

UNITED STATES DEPARTMENT
OF
JUSTICE



**ANNUAL REPORT TO CONGRESS
ON OUTSTANDING
GOVERNMENT ACCOUNTABILITY OFFICE
AND INSPECTOR GENERAL
RECOMMENDATIONS**

February 2019

Background

In accordance with the Good Accounting Obligation in Government Act or the GAO–IG Act, the Department of Justice (Department or DOJ) provides the following report. The report describes the Department’s actions on outstanding public recommendations of the Government Accountability Office (GAO) and the Department’s Office of the Inspector General (OIG) as of February 26, 2019. The first section of the report provides information on the status of implementing GAO public recommendations designated by the GAO as *Open*. The second section provides information on the status of GAO public recommendations designated by the GAO as *Closed, Unimplemented*. The third and final section of the report provides information on the status of implementing OIG recommendations for which the Department has not completed final action. In accordance with the Act, all three sections provide the required reporting elements for recommendations published not less than 1 year before the date on which the annual budget justification is submitted.¹

The GAO–IG Act also requires the Department to include a statement describing the status of implementing public recommendations open less than 1 year. For the GAO and OIG recommendations meeting this parameter, as of February 26, 2019, the Department was in the process of implementing the recommendations, awaiting closure of the recommendations by the GAO or OIG, or awaiting discussions with the GAO or OIG on further action to be implemented, if any, to close the recommendations.

The GAO–IG Act requires the Department to disclose discrepancies between its report and reports issued by the GAO and OIG. The Department is not aware of any discrepancy between this report and public reports issued by the GAO relating to public recommendations designated by the GAO as *Open* or *Closed, Unimplemented*. Further, the Department is not aware of any discrepancy between this report and semiannual reports submitted by the OIG under Section 5 of the Inspector General Act of 1978 (5 U.S.C. App.)

DOJ Report on Outstanding GAO and OIG Recommendations

1. GAO Recommendations

a. Implementation Status of GAO Public Recommendations Designated by the GAO as Open

The reporting details are provided in Appendix 1. As required by the GAO–IG Act, the details include the implementation status of each public recommendation, to include a timeline for full implementation, as applicable; e.g., for several recommendations, the Department believes it has completed final action and is awaiting GAO concurrence and closure of the recommendations.

b. Implementation Status of GAO Public Recommendations Designated by the GAO as Closed, Unimplemented

The reporting details are provided in Appendix 2. For this requirement, the Department reported on recommendations designated by the GAO as Closed, Unimplemented for reports issued during the last five years, i.e., reports issued by the GAO in calendar years 2014 through 2018. For those reports, the GAO designated only two recommendations from one report as Closed, Unimplemented. As required by the GAO–IG Act, the reporting details include the Department’s justification for the decision not to implement the recommendations.

¹ The year ended December 31, 2018, is the most recent year preceding the enactment of the GAO–IG Act and the subsequent submission of the Department’s annual budget justification. Therefore, the reporting details in Appendices 1 through 3 provide information on public recommendations in GAO and OIG reports issued on or before December 31, 2017.

2. OIG Recommendations

a. Implementation Status of OIG Public Recommendations for which Final Action Has Not Been Completed

The reporting details are provided in Appendix 3. As required by the GAO-IG Act, the details include the implementation status of each public recommendation, to include a timeline for implementation, as applicable; e.g., for several recommendations, the Department believes it has completed final action and is awaiting OIG concurrence and closure of the recommendations.

This section of the report also provides the status of final action for recommendations with questioned costs and recommendations that funds be put to better use (FBU). The reporting details show that, even though recommendations may still be open, the Department has completed final action on, and the OIG has closed, more than \$6.5 million of questioned costs. The details also show that, Department-wide, there are only four open FBU recommendations totaling \$60,163.²

² For purposes of this report, a recommendation that includes funds to better use means the OIG believes funds could be used more efficiently if management took actions to implement and complete the recommendation.

Implementation Status of GAO Public Recommendations Designated by the GAO as Open

Report No.	Report Title	Issued Date	DOJ Component	Rec. No. in GAO Report	Recommendation	Timeline for Full Implementation	Justification for Decision Not to Implement
GAO-11-857	FEDERAL COURTHOUSES: Improved Collaboration Needed to Meet the Needs of a Complex Security Environment	9/28/2011	USMS	1	The Secretary of Homeland Security and Attorney General should instruct the Director of FPS, and the Director of the Marshals Service, respectively, to jointly lead an effort, in consultation and agreement with the judiciary and GSA, to update the MOA on courthouse security to address the challenges discussed in this report. Specifically, in this update to the MOA stakeholders should: (1) clarify federal stakeholders' roles and responsibilities including, but not limited to, the conditions under which stakeholders may assume each other's responsibilities and whether such agreements should be documented; and define GSA's responsibilities and determine whether GSA should be included as a signatory to the updated MOA; (2) outline how they will ensure greater participation of relevant stakeholders in court or facility security committees; and (3) specify how they will complete required risk assessments for courthouses, referred to by the Marshals Service as court security facility surveys and by FPS as facility security assessments (FSA), and ensure that the results of those assessments are shared with relevant stakeholders, as appropriate.	FY 2019	Not applicable. Implementation is in progress.
GAO-12-791	ORGANIZATIONAL TRANSFORMATION: Enterprise Architecture Value Needs to be Measured and Reported	9/26/2012	JMD	12	To enhance federal agencies' ability to realize enterprise architecture benefits, the Secretaries of the Departments of Agriculture, the Air Force, the Army, Commerce, Defense, Education, Energy, Homeland Security, the Interior, Labor, the Navy, State, Transportation, the Treasury, and Veterans Affairs; the Attorney General; the Administrators of the Environmental Protection Agency, General Services Administration, National Aeronautics and Space Administration, and Small Business Administration; the Commissioners of the Nuclear Regulatory Commission and Social Security Administration; and the Directors of the National Science Foundation and the Office of Personnel Management should fully establish an approach for measuring enterprise architecture outcomes, including a documented method (i.e., steps to be followed) and metrics that are measurable, meaningful, repeatable, consistent, actionable, and aligned with the agency's enterprise architecture's strategic goals and intended purpose.	FY 2019	Not applicable. Implementation is in progress.
				36	To enhance federal agencies' ability to realize enterprise architecture benefits, the Secretaries of the Departments of Agriculture, the Air Force, the Army, Commerce, Defense, Education, Energy, Homeland Security, the Interior, Labor, the Navy, State, Transportation, the Treasury, and Veterans Affairs; the Attorney General; the Administrators of the Environmental Protection Agency, General Services Administration, National Aeronautics and Space Administration, and Small Business Administration; the Commissioners of the Nuclear Regulatory Commission and Social Security Administration; and the Directors of the National Science Foundation and the Office of Personnel Management should periodically measure and report enterprise architecture outcomes and benefits to top agency officials (i.e., executives with authority to commit resources or make changes to the program) and to OMB.	FY 2019	Not applicable. Implementation is in progress.

Implementation Status of GAO Public Recommendations Designated by the GAO as Open

Report No.	Report Title	Issued Date	DOJ Component	Rec. No. in GAO Report	Recommendation	Timeline for Full Implementation	Justification for Decision Not to Implement	
GAO-14-204	CIVILIAN INTELLIGENCE COMMUNITY: Additional Actions Needed to Improve Reporting on and Planning for the Use of Contract Personnel	11/18/2013	JMD	3	To improve civilian IC elements' or their respective departments' ability to mitigate risks associated with the use of contractors, we recommend the Director or National Intelligence, Director of the Central Intelligence Agency, Attorney General of the United States, and Secretaries of Energy and the Treasury direct responsible agency officials to set time frames to develop guidance that fully addresses OFPP Policy Letter 11-01's requirement related to closely supporting inherently government Functions.	FY 2019	Not applicable. Implementation is in progress.	
GAO-14-65	INFORMATION TECHNOLOGY: Additional OMB and Agency Actions Are Needed to Achieve Portfolio Savings	11/6/2013	JMD	30	To improve the department's implementation of PortfolioStat, the Attorney General should direct the CIO to reflect 100 percent of information technology investments in the department's enterprise architecture.	FY 2019	Not applicable. Implementation is in progress.	
				31	To improve the department's implementation of PortfolioStat, in future reporting to OMB, the Attorney General should direct the CIO to fully describe the following PortfolioStat action plan element: establish targets for commodity IT spending reductions and deadlines for meeting those targets.	FY 2019	Not applicable. Implementation is in progress.	
GAO-15-112	WHISTLEBLOWER PROTECTION: Additional Actions Needed to Improve DOJ's Handling of FBI Retaliation Complaints	2/23/2015	JMD	4	To better ensure that DOJ is fulfilling its commitment to improving efficiency in handling these complaints, Office of Attorney Recruitment and Management (OARM) and Office of the Deputy Attorney General (ODAG) should provide parties with an estimated time frame for returning each decision, including whether the complaint meets threshold regulatory requirements, merits, and appeals. If the time frame shifts, OARM and ODAG should timely communicate a revised estimate to the parties.	FY 2019	Not applicable. Implementation is in progress.	
				6	To better ensure that DOJ is fulfilling its commitment to improving efficiency in handling these complaints, DOJ Office of Professional Responsibility (DOJ-OPR), Office of the Inspector General, OARM, and ODAG should jointly assess the impact of ongoing and planned efforts to reduce the duration of FBI whistleblower retaliation complaints throughout the entire investigation, adjudication, and appeal process to ensure that these changes are in fact shortening total complaint length, without sacrificing quality.	FY 2019	Not applicable. Implementation is in progress.	
				ODAG	1	To better ensure that FBI whistleblowers have access to recourse under DOJ's regulations should the individuals experience retaliation, and to minimize the possibility of discouraging future potential whistleblowers, the Attorney General should clarify in all current relevant DOJ guidance and communications, including FBI guidance and communications, to whom FBI employees may make protected disclosures and, further, explicitly state that employees will not have access to recourse if they experience retaliation for reporting alleged wrongdoing to someone not designated in DOJ's regulations.	FY 2019	Not applicable. Implementation is in progress.
				3	To better ensure that DOJ is fulfilling its commitment to improving efficiency in handling these complaints, Office of Attorney Recruitment and Management (OARM) and Office of the Deputy Attorney General (ODAG) should provide parties with an estimated time frame for returning each decision, including whether the complaint meets threshold regulatory requirements, merits, and appeals. If the time frame shifts, OARM and ODAG should timely communicate a revised estimate to the parties.	FY 2019	Not applicable. Implementation is in progress.	

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Report No.	Report Title	Issued Date	DOJ Component	Rec. No. in GAO Report	Recommendation	Timeline for Full Implementation	Justification for Decision Not to Implement	
GAO-15-112	WHISTLEBLOWER PROTECTION: Additional Actions Needed to Improve DOJ's Handling of FBI Retaliation Complaints	2/23/2015	ODAG	5	To better ensure that DOJ is fulfilling its commitment to improving efficiency in handling these complaints, DOJ Office of Professional Responsibility (DOJ-OPR), Office of the Inspector General, OARM, and ODAG should jointly assess the impact of ongoing and planned efforts to reduce the duration of FBI whistleblower retaliation complaints throughout the entire investigation, adjudication, and appeal process to ensure that these changes are in fact shortening total complaint length, without sacrificing quality.	FY 2019	Not applicable. Implementation is in progress.	
				8	To better ensure that DOJ is fulfilling its commitment to improving efficiency in handling these complaints, DOJ Office of Professional Responsibility (DOJ-OPR), Office of the Inspector General, OARM, and ODAG should jointly assess the impact of ongoing and planned efforts to reduce the duration of FBI whistleblower retaliation complaints throughout the entire investigation, adjudication, and appeal process to ensure that these changes are in fact shortening total complaint length, without sacrificing quality.	FY 2019	Not applicable. Implementation is in progress.	
				OPR	2	To ensure that complainants receive the periodic updates that they are entitled to and need to determine next steps for their complaint, such as whether or not to seek corrective action from OARM, Counsel, DOJ-OPR should tailor its new case management system or otherwise develop an oversight mechanism to capture information on the office's compliance with regulatory requirements and, further, use that information to monitor and identify opportunities to improve DOJ-OPR's compliance with regulatory requirements.	FY 2019	Not applicable. Implementation is in progress.
				7	To better ensure that DOJ is fulfilling its commitment to improving efficiency in handling these complaints, DOJ Office of Professional Responsibility (DOJ-OPR), Office of the Inspector General, OARM, and ODAG should jointly assess the impact of ongoing and planned efforts to reduce the duration of FBI whistleblower retaliation complaints throughout the entire investigation, adjudication, and appeal process to ensure that these changes are in fact shortening total complaint length, without sacrificing quality.	FY 2019	Not applicable. Implementation is in progress.	
GAO-15-288	CRITICAL TECHNOLOGIES: Agency Initiatives Address Some Weaknesses, but Additional Interagency Collaboration Is Needed	2/10/2015	NSD	4	To ensure a consistent and more collaborative approach to the protection of critical technologies, the Secretaries of Commerce, Defense, Homeland Security, State, and the Treasury; as well as the Attorney General of the United States, who have lead and stakeholder responsibilities for the eight programs within the critical technologies portfolio, should take steps to promote and strengthen collaboration mechanisms among their respective programs while ongoing initiatives are implemented and assessed. These steps need not be onerous; for example, they could include conducting an annual meeting to discuss their programs, including the technologies they are protecting, their programs' intent, any new developments or changes planned for their programs, as well as defining consistent critical technologies terminology and sharing important updates. NSD to take the lead.	FY 2019	Not applicable. Implementation is in progress.	
GAO-15-351	CHILD ABUSE ACT: Further Actions Needed to Ensure Timely Use of Grant Funds and Assess Grantee Performance	4/29/2015	OJP	1	To ensure the timely expenditure of VOCA grant funds and thereby limit the carryover of unexpended grant balances, minimize the need for multiple grant extensions, and strengthen OJJDP's capacity to collect and assess grantee performance information, the Assistant Attorney General for OJP should work with the Administrator of OJJDP to conduct a study to examine whether any of its administrative processes contribute to unnecessary delays in grantees' ability to expend VOCA funds within the established 12-month project period and make modifications to these processes as appropriate.	FY 2019	Not applicable. Implementation is in progress.	

Implementation Status of GAO Public Recommendations Designated by the GAO as Open

Report No.	Report Title	Issued Date	DOJ Component	Rec. No. in GAO Report	Recommendation	Timeline for Full Implementation	Justification for Decision Not to Implement
GAO-15-351	CHILD ABUSE ACT: Further Actions Needed to Ensure Timely Use of Grant Funds and Assess Grantee Performance	4/29/2015	OJP	2	To ensure the timely expenditure of VOCA grant funds and thereby limit the carryover of unexpended grant balances, minimize the need for multiple grant extensions, and strengthen OJJDP's capacity to collect and assess grantee performance information, the Assistant Attorney General for OJP should work with the Administrator of OJJDP to, considering the results of this study, examine whether the current 12-month project period is realistic in light of any administrative processes that cause delay but cannot be modified and extend the project period if necessary.	FY 2019	Not applicable. Implementation is in progress.
GAO-15-431	TELECOMMUNICATIONS: Agencies Need Better Controls to Achieve Significant Savings on Mobile Devices and Services	5/21/2015	JMD	16	To help the department effectively manage spending on mobile devices and services, the Attorney General should ensure a department-wide inventory of mobile service contracts is maintained.	FY 2019	Not applicable. Implementation is in progress.
GAO-15-432	ENERGY SAVINGS PERFORMANCE CONTRACTS: Additional Actions Needed to Improve Federal Oversight	6/17/2015	BOP	4	To help ensure that agencies have sufficient information on the effects of changing circumstances on the performance of their ESPC portfolios, the Secretaries of Defense, Energy, and Veterans Affairs; the Attorney General; and the Administrator of the General Services Administration should establish a process to systematically evaluate their ESPC projects--including baseline assumptions about facilities' energy use, utility prices, and interest rates--to determine how their ESPC portfolios are performing and the extent to which they are achieving expected savings. Agencies could consider conducting such evaluations either after a certain number of years, or in response to events, such as changes in utility prices or market interest rates, or appropriations becoming available that could be used for modifications or terminations.	BOP management reported to GAO on 6/5/2018 that BOP had completed final action.	Not applicable. Awaiting GAO closure of the recommendation.
				13	To help ensure that agencies have sufficient information on ESPC performance to oversee whether future and current contracts are achieving their expected savings, the Secretaries of Defense, Energy, and Veterans Affairs; the Attorney General; and the Administrator of the General Services Administration should work with contractors to determine the best way to obtain estimates of cost and energy savings that are not achieved because of agency actions in order to include these estimates in future measurement and verification reports for existing contracts, in accordance with DOE guidance, and where economically feasible.	BOP management reported to GAO on 6/5/2018 that BOP had completed final action.	Not applicable. Awaiting GAO closure of the recommendation.
GAO-15-471	PRESCRIPTION DRUGS: More DEA Information about Registrants' Controlled Substances Roles Could Improve Their Understanding and Help Ensure Access	7/27/2015	DEA	2	In order to strengthen DEA's communication with and guidance for registrants and associations representing registrants, as well as supporting the Office of Diversion Control's mission of preventing diversion while ensuring an adequate and uninterrupted supply of controlled substances for legitimate medical needs, the Deputy Assistant Administrator for the Office of Diversion Control should solicit input from distributors, or associations representing distributors, and develop additional guidance for distributors regarding their roles and responsibilities for suspicious orders monitoring and reporting.	FY 2019	Not applicable. Implementation is in progress.
				3	In order to strengthen DEA's communication with and guidance for registrants and associations representing registrants, as well as supporting the Office of Diversion Control's mission of preventing diversion while ensuring an adequate and uninterrupted supply of controlled substances for legitimate medical needs, the Deputy Assistant Administrator for the Office of Diversion Control should solicit input from pharmacists, or associations representing pharmacies and pharmacists, about updates and additions needed to existing guidance for pharmacists, and revise or issue guidance accordingly.	FY 2019	Not applicable. Implementation is in progress.

