 <u>Moves - Non-Recur</u> GSA requires all agencies to pay relocation costs associated with lease expirations. This is the non-recurrence of the move costs associated with new office relocations provided in the FY 2022 President's Budget.</p> <p style="text-align: right;">Subtotal, Domestic Rent and Facilities</p>	<p>0</p> <p>0</p> <p>0</p>	<p>0</p> <p>0</p> <p>0</p>	<p>1,072</p> <p>-6,711</p> <p>-5,639</p>
Other Adjustments			

E. Justifications for Technical and Base Adjustments

Justifications for Technical and Base Adjustments

Antitrust Division
Salaries and Expenses
(Dollars in Thousands)

	Positions	Estimate FTE	Amount
1 <u>Security Investigations</u> For FY 2023, the request includes a decrease for security investigations totaling \$83,000.	0	0	-83
2 <u>Stabilizing Three Percent Fund</u> The \$3,148,000 request reflects the 2nd year cost shift of Three Percent Funds to base resources because of the growing instability of the Three Percent Fund.	0	0	3,148
Subtotal, Other Adjustments	0	0	3,065
TOTAL DIRECT TECHNICAL and BASE ADJUSTMENTS	-[80]	-3	5,936

Crosswalk of 2021 Availability

Antitrust Division
Salaries and Expenses
(Dollars in Thousands)

Program Activity	FY 2021 Enacted			Reprogramming/Transfers			Carryover	Recoveries/ Refunds	FY 2021 Availability		
	Positions	Actual FTE	Amount	Positions	Actual FTE	Amount	Amount	Amount	Positions	Actual FTE	Amount
Antitrust Division	[740]	602	184,524	0	0	0	4,962	53,040	[740]	602	242,526
Total Direct	[740]	602	184,524	0	0	0	4,962	53,040	[740]	602	242,526
Balance Rescission			0			0	0	0			0
Total Direct with Rescission			184,524			0	4,962	53,040			242,526
Reimbursable FTE		0			0					0	
Total Direct and Reimb. FTE		602			0					602	
Other FTE:											
LEAP FTE		0			0					0	
Overtime		0			0					0	
Grand Total, FTE		602			0					602	
<i>Sub-Allotments and Direct Collections FTE</i>		45								45	

Reprogramming/Transfers:

Carryover:

ATR brought forward \$4.962M of prior year salaries and expenses funding.

Recoveries/Refunds:

As of September 30, 2021, ATR recoveries totaled \$53.040 million.

Crosswalk of 2022 Availability

Antitrust Division
Salaries and Expenses
(Dollars in Thousands)

Program Activity	FY 2022 President's Budget			Reprogramming/Transfers			Carryover	Recoveries/ Refunds	FY 2022 Availability		
	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount	Amount	Amount	Positions	Est. FTE	Amount
Antitrust Division	[830]	753	201,176	0	0	0	60,311	52,000	[830]	753	313,487
Total Direct	[830]	753	201,176	0	0	0	60,311	52,000	[830]	753	313,487
Balance Rescission			0			0	0	0			0
Total Direct with Rescission			201,176			0	60,311	52,000			313,487
Reimbursable FTE		0			0					0	
Total Direct and Reimb. FTE		753			0					753	
Other FTE:											
LEAP FTE		0			0					0	
Overtime		0			0					0	
Grand Total, FTE		753			0					753	
<i>Sub-Allotments and Direct Collections FTE</i>		23								23	

Reprogramming/Transfers:

Carryover:

ATR brought forward \$60.311 million in direct unobligated balances. \$52.124 million are FY 2021 excess offsetting collections and \$8.186 million are unobligated balances of appropriations available for obligation.

Recoveries/Refunds:

ATR anticipates FY 2022 excess offsetting collections in the amount of \$52.000 million.

Summary of Reimbursable Resources

Antitrust Division
Salaries and Expenses
(Dollars in Thousands)

Collections by Source	2021 Actual			2022 Estimate			2023 Request			Increase/Decrease		
	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount
Civil Rights Division	0	0	89	0	0	0	0	0	0	0	0	0
Department of Justice (Justice Management Division)	0	0	176	0	0	0	0	0	0	0	0	0
Office of Attorney Recruitment/Management	0	0	848	0	0	0	0	0	0	0	0	0
Budgetary Resources	0	0	1,113	0	0	0	0	0	0	0	0	0