Implementation Status of GAO Public Recommendations Designated by the GAO as Open

Report No.	Report Title	Issued Date	DOJ Component	Rec. No. in GAO Report	Recommendation	Timeline for Full Implementation	Justification for Decision Not to Implement	
GAO-15-48	DEPARTMENT OF JUSTICE: Alternative Sources of Funding Are a Key Source of Budgetary Resources and Could Be Better Managed	2/19/2015	JMD	1	To help ensure the efficient use of resources for the Three Percent Fund, the Attorney General should develop a policy and implement procedures to regularly analyze unobligated balances and develop collection estimates in order to determine an appropriate reserve amount and inform estimates of future funding needs.	FY 2019	Not applicable. Implementation is in progress.	
GAO-16-267	FACE RECOGNITION TECHNOLOGY: FBI Should Better Ensure Privacy and Accuracy	5/16/2016	FBI	3	To better ensure that face recognition capabilities are being used in accordance with privacy protection laws and policy requirements, the Director of the Federal Bureau of Investigation should conduct audits to determine the extent to which users of NGI-IPS and biometric images specialists in FACE Services are conducting face image searches in accordance with Criminal Justice Information Services Division policy requirements.	FY 2019	Not applicable. Implementation is in progress.	
				4	To better ensure that face recognition systems are sufficiently accurate, the Director of the Federal Bureau of Investigation should conduct tests of NGI-IPS to verify that the system is sufficiently accurate for all allowable candidate list sizes, and ensure that the detection and false positive rate used in the tests are identified.	The FBI continues to disagree with GAO's recommendation and awaits further discussion regarding actions to be implemented, if any, to close the recommendation.	The FBI awaits further discussion with GAO. See comment at left.	
				5	To better ensure that face recognition systems are sufficiently accurate, the Director of the Federal Bureau of Investigation should conduct an operational review of NGI-IPS at least annually that includes an assessment of the accuracy of face recognition searches to determine if it is meeting federal, state, and local law enforcement needs and take actions, as necessary, to improve the system.	FY 2019	Not applicable. Implementation is in progress.	
				6	To better ensure that face recognition systems are sufficiently accurate, the Director of the Federal Bureau of Investigation should take steps to determine whether each external face recognition system used by FACE Services is sufficiently accurate for the FBI's use and whether results from those systems should be used to support FBI investigations.	The FBI continues to disagree with GAO's recommendation and awaits further discussion regarding actions to be implemented, if any, to close the recommendation.	The FBI awaits further discussion with GAO. See comment at left.	
				OPCL	1	To improve transparency and better ensure that face recognition capabilities are being used in accordance with privacy protection laws and policy requirements, the Attorney General should assess the PIA development process to determine why PIAs were not published prior to using or updating face recognition capabilities, and implement corrective actions to ensure the timely development, updating, and publishing of PIAs before using or making changes to a system.	OPCL management reported to GAO on 11/26/2018 that OPCL had completed final action.	Not applicable. Awaiting GAO closure of the recommendation.
				2	To improve transparency and better ensure that face recognition capabilities are being used in accordance with privacy protection laws and policy requirements, the Attorney General should assess the SORN development process to determine why a SORN was not published that addressed the collection and maintenance of photos accessed and used through NGI for the FBI's face recognition capabilities prior to using NGI-IPS, and implement corrective actions to ensure SORNs are published before systems become operational.	OPCL management reported to GAO on 11/26/2018 that OPCL had completed final action.	Not applicable. Awaiting GAO closure of the recommendation.	

Report No.	Report Title	Issued Date	DOJ Component	Rec. No. in GAO Report	Recommendation	Timeline for Full Implementation	Justification for Decision Not to Implement
GAO-16-310	CONTROLLED SUBSTANCES: Substances: DEA Should Take Additional Actions to Reduce Risks in Monitoring the Continued Eligibility of Its Registrants	5/26/2016	DEA	1	To help ensure that practitioners who may be ineligible do not possess a controlled substance registration and that practitioners who pose an increased risk of illicit diversion are identified, the Acting Administrator of DEA should take additional actions to strengthen verification controls. Specifically, the Acting Administrator of DEA should develop a legislative proposal requesting authority to require SSNs for all individuals, regardless of whether they hold an individual or business registration.	DEA continues to disagree with GAO's recommendation and awaits further discussion regarding actions to be implemented, if any, to close the recommendation.	DEA awaits further discussion with GAO. See comment at left.
				2	To help ensure that practitioners who may be ineligible do not possess a controlled substance registration and that practitioners who pose an increased risk of illicit diversion are identified, the Acting Administrator of DEA should take additional actions to strengthen verification controls. Specifically, the Acting Administrator of DEA should develop policies and procedures to validate SSNs and apply the policies and procedures to all new and existing SSNs in the CSA2; such an approach could involve collaborating with SSA to assess the feasibility of checking registrants' SSNs against the Enumeration Verification System.	DEA continues to disagree with GAO's recommendation and awaits further discussion regarding actions to be implemented, if any, to close the recommendation.	DEA awaits further discussion with GAO. See comment at left.
				4	To help ensure that practitioners who may be ineligible do not possess a controlled substance registration and that practitioners who pose an increased risk of illicit diversion are identified, the Acting Administrator of DEA should take additional actions to strengthen verification controls. Specifically, the Acting Administrator of DEA should identify and implement a cost-effective approach to monitor state licensure and disciplinary actions taken against its registrants; such an approach could include using data sources that contain this information, such as the National Practitioner Data Bank or the Federation of State Medical Boards.	DEA continues to disagree with GAO's recommendation and awaits further discussion regarding actions to be implemented, if any, to close the recommendation.	DEA awaits further discussion with GAO. See comment at left.
				5	To help ensure that practitioners who may be ineligible do not possess a controlled substance registration and that practitioners who pose an increased risk of illicit diversion are identified, the Acting Administrator of DEA should take additional actions to strengthen verification controls. Specifically, the Acting Administrator of DEA should assess the cost and feasibility of developing procedures for monitoring registrants' criminal backgrounds, such as conducting matches against federal law-enforcement databases, and document decisions about the approach chosen.	DEA continues to disagree with GAO's recommendation and awaits further discussion regarding actions to be implemented, if any, to close the recommendation.	DEA awaits further discussion with GAO. See comment at left.
GAO-16-323	DATA CENTER CONSOLIDATION: Agencies Making Progress, but Planned Savings Goals Need to Be Established	3/3/2016	JMD	21	The Department should take action to improve progress in the data center optimization areas that we reported as not meeting OMB's established targets, including addressing any identified challenges.	FY 2019	Not applicable. Implementation is in progress.
GAO-16-345	K-12 EDUCATION: Better Use of Information Could Help Agencies Identify Disparities and Address Racial Discrimination	5/17/2016	CRT	2	The Attorney General of the United States should direct the Department of Justice's Civil Rights Division to systematically track key summary information across its portfolio of open desegregation cases and use this data to inform its monitoring of these cases. Such information could include, for example, dates significant actions were taken or reports received.	FY 2019	Not applicable. Implementation is in progress.

Implementation Status of GAO Public Recommendations Designated by the GAO as Open

Report No.	Report Title	Issued Date	DOJ Component	Rec. No. in GAO Report	Recommendation	Timeline for Full Implementation	Justification for Decision Not to Implement
GAO-16-468	INFORMATION TECHNOLOGY: Federal Agencies Need to Address Aging Legacy Systems	5/25/2016	JMD	12	To address obsolete IT investments in need of modernization or replacement, the Secretaries of Agriculture, Commerce, Defense, Energy, Health and Human Services, Homeland Security, State, the Treasury, Transportation, and Veterans Affairs; the Attorney General; and the Commissioner of Social Security should direct their respective agency CIOs to identify and plan to modernize or replace legacy systems as needed and consistent with OMB's draft guidance, including time frames, activities to be performed, and functions to be replaced or enhanced.	FY 2019	Not applicable. Implementation is in progress.
GAO-16-472	PRISONER OPERATIONS: United States Marshals Service Could Better Estimate Cost Savings and Monitor Efforts to Increase Efficiencies	5/23/2016	USMS	1	To ensure that costs savings estimates are reliable, the Director of the USMS should direct its Prisoner Operations Division to develop reliable methods for estimating cost savings and validating reported savings achieved.	FY 2019	Not applicable. Implementation is in progress.
GAO-16-483	GUN CONTROL: Analyzing Available Data Could Help Improve Gun Checks Involving Domestic Violence Records	7/5/2016	FBI	1	To help the NICS Section achieve its mission to enhance national security and public safety by providing the timely and accurate determination of a person's eligibility to possess firearms, the Director of the FBI should monitor NICS check outcomes for specific categories of prohibited individuals to assess timeliness and provide this information to other DOJ entities for use in establishing priorities and tools to assist states in submitting more complete records for use during NICS checks.	FY 2019	Not applicable. Implementation is in progress.
GAO-16-50	ASYLUM: Additional Actions Needed to Assess and Address Fraud Risks	12/2/2015	EOIR	1	To provide reasonable assurance that EOIR's fraud prevention controls are adequate, the Attorney General should direct EOIR to conduct regular fraud risk assessments across asylum claims in the immigration courts.	FY 2019	Not applicable. Implementation is in progress.
GAO-16-510	MANAGING FOR RESULTS: Agencies Need to Fully Identify and Report Major Management Challenges and Actions to Resolve them in their Agency Performance Plans	6/15/2016	JMD	8	To improve the public reporting of major management challenges and to ensure performance information is useful, transparent, and complete, the Attorney General should describe the Department of Justice's major management challenges and include performance goals, performance measures, milestones, planned actions and an agency official responsible for resolving each of its major management challenges as part of the Department of Justice's agency performance plan.	FY 2019	Not applicable. Implementation is in progress.
GAO-16-515	MISSING PERSONS AND UNIDENTIFIED REMAINS: Opportunities May Exist to Share Data More Efficiently	6/7/2016	FBI	1	To allow for more efficient use of data on missing and unidentified persons contained in the NCIC's Missing Persons and Unidentified Persons files and NamUs, the Directors of the FBI and NIJ should evaluate the feasibility of sharing certain information among authorized users, document the results of this evaluation, and incorporate, as appropriate, legally and technically feasible options for sharing the information.	The FBI continues to disagree with GAO's recommendation. FBI management again requested closure on 2/22/2019, and provided information to supplement previous requests for closure.	The DOJ and FBI continue to maintain and believe that law governing the sharing of NCIC content prohibits the cross-sharing of information the GAO recommended. Awaiting GAO closure of the recommendation.
			OJP	2	To allow for more efficient use of data on missing and unidentified persons contained in the NCIC's Missing Persons and Unidentified Persons files and NamUs, the Directors of the FBI and NIJ should evaluate the feasibility of sharing certain information among authorized users, document the results of this evaluation, and incorporate, as appropriate, legally and technically feasible options for sharing the information.	FY 2020	Not applicable. Implementation is in progress.

Implementation Status of GAO Public Recommendations Designated by the GAO as Open

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GAO-16-516	FEDERAL PRISON SYSTEM: Justice Has Used Alternatives to Incarceration, But Could Better Measure Program Outcomes	6/23/2016	BOP	5	To determine how the use of RRCs and home confinement contribute to its goal of helping inmates successfully reenter society, and to better enable BOP to adjust its policies and procedures for the optimal use of these alternatives, as necessary and within statutory requirements, the Director of BOP should develop performance measures by which to help assess program outcomes.	BOP management reported to GAO on 11/9/2018 that BOP had completed final action.	Not applicable. Awaiting GAO closure of the recommendation.
			ODAG	2	To help determine if pretrial diversion programs and practices are effectively contributing to the achievement of department goals and enhance DOJ's ability to better manage and encourage the use of such programs and practices, the Attorney General should identify, obtain, and track data on the outcomes and costs of pretrial diversion programs.	FY 2019	Not applicable. Implementation is in progress.
				3	To help determine if pretrial diversion programs and practices are effectively contributing to the achievement of department goals and enhance DOJ's ability to better manage and encourage the use of such programs and practices, the Attorney General should develop performance measures by which to help assess program outcomes.	FY 2019	Not applicable. Implementation is in progress.
GAO-16-546	SEXUAL VIOLENCE DATA: Actions Needed to Improve Clarity and Address Differences Across Federal Data Collection Efforts	7/19/2016	OJP	3	To enhance the clarity and transparency of sexual violence data that is reported to the public, the Secretary of Education should direct the Assistant Secretary for the Office of Postsecondary Education, the Secretary of Health and Human Services should direct the Director of Centers for Disease Control and Prevention, and the Attorney General should direct the Director of the Bureau of Justice Statistics to make information on the acts of sexual violence and contextual factors that are included in their measurements of sexual violence publicly available. This effort could entail revising their definitions of key terms used to describe sexual violence so that the definitions match the measurements of sexual violence.	FY 2020	Not applicable. Implementation is in progress.
GAO-16-552	FIREARMS DATA: ATF Did Not Always Comply with the Appropriations Act Restriction and Should Better Adhere to Its Policies	6/30/2016	ATF	3	In order to help ensure that ATF adheres to its policies and facilitates industry compliance with requirements, the Deputy Director of ATF should align the MS deletion policy, MS system design, and the timeliness of deletion practices to improve ATF's compliance with the policy.	ATF management reported to GAO on 11/5/2018 that ATF had completed final action.	Not applicable. Awaiting GAO closure of the recommendation.
GAO-16-717	COMBATING WILDLIFE TRAFFICKING: Agencies Are Taking a Range of Actions, but the Task Force Lacks Performance Targets for Assessing Progress	9/22/2016	ENRD	2	To provide a basis for comparing actual results with intended results that can generate more meaningful performance information, the Secretaries of the Interior and State and the Attorney General of the United States should jointly work with the Task Force to develop performance targets related to the National Strategy for Combating Wildlife Trafficking Implementation Plan. *Multi-component input.	FY 2020	Not applicable. Implementation is in progress.
GAO-17-300	COUNTERING VIOLENT EXTREMISM: Actions Needed to Define Strategy and Assess Progress of Federal Efforts	4/6/2017	ODAG	2	To help identify what domestic CVE efforts are to achieve and the extent to which investments in CVE result in measureable success, the Secretary of Homeland Security and the Attorney General--as heads of the two lead agencies responsible for coordinating CVE efforts--should direct the CVE Task Force to develop a cohesive strategy that includes measurable outcomes for CVE activities.	FY 2019	Not applicable. Implementation is in progress.
				4	To help identify what domestic CVE efforts are to achieve and the extent to which investments in CVE result in measureable success, the Secretary of Homeland Security and the Attorney General--as heads of the two lead agencies responsible for coordinating CVE efforts--should direct the CVE Task Force to establish and implement a process to assess overall progress in CVE, including its effectiveness.	FY 2019	Not applicable. Implementation is in progress.

Implementation Status of GAO Public Recommendations Designated by the GAO as Open

Report No.	Report Title	Issued Date	DOJ Component	Rec. No. in GAO Report	Recommendation	Timeline for Full Implementation	Justification for Decision Not to Implement
GAO-17-325	HUMAN TRAFFICKING: Action Needed to Identify the Number of Native Americans Receiving Federally-funded Services	3/30/2017	OJP	2	To help ensure that DOJ is contributing to efforts to improve data collection and service provision to Native Americans, the Assistant Attorney General for the Office of Justice Programs should direct OVC and OJJDP to require their grantees to report the number of human trafficking victims served using grant funding, and, as appropriate, the Native American status of those victims.	OJP management reported to GAO on 10/31/2018 that OJP had completed final action.	Not applicable. Awaiting GAO closure of the recommendation.
			OVW	1	To help ensure that DOJ is contributing to efforts to improve data collection and service provision to Native Americans, the Director of OVW should require grantees to report the number of human trafficking victims served using grant funding, and, as appropriate, the Native American status of those victims.	FY 2020	Not applicable. Implementation is in progress.
GAO-17-379	BUREAU OF PRISONS: Better Planning and Evaluation Needed to Understand and Control Rising Inmate Health Care Costs	6/29/2017	BOP	1	To better understand the available opportunities for collecting inmate health care utilization data, BOP should conduct a cost-effectiveness analysis of potential solutions, and take steps toward implementation of the most effective solution.	FY 2020	Not applicable. Implementation is in progress.
				2	To better understand the available opportunities for controlling health care costs, BOP should implement its guidance to conduct "spend analyses" of BOP's health care spending, using data sources already available	FY 2020	Not applicable. Implementation is in progress.
				3	To determine the actual or likely effectiveness of its ongoing or planned health care cost control initiatives, BOP should evaluate the extent to which its initiatives achieve their cost control aim.	FY 2020	Not applicable. Implementation is in progress.
				4	To enhance its strategic planning for and implementation of health care cost control efforts, BOP should incorporate elements of a sound planning approach and (1) establish a means of measuring progress toward and effectiveness of its activities for its current strategic objectives and goals related to controlling health care costs; and (2) identify the resources and investments necessary for implementation of its planned health care cost control initiatives.	FY 2020	Not applicable. Implementation is in progress.
				5	To improve the reliability and utility of its Federal Medical Center mission analyses, BOP should document the analyses and findings that underlie its recommendations.	FY 2020	Not applicable. Implementation is in progress.
GAO-17-4	STUDENT LOANS: Oversight of Servicemembers' Interest Rate Cap Could be Strengthened	11/15/2016	CRT	2	To ensure that all eligible servicemembers with student loans receive the SCRA interest rate cap, the Attorney General should direct the Department of Justice to consider modifying its proposed changes to SCRA to require use of the automatic eligibility check for private student loans.	FY 2020	Not applicable. Implementation is in progress.

Report No.	Report Title	Issued Date	DOJ Component	Rec. No. in GAO Report	Recommendation	Timeline for Full Implementation	Justification for Decision Not to Implement
GAO-17-4	STUDENT LOANS: Oversight of Servicemembers' Interest Rate Cap Could be Strengthened	11/15/2016	CRT	5	To better ensure that servicemembers with private student loans benefit from the SCRA interest rate cap, the Director of the Consumer Financial Protection Bureau and the Attorney General of the Department of Justice should coordinate with each other, and with the four federal financial regulators, as appropriate, to determine the best way to ensure routine oversight of SCRA compliance for all nonbank private student loan lenders and servicers. If CFPB and DOJ determine that additional statutory authority is needed to facilitate such oversight, CFPB and DOJ should develop a legislative proposal for Congress.	CRT continues to disagree with GAO's recommendation. CRT management requested closure on 10/13/16, and will again request closure in the near future.	CRT continues to maintain and believe that statutory authority does not exist to implement GAO's recommendation. Further, it is unnecessary to seek additional statutory authority because DOJ already coordinates extensively with the Consumer Financial Protection Bureau and financial regulators concerning SCRA compliance for nonbank private student loan lenders and servicers. Awaiting GAO closure of the recommendation.
GAO-17-438	IMMIGRATION COURTS: Actions Needed to Reduce Case Backlog and Address Long-Standing Management and Operational Challenges	6/1/2017	EOIR	1	To better address current and future staffing needs, the Director of EOIR should develop and implement a strategic workforce plan that addresses, among other areas, key principles of effective strategic workforce planning, including (1) determining critical skills and competencies needed to achieve current and future programmatic results; (2) developing strategies that are tailored to address gaps in number, deployment, and alignment of human capital approaches for enabling and sustaining the contributions of all critical skills and competencies; and (3) monitoring and evaluation of the agency's progress toward its human capital goals and the contribution that human capital results have made toward achieving programmatic results.	FY 2020	Not applicable. Implementation is in progress.
				2	To better address EOIR's immigration judge staffing needs, the Director of EOIR should: (1) assess the immigration judge hiring process to identify opportunities for efficiency; (2) use the assessment results to develop a hiring strategy that targets short- and long-term human capital needs; and (3) implement any corrective actions related to the hiring process resulting from this assessment.	FY 2020	Not applicable. Implementation is in progress.
				3	To help ensure that EOIR meets its cost and schedule expectations for EOIR Courts and Appeals Systems (ECAS), the EOIR Director should identify and establish the appropriate entity for exercising oversight over ECAS through full implementation.	FY 2020	Not applicable. Implementation is in progress.
				4	To help ensure that EOIR meets its cost and schedule expectations for ECAS, the EOIR Director should document and implement an oversight plan that is consistent with best practices for overseeing IT projects, including (1) establishing how the oversight body is to monitor program performance and progress toward expected cost, schedule, and benefits; (2) ensuring that corrective actions are identified and assigned to the appropriate parties at the first sign of cost, schedule, or performance slippages; and (3) ensuring that corrective actions are tracked until the desired outcomes are achieved.	FY 2020	Not applicable. Implementation is in progress.

Report No.	Report Title	Issued Date	DOJ Component	Rec. No. in GAO Report	Recommendation	Timeline for Full Implementation	Justification for Decision Not to Implement
GAO-17-438	IMMIGRATION COURTS: Actions Needed to Reduce Case Backlog and Address Long-Standing Management and Operational Challenges	6/1/2017	EOIR	5	To provide further assurance that EOIR's use of video teleconference (VTC) in immigration hearings is outcome-neutral, the Director of EOIR should collect more complete and reliable data on the number and type of hearings it conducts through VTC.	FY 2020	Not applicable. Implementation is in progress.
				7	To provide further assurance that EOIR's use of VTC in immigration hearings is outcome-neutral, the Director of EOIR should use these and other data to assess any effects of VTC on immigration hearings and, as appropriate, address any issues identified through such an assessment.	FY 2020	Not applicable. Implementation is in progress.
				9	To better assess court performance and use data to identify potential management challenges, the Director of EOIR should establish and monitor comprehensive case completion goals, including a goal for completing non-detained cases not currently captured by performance measures, and goals for cases it considers a priority.	FY 2020	Not applicable. Implementation is in progress.
				10	To better assess court performance and use data to identify potential management challenges, the Director of EOIR should systematically analyze immigration court continuance data to identify and address any operational challenges faced by courts or areas for additional guidance or training.	FY 2020	Not applicable. Implementation is in progress.
				11	To better assess court performance and use data to identify potential management challenges, the Director of EOIR should update policies and procedures to ensure the timely and accurate recording of Notices to Appear.	FY 2020	Not applicable. Implementation is in progress.
GAO-17-448	DATA CENTER OPTIMIZATION: Agencies Needed to Address Challenges and Improve Progress to Achieve Cost Savings Goal	8/15/2017	JMD	9	The Attorney General should take action to, within existing OMB reporting mechanisms, complete plans describing how the agency will achieve OMB's requirement to implement automated monitoring tools at all agency-owned data centers by the end of fiscal year 2018.	FY 2019	Not applicable. Implementation is in progress.
GAO-17-516	FBI LABORATORY: Chemistry and Trace Evidence Units Generally Adhere to Quality Standards, but Could Review More Examiner Testimonies	6/28/2017	FBI	1	To better ensure that the FBI Laboratory obtains additional transcripts, the FBI Director should require that the FBI Laboratory's procedure for tracking and obtaining transcripts routinely captures and uses additional information and data critical to transcript acquisition, such as the reason a transcript is unavailable, when it is expected to be available, the court jurisdiction, and a point of contact for the transcript.	FBI management reported to GAO on 2/14/2019 that the FBI had completed final action.	Not applicable. Awaiting GAO closure of the recommendation.
GAO-17-72	ASYLUM: Variation Exists in Outcomes of Applications Across Immigration Courts and Judges	11/14/2016	EOIR	1	To better assess whether the LOP and LOPC are having a measurable impact in meeting their program objectives, the Director of EOIR should develop and implement a system of performance measures, including establishing a baseline, to regularly evaluate the effectiveness of LOP and LOPC and assess whether the programs are achieving their stated goals.	FY 2019	Not applicable. Implementation is in progress.
GAO-17-738	FEDERAL CONTRACTING: Additional Management Attention and Action Needed to Close Contracts and Reduce Audit Backlog	11/27/2017	JMD	4	To enhance management attention to closing out contracts, the Attorney General should direct the Senior Procurement Executive to ensure the development of a means to track data on the number and type of contracts eligible for closeout and where the contracts are in the closeout process, as well as a means to assess--at the agency or component level--progress by establishing goals and performance measures for closing contracts.	FY 2020	Not applicable. Implementation is in progress.
GAO-18-147	BUREAU OF PRISONS: Better Planning and Evaluation Could Help Ensure Effective Use of Retention Incentives	12/7/2017	BOP	1	The Director of BOP should include in the forthcoming strategic human capital operating plan, 1) human capital goals and 2) strategies on how human capital flexibilities--including retention incentives--will be used to meet these goals.	FY 2020	Not applicable. Implementation is in progress.
				2	The Director of BOP should evaluate the effectiveness of BOP's use of retention incentives to help determine whether the incentives have helped BOP achieve its human capital goals or if adjustments in retention incentives are needed.	FY 2020	Not applicable. Implementation is in progress.

Report No.	Report Title	Issued Date	DOJ Component	Rec. No. in GAO Report	Recommendation	Timeline for Full Implementation	Justification for Decision Not to Implement
GAO-18-148	INFORMATION TECHNOLOGY REFORM: Agencies Need to Improve Certification of Incremental Development	11/7/2017	JMD	6	The Attorney General of the United States should ensure that the CIO of Justice establishes an agency-wide policy and process for the CIO's certification of major IT investments' adequate use of incremental development, in accordance with OMB's guidance on the implementation of FITARA, and confirm that it includes: a description of the CIO's role in the certification process; a description of how CIO certification will be documented; and a definition of incremental development and time frames for delivering functionality, consistent with OMB guidance.	FY 2019	Not applicable. Implementation is in progress.
GAO-18-4	VOTERS WITH DISABILITIES: Observations on Polling Place Accessibility and Related Federal Guidance	10/3/2017	CRT	1	The Attorney General should study the implementation of federal accessibility requirements in the context of early in-person voting and make any changes to existing guidance that are determined to be necessary as a result of the study.	FY 2020	Not applicable. Implementation is in progress.
GAO-18-8	U.S. MARSHALS SERVICE: Additional Actions Needed to Improve Oversight of Merit Promotion Process and Address Employee Perceptions of Favoritism	10/17/2017	USMS	1	The Director of the USMS should develop specific documented guidance-- both for the current and new processes--to enhance raters' ability to consistently interpret and apply experience-based benchmarks for GS-14 and GS-15 positions and competency-based benchmarks for GS-13 positions when evaluating candidate qualifications.	FY 2019	Not applicable. Implementation is in progress.
				2	The Director of the USMS should develop and implement a mechanism to provide specific feedback to employees on the results of the promotion process, including their readiness for promotion.	FY 2019	Not applicable. Implementation is in progress.
				3	The Director of the USMS should develop and implement an agency-wide action plan to more fully understand and address areas where employees express negative perceptions of the merit promotion process. Consistent with Office of Personnel Management guidance in this area, the plan should specify (1) time frames for accomplishing the actions, (2) who will be responsible for implementing the actions, (3) who will be affected by the actions, (4) the resources required, and (5) a plan to communicate these actions to managers and employees.	FY 2019	Not applicable. Implementation is in progress.

Report No.	Report Title	Issued Date	DOJ Component	Rec. No. in GAO Report	Recommendation	Justification for Decision Not to Implement	GAO Designation
GAO-16-1	State Marijuana Legalization: DOJ Should Document Its Approach to Monitoring the Effects of Legalization	12/30/2015	OAG	1	The Attorney General should direct ODAG to document a plan specifying DOJ's process for monitoring the effects of marijuana legalization under state law, in accordance with DOJ's 2013 marijuana enforcement policy guidance, to include the identification of the various data ODAG will use and their potential limitations for monitoring the effects of state marijuana legalization, and how ODAG will use the information sources in its monitoring efforts to help inform decisions on whether state systems are effectively protecting federal marijuana enforcement priorities.	On January 4, 2018, in a memorandum for all United States Attorneys, the Attorney General rescinded the Department of Justice's 2013 marijuana enforcement policy guidance.	Closed, Unimplemented
				2	The Attorney General should direct ODAG to use existing mechanisms to share DOJ's monitoring plan with appropriate officials from DOJ components responsible for providing information DOJ reports using regarding the effects of state legalization to ODAG, obtain feedback, and incorporate the feedback into its plan.	On January 4, 2018, in a memorandum for all United States Attorneys, the Attorney General rescinded the Department of Justice's 2013 marijuana enforcement policy guidance.	Closed, Unimplemented

Implementation Status of OIG Public Recommendations for which Final Action Has Not Been Completed

Report No.	Report Title	Issued Date	DOJ Component	Rec. No. in OIG Report	Recommendation	Timeline for Full Implementation	Explanation why No Final Action or Action Not Recommended Has Been Taken	Questioned Costs Closed by OIG	Questioned Costs Open	Funds to Better Use Closed by OIG	Funds to Better Use Open
13-40	Audit of the Department of Justice's Implementation of and Compliance with Certain Classification Requirements	9/27/2013	JMD	2	We recommended that SEPS review all DOJ security classification guides and work with Security Programs Managers and OCA officials to identify and reduce redundancies to ensure that instructions are clear, precise, consistent, and provide derivative classifiers with sufficient information to make accurate classification decisions.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
14-32	Audit of the Department of Justice's Oversight of Costs Incurred Through the Fees and Expenses of Witnesses Appropriation	9/23/2014	JMD	1	Ensure that FEW funds are not expended for expert witness services provided prior to a case being docketed in a federal court. If JMD believes that exceptions to this rule are necessary, or the rule as stated in the FEW Guiding Principles should be adjusted, the FEW Guiding Principles should be updated accordingly.	JMD management reported to OIG on 11/19/2018 that JMD had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				2	Ensure that litigative consultants or fact witnesses are not paid under expert witness contracts with FEW funding.	JMD management reported to OIG on 11/19/2018 that JMD had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				3	Ensure that expert witness services paid for with FEW funds are only utilized in cases heard in a federal judicial proceeding or judicially-sponsored Alternative Dispute Resolution proceeding. If JMD intended for expert witness fees to be paid with FEW funds for judicial proceeding outside of those described in the FEW Guiding Principles, it should update the FEW Guiding Principles accordingly.	JMD management reported to OIG on 11/19/2018 that JMD had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				4	Ensure that expert witness contracts paid with FEW funds are used to retain administrative services only when allowable under the FEW Guiding Principles and that, if JMD intends to expand the allowable uses of FEW funds, it formally incorporate all relevant guidance into the FEW Guiding Principles.	JMD management reported to OIG on 11/19/2018 that JMD had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				5	Provide clear guidance to department attorneys and administrative staffs on the necessary elements of an expert witness contract to be paid with FEW funding.	JMD management reported to OIG on 11/19/2018 that JMD had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				6	Assess whether payments made to experts retained to assess compliance with settlement agreements or judgment orders are an allowable use of FEW funds and update the FEW Guiding Principles as appropriate.	JMD management reported to OIG on 11/19/2018 that JMD had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-

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14-32	Audit of the Department of Justice's Oversight of Costs Incurred Through the Fees and Expenses of Witnesses Appropriation	9/23/2014	JMD	7	Assess whether experts retained for translation and enhancement of evidence purposes can be paid with FEW funds and update the FEW Guiding Principles as appropriate.	JMD management reported to OIG on 11/19/2018 that JMD had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				8	Definitively determine when expert witness fees for grand jury proceedings can be paid with FEW funds and update the FEW Guiding Principles accordingly.	JMD management reported to OIG on 11/19/2018 that JMD had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				9	Revise the FEW Guiding Principles to identify a clear procedure for the repurposing of FEW funds that includes adequate documentation and approval requirements. In addition, the Department should consider whether congressional notification of such repurposing would be appropriate.	JMD management reported to OIG on 11/19/2018 that JMD had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				10	Clarify and issue updated guidance that clearly delineates the responsibility to conduct oversight and monitoring of FEW funds used for expert witness contracts and ensure that appropriate funds are budgeted for this oversight and monitoring.	JMD management reported to OIG on 11/19/2018 that JMD had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				12	Ensure that FEW expenses are coded consistently in FMIS so that all Department financial reporting in the form of 1099 tax forms are consistent across components.	JMD management reported to OIG on 11/19/2018 that JMD had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
15-01	A Review of ATF's Investigation of Jean Baptiste Kingery	10/30/2014	ODAG	1	The Office of the Deputy Attorney General, ATF leadership, and the Attorney General's Advisory Committee engage with the leadership at the Department of Homeland Security, ICE, and CBP in an effort to identify and develop opportunities to improve these important and highly consequential relationships.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 3/31/2016.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
15-04	The Handling of Sexual Harassment and Misconduct Allegations by the Department's Law Enforcement Components	3/25/2015	ODAG	5	The Office of the Deputy Attorney General (ODAG) should ensure that the Department's zero tolerance policy on sexual harassment is enforced in the law enforcement components and that the components' tables of offenses and penalties are complimentary and consistent with respect to sexual harassment.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2018.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-

Implementation Status of OIG Public Recommendations for which Final Action Has Not Been Completed

Report No.	Report Title	Issued Date	DOJ Component	Rec. No. in OIG Report	Recommendation	Timeline for Full Implementation	Explanation why No Final Action or Action Not Recommended Has Been Taken	Questioned Costs Closed by OIG	Questioned Costs Open	Funds to Better Use Closed by OIG	Funds to Better Use Open
15-04	The Handling of Sexual Harassment and Misconduct Allegations by the Department's Law Enforcement Components	3/25/2015	ODAG	7	All four law enforcement components, in coordination with ODAG, should acquire and implement technology and establish procedures to effectively preserve text messages and images for a reasonable period of time, and components should make this information available to misconduct investigators and, as appropriate, for discovery purposes.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2018.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
				8	All four law enforcement components, in coordination with ODAG, should take concrete steps to acquire and implement technology to be able to, as appropriate in the circumstances, proactively monitor text message and image data for potential misconduct.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2018.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
15-05	Review of the Impact of an Aging Inmate Population on the Federal Bureau of Prisons	5/5/2015	BOP	5	Study the feasibility of creating units, institutions, or other structures specifically for aging inmates in those institutions with high concentrations of aging inmates.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				6	Systematically identify programming needs of aging inmates and develop programs and activities to meet those needs.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				7	Develop sections in release preparation courses that address the post-incarceration medical care and retirement needs of aging inmates.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				8	Consider revising its compassionate release policy to facilitate the release of appropriate aging inmates, including by lowering the age requirement and eliminating the minimum 10 years served requirement.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
15-2	Review of Policies and Training Governing Off-Duty Conduct by Department Employees Working in Foreign Countries	1/21/2015	ODAG	3	Ensure that the components develop clear, complementary, and consistent policies in a timely manner.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
15-3	Review of the Drug Enforcement Administration's Use of Cold Consent Encounters at Mass Transportation Facilities	1/28/2015	DEA	1	We recommend that the DEA consider how to determine if cold consent encounters are being conducted in an impartial manner, including reinstating the collection of racial and other demographic data and how it could be used to make that assessment.	FY 2021	Not applicable. Implementation is in progress.	-	-	-	-