Obligations by Program Activity	2021 Actual			2022 Estimate			2023 Request			Increase/Decrease		
	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount
Antitrust Division	0	0	1,113	0	0	0	0	0	0	0	0	0
Budgetary Resources	0	0	1,113	0	0	0	0	0	0	0	0	0

Summary of Sub-Allotments and Direct Collections Resources

Antitrust Division
Salaries and Expenses
(Dollars in Thousands)

Sub-Allotments and Direct Collections	2021 Actual			2022 Estimate			2023 Request			Increase/Decrease		
	SubAllot-Dir Coll Pos	SubAllot-Dir Coll FTE	Amount	SubAllot-Dir Coll Pos	SubAllot-Dir Coll FTE	Amount	SubAllot-Dir Coll Pos	SubAllot-Dir Coll FTE	Amount	SubAllot-Dir Coll Pos	SubAllot-Dir Coll FTE	Amount
DEBT COLLECTION MANAGEMENT - 3%	47	45	6,297	23	23	3,148	0	0	0	-23	-23	-3,148
Budgetary Resources	47	45	6,297	23	23	3,148	0	0	0	-23	-23	-3,148

Obligations by Program Activity	2021 Actual			2022 Estimate			2023 Request			Increase/Decrease		
	SubAllot-Dir Coll Pos	SubAllot-Dir Coll FTE	Amount	SubAllot-Dir Coll Pos	SubAllot-Dir Coll FTE	Amount	SubAllot-Dir Coll Pos	SubAllot-Dir Coll FTE	Amount	SubAllot-Dir Coll Pos	SubAllot-Dir Coll FTE	Amount
Antitrust Division	47	45	6,297	23	23	3,148	0	0	0	-23	-23	-3,148
Budgetary Resources	47	45	6,297	23	23	3,148	0	0	0	-23	-23	-3,148

Detail of Permanent Positions by Category

Antitrust Division
Salaries and Expenses
(Dollars in Thousands)

Category	FY 2021 Enacted			FY 2022 President's Budget			FY 2023 Request				
	Direct Pos.	Reimb. Pos.	SubAllot-Dir Coll Pos.	Direct Pos.	Reimb. Pos.	SubAllot-Dir Coll Pos.	ATBs	Program Increases	Program Offsets	Total Direct Pos.	Total Reimb. Pos.
Security Specialists (080)	[4]	0	0	[4]	0	0	-[2]	[3]	0	[5]	0
Social Science, Psychology, Welfare (0100-0199)	[53]	0	0	[58]	0	0	-[5]	[28]	0	[81]	0
Human Resources Management (0200-0260)	[10]	0	0	[10]	0	0	-[3]	[3]	0	[10]	0
Clerical and Office Services (0300-0399)	[80]	0	0	[80]	0	0	-[1]	[6]	0	[85]	0
Accounting and Budget (500-599)	[9]	0	0	[9]	0	0	-[2]	[4]	0	[11]	0
Engineering and Architecture Group (800-899)	0	0	0	0	0	0	[1]	0	0	[1]	0
Paralegals / Other Law (900-998)	[174]	0	24	[209]	0	12	-[26]	[54]	0	[237]	0
Attorneys (905)	[363]	0	22	[412]	0	11	-[43]	[112]	0	[481]	0
Business & Industry (1100-1199)	[4]	0	0	[4]	0	0	-[1]	[4]	0	[7]	0
Library (1400-1499)	[4]	0	0	[4]	0	0	0	[2]	0	[6]	0
Mathematics and Statistics Group	[9]	0	1	[10]	0	0	[3]	0	0	[13]	0
Statistician (1530)	0	0	0	0	0	0	0	[6]	0	[6]	0
Information Technology Mgmt (2210-2299)	[30]	0	0	[30]	0	0	-[1]	[50]	0	[79]	0
Total	[740]	0	47	[830]	0	23	-[80]	[272]	0	[1,022]	0
Headquarters Washington D.C.	[607]	0	34	[677]	0	15	-[57]	[236]	0	[856]	0
US Fields	[133]	0	13	[153]	0	8	-[23]	[36]	0	[166]	0
Foreign Field	0	0	0	0	0	0	0	0	0	0	0
Total	[740]	0	47	[830]	0	23	-[80]	[272]	0	[1,022]	0

Financial Analysis of Program Changes

Antitrust Division
Salaries and Expenses
(Dollars in Thousands)