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Report No.	Report Title	Issued Date	DOJ Component	Rec. No. in OIG Report	Recommendation	Timeline for Full Implementation	Explanation why No Final Action or Action Not Recommended Has Been Taken	Questioned Costs Closed by OIG	Questioned Costs Open	Funds to Better Use Closed by OIG	Funds to Better Use Open
15-3	Review of the Drug Enforcement Administration's Use of Cold Consent Encounters at Mass Transportation Facilities	1/28/2015	DEA	2	We recommend that the DEA develop a way to track cold consent encounters and their results and use the information collected to gain a better understanding of whether and under what circumstances they are an effective use of law enforcement resources.	FY 2021	Not applicable. Implementation is in progress.	-	-	-	-
				4	We recommend that the DEA ensure appropriate coordination of training, policies, and operations for conducting cold consent encounters and searches, including assessing which policies should apply to cold consent searches at transportation facilities and ensuring that interdiction TFG members know when and how to apply them.	FY 2021	Not applicable. Implementation is in progress.	-	-	-	-
				5	We recommend that the DEA examine whether disclaimer of ownership of cash forms should be used in cold consent encounters and, if so, establish a consistent practice and training regarding their use.	DEA management reported to OIG on 2/13/2019 that DEA had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
16-02	A Review of the Management and Operations of the FBI Recreation Association of New York	5/10/2016	FBI	1	The FBI should temporarily shut down the stores operated by the FBIRA-NY until they are in compliance with New York State law and FBI Policy.	FBI management reported to OIG on 2/15/2019 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				2	The FBI should revise and update CPD 0465D, including prohibiting FBIRA Board Members from using personal financial instruments or accounts in connection with FBIRAs, clearly delineating permissible and impermissible uses of FBIRA resources, and requiring accountability for RA funds.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				3	The FBI should provide appropriate training and guidance to FBIRA directors and FBI Field Division managers.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
16-03	Review of the Federal Bureau of Prisons' Untimely Releases of Inmates	5/23/2016	BOP	3	Ensure that BOP staff members thoroughly and consistently describe and identify the cause of each untimely release in official documentation (such as BP 558 and 623 forms) and use this documentation to support the DSCC's trend analysis for improving training and operations.	BOP management reported to OIG on 1/30/2019 that BOP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-

Implementation Status of OIG Public Recommendations for which Final Action Has Not Been Completed

Report No.	Report Title	Issued Date	DOJ Component	Rec. No. in OIG Report	Recommendation	Timeline for Full Implementation	Explanation why No Final Action or Action Not Recommended Has Been Taken	Questioned Costs Closed by OIG	Questioned Costs Open	Funds to Better Use Closed by OIG	Funds to Better Use Open
16-04	A Review of the FBI's Use of Section 215 Orders for Business Records in 2012-2014	9/29/2016	FBI	1	The OIG recommend that the FBI and the Department continue to pursue ways to make the business records process more efficient, particularly for applications related to cyber cases.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2016.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
	The Federal Bureau of Prisons' Reimbursement Rates for Outside Medical Care	6/8/2016	BOP	3	Improve the collection and analysis of utilization data for inmate medical care to better understand the services that inmates need and the impact it has on the BOP's medical spending.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
16-05	Review of the Federal Bureau of Prisons' Contraband Interdiction Efforts	6/28/2016	BOP	3	Develop uniform guidelines and criteria for conducting random staff pat searches across all institutions that require a minimum frequency and duration for search events to ensure that appropriate numbers of staff on each shift are searched with appropriate frequency.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				4	Define what quantities, if any, of tobacco and related tobacco products should be authorized for staff to bring into institutions for personal use.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				5	Restrict the size and content of personal property that staff may bring into BOP institutions.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				6	Establish procedures whereby all post-declaration items discovered during staff screening procedures are documented, along with the identity of the staff member involved, as well as more explicit guidelines for when the items should be confiscated and when such instances or a series of them should be referred for investigation and corrective action.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
16-06	A Review of ATF's Undercover Storefront Operations	9/8/2016	ODAG	10	The Department should promptly design and implement a plan that ensures that its law enforcement and detention components comply with the Rehabilitation Act of 1973.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
16-07	Review of the Federal Bureau of Prisons' Release Preparation Program	8/30/2016	BOP	1	Establish a standardized list of courses, covering at least the Release Preparation Program's core categories, as designated by the BOP, to enhance the consistency of Release Preparation Program curricula across BOP institutions.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				2	Consider implementing the use of validated assessment tools to assess specific inmate programming needs.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-

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16-07	Review of the Federal Bureau of Prisons' Release Preparation Program	8/30/2016	BOP	3	Use evaluation forms to collect feedback from inmates about the Release Preparation Program courses they attend to facilitate improvement.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				4	Develop and implement quality control for Release Preparation Program courses across all institutions.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				5	Explore the use of incentives and other methods to increase inmate participation and completion rates for the Institution Release Preparation Programs.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				7	Establish a mechanism to assess the extent that, through the Release Preparation Program, inmates gain relevant skills and knowledge to prepare them for successful reentry to society.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
16-08	Audit of the Drug Enforcement Administration's Controls Over Seized and Collected Drugs	2/17/2016	DEA	1 a	Reinforce, through official communication and training, that special agents document the gross weight of the exhibit on the DEA-6.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				b	Reinforce, through official communication and training, that special agents completely fill out the Temporary Drug Ledger for each exhibit placed in temporary drug storage.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				c	Reinforce, through official communication and training, that special agents complete the DEA-7 within the required timeframe.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				d	Reinforce, through official communication and training, that special agents provide the appropriate memorandum documenting approval of the reasons for which exhibits are held for more than 3 business days in temporary storage.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				e	Reinforce, through official communication and training, that special agents maintain both portions of the receipt.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				8	Develop a method to ensure the laboratories are notified of drug exhibits in transit to the laboratories.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
16-15	Audit of the National Institute of Justice's Management and Oversight of DNA Backlog Reduction Grantees' Reporting and Use of Program Income	3/28/2016	OJP	1	Strengthen and enhance the current process to ensure clear and consistent procedures to identify and monitor all grantees with the potential to generate program income.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-

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16-15	Audit of the National Institute of Justice's Management and Oversight of DNA Backlog Reduction Grantees' Reporting and Use of Program Income	3/28/2016	OJP	2	Ensure that its staff and all grantees receive training on the reporting of program income, including on the required and proper use of the program income calculator.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-	
16-16	Audit of the Drug Enforcement Administration's Aviation Operations with the Department of Defense in Afghanistan	3/29/2016	DEA	2	Ensure that the parts for the ATR 500 are utilized or returned to the DOD.	DEA management reported to OIG on 10/24/2018 that DEA had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-	
					4 a	Remedy \$1,664,699 in unallowable non-personnel expenditures that the DEA has incorrectly claimed for maintenance of the Global Discovery ATR 500 aircraft, travel to oversee the Global Discovery program, and training for pilots and mechanics to fly the ATR 500.	FY 2019	Not applicable. Implementation is in progress.	-	1,664,699	-	-
					b	Remedy \$671,041 in unallowable non-personnel expenditures that the DEA has incorrectly claimed for travel-related expenditures for non-Afghanistan operations, training unrelated to Afghanistan, and other unallowable expenditures.	FY 2019	Not applicable. Implementation is in progress.	-	671,041	-	-
					5 a	Remedy \$26,262 in unsupported non-personnel expenditures that the DEA claimed for training, maintenance of aircraft, travel, and other unsupported expenditures.	FY 2019	Not applicable. Implementation is in progress.	-	26,262	-	-
					9	Remedy the \$47,453 in unallowable personnel expenditures charged to the MOUs.	FY 2019	Not applicable. Implementation is in progress.	-	47,453	-	-
					10	Put the \$262,102 of MOU funds intended for ATR 500 maintenance to a better use.	FY 2019	Not applicable. Implementation is in progress.	-	262,102	-	-
16-17	Audit of the Federal Bureau of Prisons' Armory Munitions and Equipment	3/30/2016	BOP	1	We recommend that BOP require armories to maintain a log documenting the date and purpose of every change to the armory munitions and equipment inventory along with a running balance of the quantity on hand.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-	
16-19	Audit of the Department's Use of Pretrial Diversion and Diversion-Based Court Programs as Alternatives to Incarceration	7/13/2016	ODAG	2	We recommend the ODAG take steps to ensure that the Department promptly conducts an assessment based on current and reliable data, including information from the U.S. Sentencing Commission and the U.S. Courts, of the impact of the USAOs' use of pretrial diversion and participation in diversion-based court programs in reducing prosecution and incarceration costs.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-	

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16-19	Audit of the Department's Use of Pretrial Diversion and Diversion-Based Court Programs as Alternatives to Incarceration	7/13/2016	ODAG	3	We recommend the ODAG take steps to ensure that the Department, in coordination with the U.S. Courts, conducts an assessment of the impact of the USAOs' use of pretrial diversion and participation in diversion-based court programs in reducing recidivism.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
16-20	Audit of the Federal Bureau of Investigation's Cyber Threat Prioritization	7/20/2016	FBI	1	Utilize an algorithmic, data driven, and objective methodology in the scoping and prioritization of cyber threat sets, including: <ul style="list-style-type: none"> • Document policies and procedures and provide training for the use of the methodology, including who should enter the data and how the data should be used in prioritizing cyber threat sets. • Ensure that the results of the threat ranking tool are updated automatically through integration with Sentinel and updated manually at least every 30 days so that emerging threat sets can be identified and mitigated in a timely manner. 	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				2	Develop and implement a record keeping system that tracks agent time utilization by threat set.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
16-26	Follow-Up Audit of the Department of Justice's Implementation of and Compliance with Certain Classification Requirements	9/12/2016	JMD	1	We recommended that SEPS coordinate with the DEA's Office of National Security Intelligence to ensure its classification practices do not result in over-or under-classification.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				3	We recommend that SEPS publish the updated Mandatory Declassification Review process in the Federal Register to ensure compliance with EO 13526.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
16-33	Audit of the Drug Enforcement Administration's Management and Oversight of its Confidential Source Program	9/28/2016	DEA	1	We recommend that the DEA examine the practices employed related to Limited Use confidential sources for interdiction operations as described in our report and, in coordination with the Department, perform an assessment of the risks, benefits, and legality of the practices.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				4	We recommend that the DEA develop and promulgate policy to prohibit DEA Special Agents from using unauthorized private correspondence (e.g., e-mail accounts, text messages) for government business, including interactions with confidential sources.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-

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16-33	Audit of the Drug Enforcement Administration's Management and Oversight of its Confidential Source Program	9/28/2016	DEA	5 a	We recommend that the DEA examine the practices employed related to the use of confidential sources who provide intelligence-related information. The DEA should confer with the Department and the FBI to ascertain the need for procedures to implement an independent review of confidential sources to assess the reliability, authenticity, integrity, and overall value of a given source for intelligence-related purposes.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				6	We recommend that the DEA evaluate the appropriateness of the use of "sub-sources" and determine if this practice should either be prohibited or formalized through the issuance of policies and procedures to mitigate associated risks.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
17-02	A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras	5/24/2017	DEA	1	Establish procedures that will require sufficient training and de-confliction between DEA personnel and host nation counterparts on their respective deadly force policies before commencing future counternarcotics operations outside the United States.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				2	Establish protocols that will ensure that, in joint operations abroad in which critical incidents are possible, appropriate and sufficient mechanisms are in place in the event of a critical incident to support the law enforcement personnel on the ground (including the availability of additional forces and airlift support), provide for the processing of the crime scene without prolonged delay, and allow for any and all search and rescue missions that may become necessary.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				3	DEA's post-shooting procedures should be revised to ensure DEA investigates all shootings during joint operations abroad in which initial reporting or available information is that DEA personnel either may have discharged their weapons or instructed other individuals to fire their weapons, was in a position to discharge their weapons or instruct other individuals to fire, or it is determined that DEA nevertheless played a leadership role in the operation.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-

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17-02	A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras	5/24/2017	DEA	4	Because DEA's post-shooting incident procedures do not provide delegation guidelines for foreign incidents or, in the case of domestic incidents, state whether the investigation will be investigated directly by IN or delegated to the field when the incident involves significant injuries, death, or other significant liabilities, DEA's procedures should be revised to clarify the circumstances under which shooting incidents are to be investigated directly by the Office of Inspections and the circumstances under which investigations will be delegated to the field.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				5	Revise DEA's post-shooting incident procedures to include a requirement that will ensure that, when delegated to the field, the supervisory agent assigned to conduct the investigation will be someone outside the supervisory chain or program of the shooter and relevant witnesses.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				6 a	Revise DEA's post-shooting incident procedures to ensure that a thorough post-shooting investigation is conducted, including, but not necessarily limited to specific requirements for the inspection of all weapons of DEA personnel and task force officers to ensure that all such weapons fired during the shooting are identified and that all weapons not fired are identified. All DEA personnel and task force officers should understand that weapons checks must be done as soon as it is practical to do so and procedures should specify how such weapons checks must be conducted, including whether a standard load procedure or other mechanism is required to ensure that missing rounds will be identified.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-

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17-02	A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras	5/24/2017	DEA	6 b	Revise DEA's post-shooting incident procedures to ensure that a thorough post-shooting investigation is conducted, including, but not necessarily limited to specific guidance to the supervisory special agent or inspector assigned to investigate the incident regarding the appropriate steps that should be taken to investigate the incident in addition to the collection of relevant documents.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				c	Revise DEA's post-shooting incident procedures to ensure that a thorough post-shooting investigation is conducted, including, but not necessarily limited to specific requirements for the conduct of interviews and preparation and collection of witness statements.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				8	In connection with future counternarcotics operations outside the United States, DEA should work with the relevant U.S. Embassy and host nation partners to develop a specific protocol, in advance of the operation, that will determine which entity or entities will investigate a shooting or other critical incident that occurs during the operation; the scope and requirements of such an investigation; what information will be shared between and among the relevant entities for use in such investigation and when that will occur; and the time-frame and procedures for sharing of the results of the investigation. Such protocols should ensure timely access to relevant information by the Chief of Mission, as well as whatever entity or entities is or are involved in the investigation of any shooting or other critical incident, and include a procedure to identify and resolve conflicting evidence or investigative gaps when more than one such entity is involved in the investigation. To the	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-

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17-02	A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras	5/24/2017	ODAG	7	The Deputy Attorney General should determine whether revisions to the post-shooting incident procedures should be made across the Department's law enforcement components to address the issue of shooting incidents outside the United States by a foreign LEO working on a joint law enforcement operation with a DOJ component. We also recommend that the Deputy Attorney General consider whether revisions to the components' post-shooting incident procedures should be made to ensure that the requirements are appropriate and consistent across the Department's law enforcement components.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
	Review of the Department's Oversight of Cash Seizure and Forfeiture Activities	3/28/2017	CRM	1	Develop ways to collect relevant data related to seizure and forfeiture activities sufficient to identify and evaluate whether seizures advance or are related to federal investigations.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
17-03	A Report of Investigation of Certain Allegations Referred by the Office of Special Counsel Concerning the Juvenile Justice and Delinquency Prevention Act Formula Grant Program	7/25/2017	OJJDP	1	The OJP Office of the General Counsel (OGC) should consider issuing guidance clarifying its interpretation of the Valid Court Order (VCO) exception to the Deinstitutionalization of Status Offenders (DSO) Core Requirement of the Juvenile Justice and Delinquency Prevention Act (JJDP Act). In particular, we recommend that OGC consider addressing competing interpretations of the plain meaning of the statute, clarifying its interpretations of the terms "offense" and "charge" and how the meanings of those terms might impact OJJDP's position on pending legislation, and addressing the significance of particular facts, state laws, and due process protections for juveniles.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				2	OGC should consider issuing guidance clarifying the circumstances under which juveniles may be confined in unoccupied adult jails consistent with the Jail Removal core requirement.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				3	OJJDP should expeditiously notify all states and other interested parties that the VCO non-offender regulation has been determined to be ultra vires.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-

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17-03	A Report of Investigation of Certain Allegations Referred by the Office of Special Counsel Concerning the Juvenile Justice and Delinquency Prevention Act Formula Grant Program	7/25/2017	OJJDP	4	OJP should develop standard procedures for determining what should be published in the Federal Register for notice and comment and for identifying significant guidance documents to be posted on OJP's or OJJDP's websites.	OJP management reported to OIG on 2/14/2019 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				5	OJP should develop a plan to improve communications within and among OJP components.	OJP management reported to OIG on 2/14/2019 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				6	OJP should consider revising its compliance monitoring report template to gather additional information about states' use of the VCO exception and compliance with certain procedural requirements.	OJP management reported to OIG on 2/14/2019 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
17-04	Report of Investigation of the Actions of Former DEA Leadership in Connection with the Reinstatement of a Security Clearance	9/7/2017	DEA	1	The OIG recommends that DEA policies be amended to make clear that Security Programs has the final say within the DEA with regard to whether any misconduct matter requires a review and adjudication of the subject's security clearance.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
			ODAG	2	The OIG recommends that the Department amend or supplement the Department Security Officer's delegation of authority to clarify that for the purpose of security adjudications, SPMs report solely to the Department Security Officer, and not to senior officials within the components.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
		Review of the Department's Implementation of Prosecution and Sentencing Reform Principles under the Smart on Crime Initiative	6/19/2017	ODAG	3	Require all U.S. Attorney's Offices to collect charging data that will enable the Department to determine whether its charging and sentencing policies are being effectively implemented.	FY 2019	Not applicable. Implementation is in progress.	-	-	-
17-05	A Review of Allegations Referred by the Office of Special Counsel Concerning the Office of Justice Programs' Administration of the Disproportionate Minority Contact Requirement of the Title II Part B Formula Grant Program	10/2/2017	OJJDP	1	The OIG recommends that OJJDP should make DMC compliance determinations in accordance with statutory and regulatory requirements.	OJP management reported to OIG on 2/14/2019 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				2	The OIG recommends that OGC should promptly issue written guidance clarifying the circumstances under which OJJDP appropriately may find states out of compliance with the DMC core requirement consistent with the statutory and regulatory requirements, and OJJDP managers and staff should work closely with OGC to implement such guidance.	OJP management reported to OIG on 2/14/2019 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-

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17-05	A Review of Allegations Referred by the Office of Special Counsel Concerning the Office of Justice Programs' Administration of the Disproportionate Minority Contact Requirement of the	10/2/2017	OJJDP	3	The OIG recommends that OJJDP should consider possible measures that may be put in place to aid the compliance review process short of a completed CDAI.	OJP management reported to OIG on 2/14/2019 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-			
				4	The OIG recommends that OJJDP should ensure the expeditious completion of the CDAI or other compliance assessment tool.	OJP management reported to OIG on 2/14/2019 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-			
				5	The OIG recommends that OJJDP should consider whether to reinstitute "quarterly reporting" requirements, with guidance from OGC.	OJP management reported to OIG on 2/14/2019 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-			
				6	The OIG recommends that OGC should provide guidance regarding the DMC exemption for Puerto Rico.	OJP management reported to OIG on 2/14/2019 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-			
				7	The OIG recommends that OJJDP should take measures to improve its recordkeeping procedures.	OJP management reported to OIG on 2/14/2019 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-			
				8	The OIG recommends that OJP should develop a plan to improve communications within and among OJP components.	OJP management reported to OIG on 2/14/2019 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-			
				Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness	7/11/2017	BOP	1	Establish in policy the circumstances that warrant the placement of inmates in single-cell confinement while maintaining institutional and inmate safety and security and ensuring appropriate, meaningful human contact and out-of-cell opportunities to mitigate mental health concerns.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
							2	Define and establish in policy extended placement in measureable terms.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
3	Track all inmates in single-cell confinement and monitor, as appropriate, the cumulative amount of time that inmates with mental illness spend in restrictive housing, including single-cell confinement.	FY 2020	Not applicable. Implementation is in progress.				-	-	-	-				
4	Identify all forms of restrictive housing utilized throughout its institutions and ensure that all local policies are updated to reflect standards for all inmates in restrictive housing consistent with established nationwide policies.	FY 2020	Not applicable. Implementation is in progress.				-	-	-	-				
5	Evaluate and limit as appropriate the consecutive amount of time that inmates with serious mental illness may spend in restrictive housing.	FY 2020	Not applicable. Implementation is in progress.				-	-	-	-				

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17-05	Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness	7/11/2017	BOP	8	Regularly monitor, by institution and type of Restrictive Housing Unit, trends in inmates' designated Mental Health Care Levels to further assess the factors that affect the treatment of inmates with mental illness.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				9	Determine what additional steps can be taken to prioritize and incentivize the hiring of mental health staff at institutions that have inmates with mental illness in long-term restrictive housing.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				12	Survey institutions and/or take other steps to identify alternative practices that reduce the frequency and duration of the placement of inmates with mental illness in restrictive housing, and implement such alternatives when appropriate.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				13	Provide additional mental health training to correctional staff who are responsible for monitoring the behavior of inmates in restrictive housing.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				15	Conduct a comprehensive review of U.S. Penitentiary Lewisburg's Special Management Unit that addresses the staffing, treatment, conditions of confinement, and performance metrics of the program.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
17-08	Audit of the Federal Bureau of Prisons' Contract with CoreCivic, Inc. to Operate the Adams County Correctional Center in Natchez, Mississippi	12/19/2016	BOP	1	Modify the contract to provide specific procedures for CoreCivic to follow for measuring and reporting staffing levels so that the BOP will be able to monitor the extent to which required stations are actually covered.	BOP management reported to OIG on 11/29/2018 that BOP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				3	Evaluate the extent to which employee qualification levels and turnover rates affect safety and security concerns, and whether its contractual terms should be modified to address those concerns.	BOP management reported to OIG on 11/29/2018 that BOP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				6	Implement additional administrative remedy procedures for its contract prisons to ensure that inmates are afforded fair opportunities to appeal their grievances to the BOP Regional Director and General Counsel, or other equivalent BOP levels outside of the inmates' local facilities.	BOP management reported to OIG on 11/29/2018 that BOP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-

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17-08	Audit of the Federal Bureau of Prisons' Contract with CoreCivic, Inc. to Operate the Adams County Correctional Center in Natchez, Mississippi	12/19/2016	BOP	7	Review all available guidance for performance-based acquisitions and implement additional, objectively measurable performance standards that can be effectively assessed for each contract requirement pertaining to staffing, inmate grievances, and food services.	BOP management reported to OIG on 11/29/2018 that BOP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-	
17-10	Audit of the Office of Justice Programs' Tribal Justice Systems Infrastructure Program	1/18/2017	OJP	1	We recommend that OJP coordinate with BIA to improve the design and certificate of occupancy processes as well as ensure appropriately sized facilities can be funded, completed, opened, and fully operational. This includes developing a formal agreement between OJP and BIA to document the roles and responsibilities of each agency, expectations of each agency, and areas of coordination.	OJP management reported to OIG on 2/13/2019 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-	
					3 a	We recommend that OJP remedy \$10,720,232 in unallowable costs awarded to the Nisqually Tribe to fund a correctional facility that was not funded or used in conformity with the statutory authority of the TJSIP, and that was inappropriately built with the intention of being a profit-generating facility.	FY 2020	Not applicable. Implementation is in progress.	-	10,720,232	-	-
					b	We recommend that OJP remedy the \$842,880 in unallowable tribal payments for services where a COI existed, including \$106,277 paid by Tribe Number 10, \$124,697 paid by Tribe Number 9, \$59,326 paid by Tribe Number 8, \$30,998 paid by Tribe Number 7, \$136,494 paid by Tribe Number 11, \$285,608 paid by Tribe Number 12, and \$99,480 paid by Tribe Number 13.	FY 2020	Not applicable. Implementation is in progress.	718,183	124,697	-	-
17-17	Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Management and Oversight of Confidential Informants	3/27/2017	ATF	1 a	We recommend that ATF complete the development of and implement a recordkeeping system sufficient to maintain, in a single location, complete and reliable CI information. To accomplish this, ATF should work with field division CI Coordinators to ensure that any data that has been migrated from the legacy National CI Registry System to CIMRRS is complete, accurate, and standardized.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-	

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17-17	Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Management and Oversight of Confidential Informants	3/27/2017	ATF	1 c	We recommend that ATF complete the development of and implement a recordkeeping system sufficient to maintain, in a single location, complete and reliable CI information. To accomplish this, ATF should ensure that its system requires the capture and validation of additional CI-related information to assist ATF in managing its CI Program, including high-level CIs, length of time that CIs have been active, legal status of foreign national CIs, and special categories of CIs such as FFLs and international CIs.	ATF management reported to OIG on 1/16/2019 that ATF had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				d	We recommend that ATF complete the development of and implement a recordkeeping system sufficient to maintain, in a single location, complete and reliable CI information. To accomplish this, ATF should implement a method to accurately and completely track all payment information for individual CIs, including at the transaction level as well as annual and lifetime payment amounts.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				4	We recommend that ATF improve the monitoring of foreign national CIs to ensure the legal status of active CIs does not lapse and, as appropriate, coordinate with DHS when the legal status of foreign national CIs has expired. In addition, we recommend that ATF determine whether any current or former CIs with expired sponsorships are in the United States and if so, coordinate with DHS on the status of these individuals.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
17-21	Review of Domestic Sharing of Counterterrorism Information	3/30/2017	FBI	17	DOJ OIG recommends that FBI direct FBI field divisions to identify and invite key stakeholders to TRP sessions.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				18	DOJ OIG recommends that FBI determine the agencies with which it should share its counterterrorism-related TRP results and implement a process to ensure the TRP results are appropriately shared with those agencies on a systemic and regular basis.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-

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17-21	Review of Domestic Sharing of Counterterrorism Information	3/30/2017	ODAG	10	DOJ OIG recommends that DOJ develop a comprehensive internal counterterrorism information sharing strategic plan based on a review of the President's strategic plan and in consultation with relevant partners.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				11	DOJ OIG recommends that DOJ implement a council, led by a senior Department official, for the internal coordination of DOJ information sharing strategy and investments, and ensure that relevant components designate senior-level officials responsible for monitoring their component's efforts and communicating their efforts to DOJ as requested.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
17-22	Audit of the United States Marshals Service Contract No. DJJODT7C0002 with CoreCivic, Inc., to Operate the Leavenworth Detention Center, Leavenworth, Kansas	4/24/2017	USMS	2	We recommend that the USMS establish policies and procedures to ensure that, when USMS price analysis is based on a comparison of historical prices paid, it establishes the prior price as a valid basis for comparison.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				3	We recommend that the USMS continue to develop a training program for Contracting Officer's Representatives (COR) monitoring and overseeing its detention-related contracts that ensures CORs receive and maintain a level of training and experience commensurate with their responsibilities.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				4	We recommend that the USMS continue develop and implement inspection guidance, monitoring tools, and its new onsite contract monitoring initiative for use at all of its privately contracted facilities, and ensure that its continuous monitoring efforts incorporate QAR steps, to the maximum extent practicable.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				6	We recommend that the USMS create policies and procedures requiring CORs to conduct continuous oversight and monitoring of QAR-identified deficiencies to ensure that the completed POAs are operating effectively and that the CORs document this follow-up work and communicate the results to POD.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-

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17-22	Audit of the United States Marshals Service Contract No. DJJODT7C0002 with CoreCivic, Inc., to Operate the Leavenworth Detention Center, Leavenworth, Kansas	4/24/2017	USMS	7	We recommend that the USMS include in the USMS's new standard operating procedures COR requirements for developing and maintaining a document control system and for retaining quality assurance-related documentation. Standard operating procedures should also include COR guidance on formally documenting inspections that include tracking deficiencies and contractor POAs.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				8	We recommend that the USMS continue to input performance assessment reports for its active contracts into the Contractor Performance Assessment Reporting System (CPARS), and finalize policies and procedures to ensure that contractor performance data on future detention contracts is entered into CPARS.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				9	We recommend that the USMS conduct Performance Evaluation Meetings, as required by the contract, at the LDC and other detention facilities as applicable.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				10	We recommend that the USMS ensure that the District COR complies with contract and USMS District requirements to evaluate contractor performance prior to the payment of monthly invoices.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				15	We recommend that the USMS consider implementing policies and procedures similar to those of the BOP that independently evaluate contractor-provided detainee mortality reports.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				16	We recommend that the USMS monitor LDC compliance with the new CoreCivic policies and post orders related to recreation yard searches and detainee movement in the SHU, to ensure they are operating effectively.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				17	We recommend that the USMS ensure that CoreCivic establish policies and procedures that prevent the closure of mandatory posts at CoreCivic's USMS contracted facilities and require FSC assess completed shift rosters to determine if facilities are adequately filling their security-related posts.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-

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17-22	Audit of the United States Marshals Service Contract No. DJJODT7C0002 with CoreCivic, Inc., to Operate the Leavenworth Detention Center, Leavenworth, Kansas	4/24/2017	USMS	18	We recommend that the USMS include in its contract monitoring program staffing-related procedure steps that help District CORs assess facility staffing trends and determine if post closures are occurring.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				19	We recommend that the USMS incorporate milestones into its price reduction guidance to ensure a more efficient and expedient submission of final price reduction decisions to its contractors.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				20	We recommend that the USMS ensure that during periods of chronic contractor understaffing, contractors utilize all available options, including the provision of temporary staff.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				21	We recommend that the USMS establish policies and procedures for assessing and approving contractor requests to transfer staff out of USMS contracted facilities, and: (a) obtain reasonable assurance from the facility Warden and FSC officials that such a transfer will not compromise the facility's ability to comply with contract requirements and CoreCivic policy; (b) independently assess whether the proposed transfers may jeopardize facility staffing requirements and operational readiness; and (c) ensure that the Contracting Officer and COR approve and continuously monitor the arrangement, respectively.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				23	We recommend that the USMS specify for its contractors, in their contracts or in some other appropriate manner, the use of multi-user arrangements at its existing and future contract facilities, to ensure USMS maximizes its value and assesses the impact, if any, on USMS's contracted staffing, facility safety and security, and other institutional matters.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-

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17-22	Audit of the United States Marshals Service Contract No. DJJODT7C0002 with CoreCivic, Inc., to Operate the Leavenworth Detention Center, Leavenworth, Kansas	4/24/2017	USMS	24	We recommend that the USMS work with the Department of Labor, and as necessary CoreCivic, to determine whether placing funds significantly in excess of the actual cost of employees' accrued sick leave balances in a "sick account," and not making the excess funds available to employees on their regular payday, is a proper fringe benefit practice, and that CoreCivic properly communicates the "sick account" benefit to its employees.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
17-31	Audit of the Office of Juvenile Justice and Delinquency Prevention Title II Part B Formula Grant Program Related to Allegations of the OJJDP's Inappropriate Conduct	7/24/2017	OJP	3	Finalize its OJJDP Guidance Manual-Audit of Compliance Monitoring Systems that was under development as of May 2017.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
17-35	Audit of the Federal Bureau of Investigation's Insider Threat Program	9/22/2017	FBI	1	Track, summarize, and annually report INTP performance metrics as required.	FBI management reported to OIG on 12/17/2018 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				2	Ensure that leads and referrals concerning insider threats are handled and monitored in a systematic way, including making sure that leads go to the appropriate point of contact at each internal FBI component.	FBI management reported to OIG on 12/17/2018 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				3	Pursue technological solutions to mitigate the need for, or reduce the risk of, stand-alone systems.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				4	Conduct a comprehensive inventory of classified networks, systems, applications, and other information technology assets and identify a component responsible for maintaining the inventory.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				5	Ensure user activity monitoring (UAM) coverage over all classified systems and networks and identify a component to maintain an accurate inventory of all information technology assets that have user activity monitoring coverage.	FBI management reported to OIG on 12/17/2018 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				7	Conduct an assessment to determine whether pre-employment psychological evaluations or an expansion of psychological evaluations for current employees should be implemented to improve its insider threat prevention efforts.	FBI management reported to OIG on 12/17/2018 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-

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17-36	Audit of Risks Associated with the Office of Justice Programs' Management of the Crime Victims Fund Grant Programs	9/25/2017	OJP	2	Establish an oversight strategy that ensures a consistent, reasonable, achievable, and risk-informed review cycle for onsite visits of State Administering Agencies on a frequency that facilitates effective and appropriate monitoring of CVF grant funds.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				4	Ensure that the OVC develops improved instructions and training for Program Specialists when completing financial-related monitoring steps in the OJP standard onsite checklist.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				7	Strengthen grant management and financial training requirements for new and experienced Program Specialists and Financial Monitors by annually surveying those staff members regarding their training needs.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
18-01	Examination of the U.S. Department of Justice's Compliance with the Federal Funding Accountability and Transparency Act of 2006, as Amended by the Digital Accountability and Transparency Act of 2014	11/7/2017	ALLDOJ	1	Ensure that all components are aware of the correct reporting of the Transaction Obligated Amount in file C and that it is not to be a net amount, or a net obligation change amount, as well as verify that the components have updated the extraction query coding to address this.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2018.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
				2	Ensure all applicable components are aware of and are following the reporting timelines for the Federal Procurement Data System as required by the Federal Acquisition Regulations for procurement awards, and the newly established Financial Assistance Broker System for financial assistance awards.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2018.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
				3	Ensure all accounting entry corrections are addressed prior to submission of file B.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2018.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
				4	Correct the coding for queries to extract the correct information and ensure all and only reportable procurements are included (e.g., use the recommended maximum field length, number of characters per the DATA Act Information Model Schema (DAIMS), v1.0, Reporting Submission Specifications).	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2018.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-

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18-01	Examination of the U.S. Department of Justice's Compliance with the Federal Funding Accountability and Transparency Act of 2006, as Amended by the Digital Accountability and Transparency Act of 2014	11/7/2017	ALLDOJ	5	Develop data quality assurance procedures to incorporate reviewing, verifying, and validating data to ensure information reported is complete and accurate.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 2/14/2019.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
				6	Review all validation warnings generated by the DATA Act broker system prior to submission and Senior Accountable Official certification, to ensure that the data submitted is accurate, and in compliance with the DAIMS instructions.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2018.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
				7	Continue its efforts to implement the Unified Financial Management System (UFMS) in order to submit supported and accurate data to beta.USAspending.gov and to be in compliance with OMB Memorandum M-15-12.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 2/14/2019.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
Review of the Department's Tribal Law Enforcement Efforts Pursuant to the Tribal Law and Order Act of 2010	12/14/2017	DEA	9 a	Coordinate with the Department of the Interior, particularly the Bureau of Indian Affairs, and tribal authorities to ensure the delivery of training as the Tribal Law and Order Act requires.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-	
				Track all training provided to the Bureau of Indian Affairs and tribal law enforcement, including ad hoc training that Special Agents provide, and develop procedures to incorporate this information in planning future training.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-	
				Provide Department Special Agents assigned to Indian country with training specific to Indian country.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-	
			EOUSA	5	Work with U.S. Attorney's Offices to ensure that Tribal Liaisons' workloads are appropriately balanced so that they can effectively carry out their responsibilities, as mandated by the Tribal Law and Order Act, in light of local district conditions.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-