Grades	Antitrust Division				Total Program Changes	
	Program Increases		Program Decreases		Positions	Amount
	Positions	Amount	Positions	Amount		
GS-15	[139]	18,529	0	0	[139]	18,529
GS-14	[58]	5,474	0	0	[58]	5,474
GS-12	[25]	2,021	0	0	[25]	2,021
GS-9	[50]	3,397	0	0	[50]	3,397
Total Positions and Annual Amount	[272]	29,421	0	0	[272]	29,421
Lapse (-)	-[135]	-14,942	0	0	-[135]	-14,942
11.5 - Other personnel compensation		95	0	0		95
Total FTEs and Personnel Compensation	[137]	14,574	0	0	[137]	14,574
12.1 - Civilian personnel benefits		4,638	0	0		4,638
21.0 - Travel and transportation of persons		200	0	0		200
23.3 - Communications, utilities, and miscellaneous charges		375	0	0		375
24.0 - Printing and reproduction		55	0	0		55
25.1 - Advisory and assistance services		6,442	0	0		6,442
25.2 - Other services from non-federal sources		200	0	0		200
25.3 - Other goods and services from federal sources		14,498	0	0		14,498
25.6 - Medical care		24	0	0		24
25.7 - Operation and maintenance of equipment		4,982	0	0		4,982
26.0 - Supplies and materials		72	0	0		72
31.0 - Equipment		16,022	0	0		16,022
32.0 - Land and structures		3,812	0	0		3,812
Total Program Change Requests	[137]	65,894	0	0	[137]	65,894

Summary of Requirements by Object Class

Antitrust Division
Salaries and Expenses
(Dollars in Thousands)

Object Class	FY 2021 Actual		FY 2022 President's Budget		FY 2023 Request		Increase/Decrease	
	Act. FTE	Amount	Direct FTE	Amount	Direct FTE	Amount	Direct FTE	Amount
11.1 - Full-time permanent	602	67,811	753	93,891	887	116,992	134	23,101
11.3 - Other than full-time permanent	0	12,317	0	17,467	0	20,378	0	2,911
11.5 - Other personnel compensation	0	1,968	0	2,413	0	2,485	0	72
<i>Overtime</i>	0	0	0	0	0	0	0	0
<i>Other Compensation</i>	0	0	0	0	0	0	0	0
11.8 - Special personal services payments	0	643	0	586	0	581	0	-5
Total	602	82,739	753	114,357	887	140,436	134	26,079
Other Object Classes								
12.1 - Civilian personnel benefits		26,828		38,848		47,665	0	8,817
13.0 - Benefits for former personnel		60		44		51	0	7
21.0 - Travel and transportation of persons		228		1,000		1,310	0	310
22.0 - Transportation of things		47		20		23	0	3
23.1 - Rental payments to GSA		23,044		23,764		28,863	0	5,099
23.2 - Rental payments to others		297		320		372	0	52
23.3 - Communications, utilities, and miscellaneous charges		915		923		1,364	0	441
24.0 - Printing and reproduction		355		435		549	0	114
25.1 - Advisory and assistance services		8,494		11,494		16,788	0	5,294
25.2 - Other services from non-federal sources		6,280		6,895		9,889	0	2,994
25.3 - Other goods and services from federal sources		3,441		12,101		33,198	0	21,097
25.4 - Operation and maintenance of facilities		3,348		4,072		3,434	0	-638
25.6 - Medical care		0		0		19	0	19
25.7 - Operation and maintenance of equipment		12,114		9,441		10,603	0	1,162
26.0 - Supplies and materials		1,413		1,479		1,775	0	296
31.0 - Equipment		1,035		866		18,119	0	17,253
32.0 - Land and structures		11,450		6,711		2,814	0	-3,897
42.0 - Insurance claims and indemnities		127		0		0	0	0
Total Obligations		182,215		232,770		317,270	0	84,500
Net of:								
Unobligated Balance, Start-of-Year		-4,962		-60,311		-80,717	0	-20,406
Transfers/Reprogramming		0		0		0	0	0
Recoveries/Refunds		-53,040		-52,000		-52,000	0	0
Balance Rescission		0		0		0	0	0
Unobligated End-of-Year, Available		60,311		80,717		88,453	0	7,736
Unobligated End-of-Year, Expiring		0		0		0	0	0
Total Direct Requirements		184,524		201,176		273,006		71,830
Reimbursable FTE								
Full-Time Permanent	0		0		0		0	0
<i>Sub-Allotments and Direct Collections FTE</i>	45		23		0		-23	

ATR will continue to pay increased non-SES Awards at least 1% greater in FY 2022 and FY 2023.