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18-01	Review of the Department's Tribal Law Enforcement Efforts Pursuant to the Tribal Law and Order Act of 2010	12/14/2017	EOUSA	6	Work with U.S. Attorney's Offices to develop district-specific and, where appropriate, tribe-specific guidelines for Assistant United States Attorneys and Tribal Liaisons with regard to communicating case status and declinations, including appropriate explanation of the reasons for declinations, directly to tribal prosecutors and victims in a timely fashion.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				7	Work with U.S. Attorney's Offices to ensure the development and dissemination of guidelines and eligibility criteria for the tribal Special Assistant United States Attorney program, should it be continued.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				13 a	Analyze available data to help to identify resource, program, or potential training and law enforcement needs.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				14 a	Provide training to all EOUSA staff responsible for Indian country data collection to ensure data is captured uniformly.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
			FBI	9 b	Coordinate with the Department of the Interior, particularly the Bureau of Indian Affairs, and tribal authorities to ensure the delivery of training as the Tribal Law and Order Act requires.	FBI management reported to OIG on 1/22/2019 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				10 b	Track all training provided to the Bureau of Indian Affairs and tribal law enforcement, including ad hoc training that Special Agents provide, and develop procedures to incorporate this information in planning future training.	FBI management reported to OIG on 1/22/2019 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				11 b	Provide Department Special Agents assigned to Indian country with training specific to Indian country.	FBI management reported to OIG on 1/22/2019 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				13 b	Analyze available data to help to identify resource, program, or potential training and law enforcement needs.	FBI management reported to OIG on 1/22/2019 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				14 b	Provide training to all staff responsible for Indian country data collection to ensure that data is captured uniformly.	FBI management reported to OIG on 1/22/2019 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				1	Update the 2010 policy memoranda to U.S. Attorneys and heads of components to incorporate Tribal Law and Order Act mandates.	ODAG management reported to OIG on 2/8/2018 that ODAG had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-

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18-01	Review of the Department's Tribal Law Enforcement Efforts Pursuant to the Tribal Law and Order Act of 2010	12/14/2017	ODAG	2	Designate a person or office at the Department level to coordinate the Department's implementation of the Tribal Law and Order Act and ensure that each component carries out its responsibilities.	ODAG management reported to OIG on 2/8/2018 that ODAG had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
18-05	Audit of the Assets Forfeiture Fund and Seized Asset Deposit Fund Annual Financial Statements Fiscal Year 2017	12/21/2017	JMD	2	Coordinate with Departmental management to develop and implement options to ensure Asset Forfeiture Program (AFP) participating agencies are fully accountable for timely and accurately recording changes in status for seized and forfeited assets such as enhancing policies and procedures, expanding training, and assessing participating agencies compliance with CATS data entry requirements and developing corrective action as necessary.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
E2003009	A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung	3/31/2006	FBI	1	The FBI should continue its FBI Headquarters-managed asset validation review process and provide sufficient resources for the Analytical Unit to devote to these reviews.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2018.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
				2	The FBI should require that any analytical products relating to the asset, together with red flags, derogatory reporting, anomalies, and other counterintelligence concerns be documented in a subsection of the asset's file.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2018.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
				3	The FBI should require the field SSA, the ASAC, and the FBI Headquarters SSA responsible for each asset to signify that they have reviewed the entries in this subsection as part of the routine file review or of semi-annual or annual asset re-evaluations. If anomalies exist, the SSA should note what action has been taken with respect to them, or explain why no action is necessary, and the ASAC's agreement should be noted.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2018.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-

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E2003009	A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung	3/31/2006	FBI	6	The FBI should require agents to record in the asset file any documents passed and all matters discussed with the asset, as well as each person who was present for the meeting.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2018.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
				7	The FBI should require alternate case agents to meet with the source on a regular basis, together with the case agent.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2018.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
				8	The FBI should limit the number of years any Special Agent can continue as an asset's handler. Exceptions should be allowed for good cause only.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2018.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
E2006006	A Review of the FBI's Investigations of Certain Domestic Advocacy Groups	9/20/2010	FBI	2	Establish Procedures to Track Source of Facts Provided to the Public and Congress.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 3/31/2016.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
				3	Require Identification of Federal Crime as Part of Documenting Predication.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2018.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
				4	Consider Revising Attorney General's Guidelines and DIOG to Reinstate Prohibition on Retention of Irrelevant First Amendment Material from Public Events.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2018.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-

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E2006006	A Review of the FBI's Investigations of Certain Domestic Advocacy Groups	9/20/2010	FBI	5	Clarify When First Amendment Cases Should Be Classified as "Acts of Terrorism" Matters	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2018.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
E2007003	A Review of the FBI's Use of National Security Letters: Assessment of Corrective Actions and Examination of NSL Usage in 2006	3/17/2008	FBI	2	Implement measures to verify the accuracy of data entry into the new NSL data system by including periodic reviews of a sample of NSLs in the database to ensure that the training provided on data entry to the support staff of the FBI OGC National Security Law Branch (NSLB), other Headquarters divisions, and field personnel is successfully applied in practice and has reduced or eliminated data entry errors.	FBI management reported to OIG on 6/8/2018 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
E2007006	An Investigation of Allegations of Politicized Hiring by Monica Goodling and Other Staff in the Office of the Attorney General	7/28/2008	OAG	1	The OIG recommends that the Department clarify its policies regarding the use of political or ideological affiliations to select career attorney candidates for temporary details within the Department.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 3/31/2016.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
E2010002	A Review of the Federal Bureau of Investigation's Use of National Security Letters: Assessment of Progress in Implementing Recommendations	8/14/2014	FBI	3	Notify the President's Intelligence Oversight Board concerning the unauthorized collections found in this review containing [redacted-classified] from two providers and seek guidance on whether the FBI should undertake the effort necessary to identify and remove similar unauthorized collections that likely remain in many FBI case files.	FBI management reported to OIG on 2/1/2019 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				5	The FBI should reconsider whether Section 1681f of the FCRA prohibits a consumer reporting agency from voluntarily providing the FBI with an NSL target's date of birth, social security number, or telephone number in response to a FCRA NSL under Section 1681u, and provide additional guidance as appropriate.	FBI management reported to OIG on 12/3/2018 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				8	The FBI and the Department should revive their efforts to bring about a legislative amendment to Section 2709 by submitting another proposal that defines the phrase "toll billing records."	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-

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E2010002	A Review of the Federal Bureau of Investigation's Use of National Security Letters: Assessment of Progress in Implementing Recommendations	8/14/2014	FBI	9	The FBI should take steps to ensure that it does not request or obtain "associated" records without a separate determination and certification of relevance to an authorized national security investigation.	FBI management reported to OIG on 6/8/2018 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				10	The FBI should consider implementing a policy that would require agents, in consultation with FBI OGC attorneys, to carefully balance the privacy interests of the individuals against the potential for future investigative value before permitting the uploading into FBI databases of NSL return data received after a case has closed or after the authority for the investigation has expired.	FBI management reported to OIG on 9/18/2018 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
E2011004	A Review of ATF's Operation Fast and Furious and Related Matters	9/19/2012	FBI	4	The Department should review the policies and procedures of its other law enforcement components to ensure that they are sufficient to address the concerns we have identified in the conduct of Operations Wide Receiver and Fast and Furious, particular regarding oversight of sensitive and major cases, the authorization and oversight of "otherwise illegal activity," and the use of information in situations where the law enforcement component also has a regulatory function.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
E2013002	Information Handling and Sharing Prior to the April 15, 2013 Boston Marathon Bombings	4/10/2014	FBI	1	The OIG recommend that the FBI and DHS clarify the circumstances under which JTTF personnel may change the display status of a TECS record, particularly in closed cases.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
GR-30-15-005	Audit of the Office on Violence Against Women Legal Assistance for Victims Grant Awarded to House of Ruth Maryland, Inc. Baltimore, Maryland	8/5/2015	OVW	1	Remedy \$63,665 in unsupported salary costs.	FY 2019	Not applicable. Implementation is in progress.	-	63,665	-	-
				3	Remedy \$12,508 in unsupported fringe benefit expenses.	FY 2019	Not applicable. Implementation is in progress.	-	12,508	-	-
GR-30-16-003	Audit of the Office of Justice Programs Bureau of Justice Assistance Award to the Administrative Office of the Courts, Annapolis, Maryland	3/25/2016	OJP	1	Remedy \$45,540 in unsupported subrecipient salary and fringe benefit costs.	FY 2019	Not applicable. Implementation is in progress.	42,794	2,746	-	-

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GR-30-17-003	Audit of the Office of Justice Programs Office for Victims of Crime Discretionary Awards to the International Association of Chiefs of Police, Alexandria, Virginia	8/1/2017	OJP	3	Work with the IACP to: (1) adjust its grant budgets in order to represent its travel-related grant expenses and fees accurately, (2) track the source of its travel credits so that it can return credited amounts to the appropriate funding source, and (3) maintain justifications for irregular travel expenses, such as airfare designated as first class.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				6	Remedy a total of \$26,819 in unsupported subrecipient payroll costs.	FY 2019	Not applicable. Implementation is in progress.	20,689	6,130	-	-
GR-40-15-006	Audit of Office of Justice Programs Grants Awarded to the Puerto Rico Department of Justice, San Juan, Puerto Rico	9/21/2015	OJP	1 e	Remedy \$2,193,304 in excess grant funds drawn down from Grant Number 2009-SU-B9-0053.	FY 2020	Not applicable. Implementation is in progress.	2,152,964	40,340	-	-
				2	We recommend that OJP remedy \$887,752 in grant funds expended for contracts that were not competitively awarded and not approved in advance by OJP as sole-source procurements.	FY 2020	Not applicable. Implementation is in progress.	-	887,752	-	-
				4 a	Remedy \$259,730 in grant expenditures not supported by adequate documentation from Grant Number 2008-DJ-BX-0050.	FY 2020	Not applicable. Implementation is in progress.	-	259,730	-	-
				c	Remedy \$405,412 in grant expenditures not supported by adequate documentation from Grant Number 2009-DJ-BX-1102.	FY 2020	Not applicable. Implementation is in progress.	86,404	319,008	-	-
				d	Remedy \$1,095,102 in grant expenditures not supported by adequate documentation from Grant Number 2009-SU-B9-0053.	FY 2020	Not applicable. Implementation is in progress.	1,057,597	37,505	-	-
				f	Remedy \$2,461 in grant expenditures not supported by adequate documentation from Grant Number 2009-VC-GX-0045.	FY 2020	Not applicable. Implementation is in progress.	-	2,461	-	-
				6	We recommend that OJP ensure the PRDOJ takes steps to address the turnover of grant management staff at the External Resources Division by obtaining a plan to address the turnover and monitoring the implementation of the plan.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				10	We recommend that OJP ensure the PRDOJ reviews its current policies and practices for deciding the period of performance for sub-awards and, when necessary and appropriate, reassess the project period for the sub-awards.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
12	We recommend that OJP ensure the PRDOJ performs a needs assessment for its VOCA Victim Assistance program to determine the types of services needed and the geographical areas in need of services.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-				

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GR-40-15-006	Audit of Office of Justice Programs Grants Awarded to the Puerto Rico Department of Justice, San Juan, Puerto Rico	9/21/2015	OJP	19	We recommend that OJP ensure the PRDOJ implements procedures for validating information provided by sub-recipients and contractors regarding their project goals and accomplishments and provides adequate training to the staff members tasked with monitoring the sub-recipients.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
GR-40-16-003	Audit of the Office on Violence Against Women Grant and Office for Victims of Crime Cooperative Agreement Awarded to Gulfcoast Legal Services, St. Petersburg, Florida	7/6/2016	OVW	2	For Grant Number 2012-WL-AX-0035, we recommend that OVW remedy \$69,096 in unsupported personnel costs.	OVW management reported to OIG on 1/31/2019 that OVW had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	69,096	-	-
GR-40-16-004	Audit of Contracts Awarded by the Boys and Girls Clubs of America, Inc. Using Office of Justice Programs Grant Funds	9/21/2016	OJP	1	Remedy the \$505,148 in unsupported costs due to the absence of justification in the selection of contracts on a sole source basis.	FY 2019	Not applicable. Implementation is in progress.	-	505,148	-	-
				2	Remedy the \$2,457,784 in unsupported costs due to inadequate justification in the selection of contractors on a sole source basis.	FY 2019	Not applicable. Implementation is in progress.	-	2,457,784	-	-
				3	Ensure that the Boys and Girls Clubs implement procedures for conducting procurements that comply with the rules governing sole source awards; provide for open, free, and fair competition; and adequately document the procurement process, including market research, cost and price analyses, and the justification for any sole source award.	OJP management reported to OIG on 11/29/2018 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				9 a	Remedy the \$66,287 in unsupported costs regarding vendors hired by FirstPic, Inc. to complete contract service tasks in which the Boys and Girls Clubs identified FirstPic, Inc. as a sole source provider for those tasks.	FY 2019	Not applicable. Implementation is in progress.	-	66,287	-	-
				b	Remedy the \$4,630 in unsupported costs regarding the remaining other direct costs in which FirstPic, Inc. did not provide sufficient support for the costs billed and paid.	FY 2019	Not applicable. Implementation is in progress.	-	4,630	-	-
GR-40-17-002	Audit of Office on Violence Against Women and Office of Justice Programs Awards to the Catawba Indian Nation Rock Hill, South Carolina	2/22/2017	OVW	14	Remedy \$29,615 in unallowable costs as a result of items purchased that were not included in an approved budget for Grant Number 2012-TW-AX-0015.	OVW management reported to OIG on 10/24/2018 that OVW had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	29,615	-	-
				15	Remedy the \$6,575 in unsupported contractor costs for grant 2012-TW-AX-0015.	OVW management reported to OIG on 10/24/2018 that OVW had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	6,575	-	-

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GR-40-17-005	Audit of Victim Assistance Formula Grants Awarded by the Office for Victims of Crime to the State of North Carolina's Department of Public Safety Governor's Crime Commission, Raleigh, North Carolina	8/21/2017	OJP	5	Remedy \$92,175 in unsupported administrative payroll expenditures.	FY 2019	Not applicable. Implementation is in progress.	-	92,175	-	-
				6	Remedy \$106,536 in unsupported subrecipient expenditures.	OJP management reported to OIG on 12/21/2018 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	106,536	-	-
GR-50-15-001	Audit of the Office on Violence Against Women Transitional Housing Grant Awarded to the Crisis Center for South Suburbia, Tinley Park, Illinois	10/28/2014	OVW	8	We recommend that OVW remedy the \$3,691 in unallowable rent expenditures.	FY 2019	Not applicable. Implementation is in progress.	-	3,691	-	-
				10	We recommend that OVW remedy the \$4,476 in unallowable salary costs for the Transitional Housing Specialist and the Program Manager.	FY 2019	Not applicable. Implementation is in progress.	-	4,476	-	-
GR-50-16-003	Audit of the Office on Violence Against Women Cooperative Agreements Awarded to ASISTA, Des Moines, Iowa	2/24/2016	OVW	1	We recommend that OVW ensure that ASISTA officially reports the program income to OVW and uses the \$105,440 in identified program income in accordance with award requirements.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				3	We recommend that OVW require ASISTA to remedy \$52,764 in misallocated salary expense to the legal training cooperative agreement and ensure salaries are paid on actual time spent and not on estimates or budgets for the project.	FY 2019	Not applicable. Implementation is in progress.	-	52,764	-	-
				5	We recommend that OVW require ASISTA to establish a process to ensure that it allocates general expenditures as accurately as possible between all sources of income and expenses and remedy the related undetermined questioned costs for general operating costs that were not properly allocated to all revenue sources.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				8	We recommend that OVW require ASISTA to remedy the \$7,772 in consultant expenses paid to an ASISTA board member.	FY 2019	Not applicable. Implementation is in progress.	-	7,772	-	-
GR-50-17-005	Audit of the Office of Juvenile Justice and Delinquency Prevention National Mentoring Programs Grants Awarded to the National Council of Young Men's Christian Associations of the USA, Chicago, Illinois	9/20/2017	OJP	1	We recommend that OJP coordinate with Y-USA to address the potential conflict of interest with having YMCA of San Francisco as both a contractor and subrecipient.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				2	We recommend that OJP remedy the \$1,588,614 in unallowable advances to grant subrecipients.	FY 2019	Not applicable. Implementation is in progress.	58,192	1,530,422	-	-

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GR-50-17-005	Audit of the Office of Juvenile Justice and Delinquency Prevention National Mentoring Programs Grants Awarded to the National Council of Young Men's Christian Associations of the USA, Chicago, Illinois	9/20/2017	OJP	4	We recommend that OJP ensure Y-USA implements procedures to monitor budget expenditures by category to determine if transfers have exceeded the 10 percent threshold.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				6	We recommend that OJP ensure that Y-USA establishes procedures to ensure that subrecipients comply with Single Audit Act requirements and take appropriate action on relevant findings in subrecipient audit reports.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				7	We recommend that OJP remedy the \$72,479 in unsupported subrecipient expenditures charged to the grant.	FY 2019	Not applicable. Implementation is in progress.	1,007	71,472	-	-
				8	We recommend that OJP Remedy the \$1,965 in unsupported contractor expenses charged to the grant.	FY 2019	Not applicable. Implementation is in progress.	123	1,842	-	-
				12	We recommend that OJP require Y-USA to ensure that subrecipients that have not reported background check expenditures are following Y-USA policies for ensuring that mentors receive appropriate background checks.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
GR-50-17-006	Audit of the Office of Justice Programs Coordinated Tribal Assistance Solicitation Grants Awarded to the Sac and Fox Tribe of the Mississippi in Iowa, Meskwaki Nation, Tama, Iowa	9/27/2017	OJP	1	We recommend that the Office of Justice Programs ensure that the Sac and Fox Tribe develops a process to maintain the documentation used to prepare the progress reports and establishes procedures that will produce auditable source documentation to support all data collected for each performance measure reported to OJJDP and BJA.	OJP management reported to OIG on 12/11/2018 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				2	We recommend that the Office of Justice Programs ensure that the Sac and Fox Tribe develops and implements adequate financial policies and procedures, as well as grant management policies and procedures to ensure compliance with OJP requirements.	OJP management reported to OIG on 12/11/2018 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				3	We recommend that the Office of Justice Programs ensure that the Sac and Fox Tribe implements and adheres to written procurement policies and procedures including a requirement that contractors and consultants have written agreements in place prior to expending grant funds on any services.	OJP management reported to OIG on 12/11/2018 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-

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GR-50-17-006	Audit of the Office of Justice Programs Coordinated Tribal Assistance Solicitation Grants Awarded to the Sac and Fox Tribe of the Mississippi in Iowa, Meskwaki Nation, Tama, Iowa	9/27/2017	OJP	4	We recommend that the Office of Justice Programs ensure that the Sac and Fox Tribe develops and implements policies and procedures to adequately track expenditures by approved budget categories.	OJP management reported to OIG on 12/11/2018 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				5	We recommend that the Office of Justice Programs remedy the \$10,164 in excess drawdowns on the BJA Justice Systems and Alcohol and Substance Abuse grant.	OJP management reported to OIG on 12/11/2018 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	10,164	-	-
				6	We recommend that the Office of Justice Programs ensure that the Sac and Fox Tribe develops, distributes, and implements policies and procedures that incorporate OJP's cash management requirements.	OJP management reported to OIG on 12/11/2018 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				7	We recommend that the Office of Justice Programs ensure that the Sac and Fox Tribe develops and implements grant management policies and procedures for preparing accurate FFRs.	OJP management reported to OIG on 12/11/2018 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
GR-60-14-001	Audit of the Office on Violence Against Women Grants and Cooperative Agreement Awarded to the New Mexico Coalition of Sexual Assault Programs, Inc., Albuquerque, New Mexico	10/21/2013	OVW	7 a	Remedy \$54,683 in unsupported personnel expenditures.	FY 2019	Not applicable. Implementation is in progress.	-	54,683	-	-
				b	Remedy \$5,730 in unallowable personnel expenditures.	FY 2019	Not applicable. Implementation is in progress.	-	5,730	-	-
				c	Remedy \$9,154 in unsupported fringe benefit expenditures.	FY 2019	Not applicable. Implementation is in progress.	-	9,154	-	-
				d	Remedy \$3,792 in unallowable fringe benefit expenditures.	FY 2019	Not applicable. Implementation is in progress.	-	3,792	-	-
				8	Remedy the \$375,939 in unallowable compensation for multiple full-time salaries paid to the same employees.	FY 2019	Not applicable. Implementation is in progress.	-	375,939	-	-
				9 a	Remedy the \$69,769 in unsupported other direct costs.	FY 2019	Not applicable. Implementation is in progress.	-	69,769	-	-
				b	Remedy the \$46,253 in unallowable other direct costs.	FY 2019	Not applicable. Implementation is in progress.	-	46,253	-	-
GR-60-14-004	Audit of the Office on Violence Against Women Grants Awarded to Our Sister's Keeper Coalition, Durango, Colorado	3/4/2014	OVW	4	Remedy the \$16,514 in drawdowns in excess of expenditures.	FY 2019	Not applicable. Implementation is in progress.	-	16,514	-	-
				6	Remedy the \$64,292 in unsupported personnel expenditures.	FY 2019	Not applicable. Implementation is in progress.	-	64,292	-	-
				7	Remedy the \$12,632 in unallowable personnel expenditures.	FY 2019	Not applicable. Implementation is in progress.	-	12,632	-	-

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GR-60-14-004	Audit of the Office on Violence Against Women Grants Awarded to Our Sister's Keeper Coalition, Durango, Colorado	3/4/2014	OVW	8	Remedy the \$92,914 in unsupported direct cost expenditures.	FY 2019	Not applicable. Implementation is in progress.	-	92,914	-	-
				9	Remedy the \$23,046 in unallowable direct cost expenditures.	FY 2019	Not applicable. Implementation is in progress.	-	23,046	-	-
GR-60-14-011	Audit of the OVW Rural Domestic Violence, Sexual Assault, and Stalking Assistance Program Grant Awarded to the Crisis Center For Domestic Abuse and Sexual Assault, Fremont, Nebraska	5/22/2014	OVW	1	Remedy the \$163,028 in unsupported personnel costs.	FY 2019	Not applicable. Implementation is in progress.	-	163,028	-	-
				5	Remedy the \$10,273 in reimbursements for unsupported subgrantee personnel costs.	FY 2019	Not applicable. Implementation is in progress.	-	10,273	-	-
GR-60-15-002	Audit of the Office on Violence Against Women Awarded to the Advocates Against Family Violence Caldwell, Idaho	12/15/2014	OVW	1	Remedy the \$166,469 in excess drawdowns.	FY 2019	Not applicable. Implementation is in progress.	-	166,469	-	-
				2	Remedy the \$29,583 in unsupported costs due to missing files or inadequate documentation.	FY 2019	Not applicable. Implementation is in progress.	-	29,583	-	-
				3	Remedy the \$1,096 in unallowable costs related to IRS fines.	FY 2019	Not applicable. Implementation is in progress.	-	1,096	-	-
				4	Remedy the \$6,758 in unallowable unbudgeted personnel costs.	FY 2019	Not applicable. Implementation is in progress.	-	6,758	-	-
GR-60-15-005	Audit of the Office on Violence Against Women Grants Awarded to the Osage Nation of Oklahoma, Pawhuska, Oklahoma	1/27/2015	OVW	2	Remedy the \$43,371 in remaining unallowable other direct costs.	FY 2019	Not applicable. Implementation is in progress.	-	43,371	-	-
GR-60-15-007	Audit of the Office on Violence Against Women Grant Awarded to the Denver Center for Crime Victims, Denver, Colorado	2/18/2015	OVW	4 a	Remedy the \$71,414 in unsupported salary costs.	FY 2019	Not applicable. Implementation is in progress.	-	71,414	-	-
				b	Remedy the \$37,754 in unsupported fringe benefit costs.	FY 2019	Not applicable. Implementation is in progress.	-	37,754	-	-
				c	Remedy the \$1,582 in unsupported other direct costs.	FY 2019	Not applicable. Implementation is in progress.	-	1,582	-	-
				d	Remedy the \$52,777 in unsupported contractual costs.	FY 2019	Not applicable. Implementation is in progress.	-	52,777	-	-
				e	Remedy the \$70,091 in unsupported TI Center costs.	FY 2019	Not applicable. Implementation is in progress.	-	70,091	-	-
				5 a	Remedy the \$9,756 in unallowable salary costs.	FY 2019	Not applicable. Implementation is in progress.	-	9,756	-	-
				b	Remedy the \$5,900 in unallowable fringe benefit costs.	FY 2019	Not applicable. Implementation is in progress.	-	5,900	-	-
				c	Remedy the \$6,010 in unallowable other direct costs.	FY 2019	Not applicable. Implementation is in progress.	-	6,010	-	-
d	Remedy the \$32,076 in unallowable contractual costs.	FY 2019	Not applicable. Implementation is in progress.	-	32,076	-	-				

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GR-60-15-007	Audit of the Office on Violence Against Women Grant Awarded to the Denver Center for Crime Victims, Denver,	2/18/2015	OVW	5 e	Remedy the \$12,753 in unallowable TI Center costs.	FY 2019	Not applicable. Implementation is in progress.	-	12,753	-	-
GR-60-15-008	Audit of the Office on Violence Against Women Grants Awarded to the Utah Domestic Violence Advisory Council, Salt Lake City, Utah	4/20/2015	OVW	4 b	We recommend that OVW remedy the \$12,065 in drawdowns not supported by the UDVAC accounting records.	FY 2019	Not applicable. Implementation is in progress.	-	12,065	-	-
				5 a	We recommend that OVW remedy the Unallowable contractor charges totaling \$78,881 charged to Grant Number 2011-WR-AX-0008.	FY 2019	Not applicable. Implementation is in progress.	-	78,881	-	-
				b	We recommend that OVW remedy unallowable personnel and audit expenses totaling \$3,628 charged to Grant Number 2012-DW-AX-0029.	FY 2019	Not applicable. Implementation is in progress.	-	3,628	-	-
				c	We recommend that OVW remedy drawdowns totaling \$12,065 that were made for expenses incurred after the end of the project period.	FY 2019	Not applicable. Implementation is in progress.	-	12,065	-	-
				7	We recommend that OVW coordinate with the UDVAC to retroactively apply methodology to determine the amount of program income generated by each award, and to require that the UDVAC provide documentation to support that the applicable program income was spent in accordance with the terms and conditions of the OVW Financial Grants Management Guide. If UDVAC officials are unable to demonstrate that the program income was used in an allowable manner, then the associated income should be refunded to the OVW as appropriate.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
GR-60-15-015	Audit of the Office of Justice Programs Correctional Systems and Correctional Alternatives on Tribal Lands Program Grants Awarded to the Navajo Division of Public Safety, Window Rock, Arizona	9/28/2015	OJP	2	We recommend that OJP remedy the \$656,921 in unsupported questioned costs for Grant Number 2009-ST-B9-0089.	FY 2019	Not applicable. Implementation is in progress.	194,189	462,732	-	-
				3	We recommend that OJP remedy the \$2,554,924 in unallowable questioned costs for Grant Numbers 2009-ST-B9-0089 and 2009-ST-B9-0100.	FY 2019	Not applicable. Implementation is in progress.	648,332	1,906,592	-	-
				9	We recommend that OJP remedy \$32,034,623 in unallowable expenditures associated with excessive building sizes for Grant Numbers 2009-ST-B9-0089 and 2009-ST-B9-0100.	FY 2020	Not applicable. Implementation is in progress.	-	32,034,623	-	-
GR-60-16-002	Audit of the Office on Violence Against Women Grants Awarded to the Dawson County Domestic Violence Program, Glendive, Montana	11/9/2015	OVW	2 a	We recommended that OVW remedy the \$3,975,716 in drawdowns claimed based on unreliable accounting records.	FY 2019	Not applicable. Implementation is in progress.	-	3,975,716	-	-

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GR-60-16-002	Audit of the Office on Violence Against Women Grants Awarded to the Dawson County Domestic Violence Program, Glendive, Montana	11/9/2015	OVW	2 b	We recommended that OVW remedy the \$872,246 in grant reimbursements expended on personnel costs that are not supported by detailed time and attendance records.	FY 2019	Not applicable. Implementation is in progress.	-	872,246	-	-
				c	We recommend that OVW remedy the \$45,840 in grant reimbursements claimed for costs which are unsupported by grant documentation.	FY 2019	Not applicable. Implementation is in progress.	-	45,840	-	-
				d	We recommend that OVW remedy the \$199,427 in grant reimbursements claimed for expenditures for which supporting documentation has been destroyed.	FY 2019	Not applicable. Implementation is in progress.	-	199,427	-	-
				3	We recommend that OVW remedy the \$44,311 in grant reimbursements claimed for personnel costs not in the OVW-approved budgets.	FY 2019	Not applicable. Implementation is in progress.	-	44,311	-	-
				4	We recommend that OVW remedy the \$30,353 in grant reimbursements claimed for costs that were unallowable under the terms and conditions of the awards.	FY 2019	Not applicable. Implementation is in progress.	-	30,353	-	-
				5	We recommend that OVW remedy the \$29,675 in grant reimbursements claimed for rent the DCDV paid to itself for a building it owns.	FY 2019	Not applicable. Implementation is in progress.	-	29,675	-	-
GR-60-16-005	Audit of the Office of Justice Programs Multi-Purpose Grants Awarded to the Lower Brule Sioux Tribe, Lower Brule, South Dakota	3/22/2016	OJP	2 a	We recommend that OJP remedy the \$50,875 in unallowable expenditures which were not budgeted.	FY 2019	Not applicable. Implementation is in progress.	37,881	12,994	-	-
				b	We recommend that OJP remedy the \$656 in unallowable personnel fringe benefits that were not budgeted.	FY 2019	Not applicable. Implementation is in progress.	-	656	-	-
				c	We recommend that OJP remedy the \$150,331 in unallowable personnel salaries that were not budgeted.	FY 2019	Not applicable. Implementation is in progress.	-	150,331	-	-
				d	We recommend that OJP remedy the \$33,397 in unallowable indirect costs that exceeded the approved budget.	FY 2019	Not applicable. Implementation is in progress.	-	33,397	-	-
				f	We recommend that OJP remedy the \$549,324 in unallowable costs that were incurred prior to the approval by the Office of the Chief Financial Officer through a Grant Adjustment Notice.	FY 2019	Not applicable. Implementation is in progress.	-	549,324	-	-
				g	We recommend that OJP remedy the \$427,429 in unallowable draws that were incurred prior to the approval by the Office of the Chief Financial Officer through a Grant Adjustment Notice.	FY 2019	Not applicable. Implementation is in progress.	-	427,429	-	-

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GR-60-16-005	Audit of the Office of Justice Programs Multi-Purpose Grants Awarded to the Lower Brule Sioux Tribe, Lower Brule, South Dakota	3/22/2016	OJP	2 h	We recommend that OJP remedy the \$159,272 in unallowable transfers that exceeded the limits of the 10 percent rule.	FY 2019	Not applicable. Implementation is in progress.	-	159,272	-	-	
GR-60-16-006	Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalition Program Grants Awarded to the Native Women's Society of the Great Plains, Eagle Butte, South Dakota	4/6/2016	OVW	5 a	We recommend that the OVW remedy \$387 in unallowable grant expenditures overpaid for wages paid to employees.	FY 2019	Not applicable. Implementation is in progress.	-	387	-	-	
					b	We recommend that the OVW remedy the \$30 in unallowable grant expenditures overpaid for fringe benefits for employees.	FY 2019	Not applicable. Implementation is in progress.	-	30	-	-
					c	We recommend that the OVW remedy the \$33,840 in unallowable unbudgeted general liability and health insurance costs.	FY 2019	Not applicable. Implementation is in progress.	-	33,840	-	-
					d	We recommend that the OVW remedy the \$677 in unallowable grant expenditures overpaid to consultants.	FY 2019	Not applicable. Implementation is in progress.	-	677	-	-
					e	We recommend that the OVW remedy the \$133 in unallowable grant expenditures overpaid for travel.	FY 2019	Not applicable. Implementation is in progress.	-	133	-	-
					f	We recommend that OVW remedy the \$422 in unallowable grant expenditures incurred after the grant end date for Grant Number 2008-IW-AX-0005.	FY 2019	Not applicable. Implementation is in progress.	-	422	-	-
					g	We recommend that OVW remedy the \$47 in unallowable grant expenditures outside the scope of Grant Number 2008-IW-AX-0005.	FY 2019	Not applicable. Implementation is in progress.	-	47	-	-
					h	We recommend that OVW remedy the \$1,761 in unallowable unbudgeted audit preparation costs.	FY 2019	Not applicable. Implementation is in progress.	-	1,761	-	-
					i	We recommend that the OVW remedy the \$2,649 in unallowable grant expenditures incorrectly charged to Grant Number 2014-IW-AX-0006.	FY 2019	Not applicable. Implementation is in progress.	-	2,649	-	-
					j	We recommend that OVW remedy the \$7,294 in funds drawn down in excess of grant expenditures for Grant Number 2008-IW-AX-0005.	FY 2019	Not applicable. Implementation is in progress.	-	7,294	-	-
6 a					We recommend that the OVW remedy the \$387 in grant expenditures paid for personnel costs for employees with insufficient documentation.	FY 2019	Not applicable. Implementation is in progress.	-	387	-	-	
					b	We recommend that OVW remedy the \$30 in grant expenditures paid for fringe benefit costs for employees with insufficient documentation.	FY 2019	Not applicable. Implementation is in progress.	-	30	-	-

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GR-60-16-006	Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalition Program Grants Awarded to the Native Women's Society of the Great Plains, Eagle Butte,	4/6/2016	OVW	6 c	We recommend that OVW remedy the \$84,383 for grant expenditures with insufficient documentation incurred while under the fiscal agent.	FY 2019	Not applicable. Implementation is in progress.	-	84,383	-	-
				d	We recommend that OVW remedy the \$11,461 in grant expenditures for consultant expenses with insufficient documentation.	FY 2019	Not applicable. Implementation is in progress.	-	11,461	-	-
				e	We recommend that OVW remedy the \$20,260 for grant expenditures incurred for travel with insufficient documentation.	FY 2019	Not applicable. Implementation is in progress.	-	20,260	-	-
				f	We recommend that OVW remedy the \$7,478 in grant expenditures for public awareness items with insufficient documentation.	FY 2019	Not applicable. Implementation is in progress.	-	7,478	-	-
				g	We recommend that OVW remedy the \$1,831 in grant expenditures for computer equipment with insufficient documentation.	FY 2019	Not applicable. Implementation is in progress.	-	1,831	-	-
				h	We recommend that OVW remedy the \$4,968 in grant expenditures for audit preparation with insufficient documentation.	FY 2019	Not applicable. Implementation is in progress.	-	4,968	-	-
GR-60-16-009	Audit of the Office on Violence Against Women Tribal Government and Rural Domestic Violence Grants Awarded to the Ponca Tribe of Nebraska, Niobrara, Nebraska	9/26/2016	OVW	2 a	We recommend that OVW remedy the \$11,224 in unallowable costs discovered in transaction testing that were unbudgeted.	FY 2019	Not applicable. Implementation is in progress.	-	11,224	-	-
				b	We recommend that OVW remedy the \$8,031 in unallowable costs for stipends to advisory board members that were not budgeted.	FY 2019	Not applicable. Implementation is in progress.	-	8,031	-	-
				c	We recommend that OVW remedy the \$4,905 in unallowable costs for recognition pay to program employees that were not budgeted.	FY 2019	Not applicable. Implementation is in progress.	-	4,905	-	-
				d	We recommend that OVW remedy \$6,682 in unallowable costs for insurance premiums for general liability, auto, and property insurance that were not budgeted.	FY 2019	Not applicable. Implementation is in progress.	-	6,682	-	-
				e	We recommend that OVW remedy \$2,343 in unallowable costs for property taxes that were not budgeted.	FY 2019	Not applicable. Implementation is in progress.	-	2,343	-	-
				f	We recommend that OVW remedy the \$56,902 in unallowable personnel costs and fringe benefits for an office support worker that was not budgeted.	FY 2019	Not applicable. Implementation is in progress.	-	56,902	-	-
GR-60-16-010	Audit of the Office of Justice Programs National Institute of Justice Cooperative Agreements Awarded to the University of Rhode Island, Kingston, Rhode Island	9/27/2016	OJP	1 c	We recommended that OJP remedy the \$216,469 in unallowable personnel costs.	FY 2019	Not applicable. Implementation is in progress.	189,290	27,179	-	-

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GR-60-17-004	Audit of the Office on Violence Against Women and Office of Justice Programs Cooperative Agreements Awarded to the National Domestic Violence Hotline, Austin, Texas	12/14/2016	OVW	1 a	We recommend that OVW remedy the \$2,151 in unallowable personnel costs.	FY 2019	Not applicable. Implementation is in progress.	-	2,151	-	-
				b	We recommend that OVW remedy the \$38,997 in unallowable contractor and consultant costs.	FY 2019	Not applicable. Implementation is in progress.	-	38,997	-	-
				c	We recommend that OVW remedy the \$2,576 in unallowable other direct costs.	FY 2019	Not applicable. Implementation is in progress.	-	2,576	-	-
				2 a	We recommend that OVW remedy the \$496,659 in unsupported personnel costs.	FY 2019	Not applicable. Implementation is in progress.	-	496,659	-	-
				b	We recommend that OVW remedy the \$70,651 in unsupported contractor/consultant costs.	FY 2019	Not applicable. Implementation is in progress.	-	70,651	-	-
				c	We recommend that OVW remedy the \$83 in unsupported other direct costs.	FY 2019	Not applicable. Implementation is in progress.	-	83	-	-
				GR-60-17-008	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	5/10/2017	OJP	6	We recommend that OJP ensure that FBIC completes all planned objectives for Grant Number 2012-IC-BX-0007.	FY 2019	Not applicable. Implementation is in progress.
7 a	We recommend that OJP assess the FBIC's ability to complete the program goals prior to the end of the award and ensure program sustainability for Grant Number 2014-CZ-BX-0013.	FY 2019	Not applicable. Implementation is in progress.					-	-	-	-
b	We recommend that OJP assess the FBIC's ability to complete the program goals for Grant Number 2015-AC-BX-0011 prior to the end of the award.	FY 2019	Not applicable. Implementation is in progress.					-	-	-	-
8 a	We recommend that OJP remedy the \$3,100 in unsupported personnel and fringe costs.	FY 2019	Not applicable. Implementation is in progress.					-	3,100	-	-
b	We recommend that OJP remedy the \$14,163 in unallowable contractual prosecution services.	FY 2019	Not applicable. Implementation is in progress.					-	14,163	-	-
c	We recommend that OJP remedy the \$12,421 in unallowable other direct costs.	FY 2019	Not applicable. Implementation is in progress.					-	12,421	-	-
d	We recommend that OJP remedy the \$5,232 in unallowable indirect costs.	FY 2019	Not applicable. Implementation is in progress.					-	5,232	-	-
9 a	We recommend that OJP remedy the \$6,092 in unsupported personnel and fringe costs.	FY 2019	Not applicable. Implementation is in progress.					-	6,092	-	-
b	We recommend that OJP remedy the \$49,583 in unsupported contractual prosecution services.	FY 2019	Not applicable. Implementation is in progress.					-	49,583	-	-
c	We recommend that OJP remedy the \$2,161 in unsupported other direct costs.	FY 2019	Not applicable. Implementation is in progress.					-	2,161	-	-
d	We recommend that OJP remedy the \$30,042 in unsupported excess drawdowns.	FY 2019	Not applicable. Implementation is in progress.					-	30,042	-	-

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GR-60-17-008	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	5/10/2017	OJP	10	We recommend that OJP ensure that the FBIC has procedures to ensure that progress reports are accurate and supported and, for awards after December 2014, document each performance measure required by the program and those specified in the solicitation and award documents.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-	
				11	We recommend that OJP ensure that FBIC has procedures to ensure compliance with all special conditions.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-	
				12	We recommend that OJP ensure that FBIC has a process to ensure grant funds are only paid to recipients that are eligible to receive federal funding.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-	
				13	We recommend that OJP ensure that FBIC has a process to ensure employee time allocated to multiple grants is properly supported.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-	
				14	We recommend that OJP ensure that FBIC has policy and procedures to monitor and document contractor performance as to ensure that contractors adhere to the terms of the contract.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-	
				15	We recommend that OJP ensure that FBIC has a process to ensure that federal cash on hand is the minimum needed for disbursements to made immediately or within 10 days and, if the funds are not spent or disbursed within 10 days, the FBIC must return them to the awarding agency.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-	
				OVW	1	We recommend that OVW coordinate with the FBIC to ensure that it is adequately attaining, tracking, and maintaining documentation of goals and objectives for future awards.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				2 a	We recommend that OVW remedy the \$60,174 in unallowable contractual prosecution services.	FY 2019	Not applicable. Implementation is in progress.	-	60,174	-	-	
				b	We recommend that OVW remedy the \$906 in unallowable other direct costs related to travel.	FY 2019	Not applicable. Implementation is in progress.	-	906	-	-	
				c	We recommend that OVW remedy the \$455 in unallowable indirect costs.	FY 2019	Not applicable. Implementation is in progress.	-	455	-	-	
				3 a	We recommend that OVW remedy the \$215,000 in unsupported contractual prosecution services in which competition requirements were not documented.	FY 2019	Not applicable. Implementation is in progress.	-	215,000	-	-	

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GR-60-17-008	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	5/10/2017	OVW	3 b	We recommend that OVW remedy the \$62,500 in contractual prosecution services that were incurred without an active contract.	FY 2019	Not applicable. Implementation is in progress.	-	62,500	-	-
				c	We recommend that OVW remedy the \$25,000 in contractual prosecution service transactions that were not adequately documented.	FY 2019	Not applicable. Implementation is in progress.	-	25,000	-	-
				d	We recommend that OVW remedy the \$2,694 in unsupported other direct costs related to supplies.	FY 2019	Not applicable. Implementation is in progress.	-	2,694	-	-
				4 a	We recommend that OVW remedy the \$10,000 in prohibited contractual prosecution services questioned as funds to better use.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	10,000
				b	We recommend that OVW remedy the \$1,897 in prohibited indirect costs questioned as funds to better use.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	1,897
				c	We recommend that OVW remedy the \$44,277 in unobligated award funds questioned as funds to better use.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	44,277
				d	We recommend that OVW remedy the \$3,989 in unreimbursed award expenditures questioned as funds to better use.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	3,989
				10	We recommend that OVW ensure the FBIC has procedures to ensure that progress reports are accurate and supported and, for awards after December 2014, document each performance measure required by the program and those specified in the solicitation and award documents.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				11	We recommend that OVW ensure that FBIC has procedures to ensure compliance with all special conditions.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				12	We recommend that OVW ensure that FBIC has a process to ensure grant funds are only paid to recipients that are eligible to receive federal funding.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				13	We recommend that OVW ensure that FBIC has a process to ensure employee time allocated to multiple grants is properly supported.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				14	We recommend that OVW ensure that FBIC has policy and procedures to monitor and document contractor performance as to ensure that contractors adhere to the terms of the contract.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-

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GR-60-17-008	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	5/10/2017	OVW	15	We recommend that OVW ensure that FBIC has a process to ensure that federal cash on hand is the minimum needed for disbursements to be made immediately or within 10 days and, if the funds are not spent or disbursed within 10 days, the FBIC must return them to the awarding agency.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
GR-60-17-010	Audit of the Office of Justice Programs Office for Victims of Crime Cooperative Agreements Awarded to Colorado Legal Services, Denver, Colorado	7/18/2017	OJP	3	We recommend that OJP remedy \$1,396 in unallowable consultant costs.	FY 2019	Not applicable. Implementation is in progress.	-	1,396	-	-
				7	We recommend that OJP remedy \$10,021 in unsupported consultant costs.	FY 2019	Not applicable. Implementation is in progress.	-	10,021	-	-
GR-60-18-001	Audit of the Office of Justice Programs Awards to the Kaw Nation, Kaw City, Oklahoma	12/18/2017	OJP	1	We recommend that OJP remedy \$32,044 in early spending relating to special conditions for Grant Number 2013-VF-GX-K017.	FY 2019	Not applicable. Implementation is in progress.	-	32,044	-	-
				2	We recommend that OJP remedy \$348 in indirect costs charged incorrectly to Grant Number 2013-VF-GX-K017.	FY 2019	Not applicable. Implementation is in progress.	-	348	-	-
GR-60-18-002	Audit of the Office of Justice Programs Grants and Cooperative Agreements Awarded to the Pueblo of Jemez, Sandoval County, New Mexico	12/19/2017	OJP	1	We recommend that OJP coordinate with Jemez to develop policies and procedures to ensure that valid and auditable source documentation is available to support all data collected for each performance measure.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				3	We recommend that OJP remedy the \$157,192 in unsupported questioned costs related to payments to Contractor and Consultant Costs and Other Direct Costs.	FY 2019	Not applicable. Implementation is in progress.	-	157,192	-	-
				4	We recommend that OJP remedy the \$2,969 remaining of the original \$3,464 in unallowable questioned costs related to Other Direct Costs.	FY 2019	Not applicable. Implementation is in progress.	2,416	553	-	-
GR-60-18-003	Audit of the Office of Justice Programs Grants Awarded to Family Pathfinders of Tarrant County, Inc., Fort Worth, Texas	12/20/2017	OJP	1	We recommend that OJP remedy the \$68,113 in unallowable questioned costs related to \$49,932 in unallowable personnel costs, \$11,332 in unallowable contractor and consultant costs, and \$6,849 in unallowable other direct costs.	FY 2019	Not applicable. Implementation is in progress.	-	68,113	-	-
GR-70-11-001	Audit of the Community Oriented Policing Services Technology Grant Awarded to Nassau County, Mineola, New York	1/10/2011	COPS	1	Remedy the \$9,076,609 in unsupported grant-funded contractor expenditures claimed by Nassau and the related drawdowns of grant funding.	FY 2019	Not applicable. Implementation is in progress.	-	9,076,609	-	-
				2	Remedy the \$9,076,609 in unallowable grant-funded contractor expenditures claimed by Nassau and the related drawdowns of grant funding.	FY 2019	Not applicable. Implementation is in progress.	-	9,076,609	-	-

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GR-70-11-001	Audit of the Community Oriented Policing Services Technology Grant Awarded to Nassau County, Mineola,	1/10/2011	COPS	3	Remedy the \$1,278 in unsupported overtime expenditures.	FY 2019	Not applicable. Implementation is in progress.	-	1,278	-	-				
				4	Remedy the \$2,468,129 in excess drawdowns that are unrelated to the grant award.	FY 2019	Not applicable. Implementation is in progress.	-	2,468,129	-	-				
				5	Remedy the \$2,066,564 deficiency in the match requirement.	FY 2019	Not applicable. Implementation is in progress.	-	2,066,564	-	-				
				6	Remedy the \$1,531,142 in unallowable local match expenditures that are unrelated to the grant award.	FY 2019	Not applicable. Implementation is in progress.	-	1,531,142	-	-				
				7	Continue monitoring the grant to ensure grant objectives are met.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-				
				8	Ensure that the Nassau County Police Department implements policies and procedures to adequately administer grant funding that address our concerns over the related internal controls. These include, but should not be limited to the following: obtaining written approval from COPS prior to making significant changes to grant budgets, adequately supporting expenditures and drawdowns, identifying grant-related expenditures acquired with unrelated expenditures in a single procurement, and submitting financial and progress reports that are timely, accurate, complete, and adequately supported.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-				
				9	Ensure that equipment purchased with grant funding is identified and included in a property management system as required.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-				
				GR-70-12-005	Audit of the Office of Community Oriented Policing Services Grant Awarded to the City of Wilmington, Delaware	5/11/2012	COPS	1	Remedy the \$2,990,985 in expenditures that were unsupported as a result of deficiencies related to contract competition, equipment, and an electrical study.	FY 2019	Not applicable. Implementation is in progress.	-	2,990,985	-	-
								4	Ensure grant-funded equipment is properly recorded and reconciled to a physical inventory at least once every 2 years in accordance with the federal regulations.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
GR-70-12-007	Audit of the Office of Community Oriented Policing Services Grant to the City of Newark, New Jersey	7/10/2012	COPS	1 a	Remedy unallowable expenditures resulting from project changes that were not approved by COPS and failure to achieve the voice communication objective of the grant (net project costs of \$3,539,432).	FY 2019	Not applicable. Implementation is in progress.	-	3,539,432	-	-				

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GR-70-12-007	Audit of the Office of Community Oriented Policing Services Grant to the City of Newark, New Jersey	7/10/2012	COPS	1 b	Remedy unallowable expenditures resulting from purchase of equipment not competitively procured and not authorized for purchase under the New Jersey Cooperative Purchasing Program (net expenditures of \$2,777,569).	FY 2019	Not applicable. Implementation is in progress.	-	2,777,569	-	-
				c	Remedy unallowable expenditures resulting from purchase of a mobile communications command center vehicle procured in a manner that likely hindered an open and competitive bid process (\$626,221).	FY 2019	Not applicable. Implementation is in progress.	-	626,221	-	-
				d	Remedy unallowable expenditures resulting from purchase of surveillance cameras denied by COPS during the grant budget review (\$62,325).	FY 2019	Not applicable. Implementation is in progress.	-	62,325	-	-
				e	Remedy unallowable expenditures resulting from purchase of a record management system and other computer equipment not related to the project (\$73,316).	FY 2019	Not applicable. Implementation is in progress.	-	73,316	-	-
				2	Remedy the \$2,282,513 in expenditures for equipment not adequately supported or safeguarded by a property management system with periodic inventories.	FY 2019	Not applicable. Implementation is in progress.	-	2,282,513	-	-
				3	Ensure Newark implement and adhere to policies and procedures for submitting timely FSRs and accurate progress reports.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				4	Ensure that Newark implements and adheres to policies and procedures to adequately administer grant funding that address our concerns over the related internal controls. These include, but should not be limited to the following: adhering to procurement regulations, approving grant expenditures in accordance with applicable budgets, and safeguarding equipment.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
GR-70-12-009	Audit of the Office on Violence Against Women Technical Assistance Cooperative Agreements Administered by the Pennsylvania Coalition Against Rape, Enola, Pennsylvania	9/4/2012	OVW	2	Remedy \$298,980 in unsupported consultant costs.	FY 2019	Not applicable. Implementation is in progress.	-	298,980	-	-
GR-70-15-007	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to the Penobscot Indian Nation, Indian Island, Maine	9/30/2015	OJP	1 a	Remedy unsupported expenditures resulting from costs associated with consultant expenditures due to lack of time and effort reports (expenditures of \$157,351) and insufficient time and effort reports (expenditures of \$32,130).	FY 2019	Not applicable. Implementation is in progress.	-	189,481	-	-

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GR-70-15-007	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to the Penobscot Indian Nation, Indian Island, Maine	9/30/2015	OJP	1 b	Remedy unsupported expenditures resulting from costs associated with personnel and fringe benefit expenditures that were not properly supported (expenditures of \$46,061; \$11,372 from the BJA Tribal Courts Assistance Program grant, \$17,023 from the FY 2009 Tribal Youth Program grant, and \$17,666 from the FY 2011 Tribal Youth Program grant).	FY 2019	Not applicable. Implementation is in progress.	8,242	37,819	-	-
				2 a	Remedy unallowable expenditures resulting from costs associated with consultant expenditures where consultant did not enter into an agreement or contract with Penobscot Nation (expenditures of \$157,351) related to the Tribal Youth Program grants and a fringe benefit expenditure that was misclassified and was not approved in the BJA Tribal Courts Assistance Program grant (expenditure of \$2,444),	FY 2019	Not applicable. Implementation is in progress.	-	159,795	-	-
				b	Remedy unallowable expenditures resulting from costs associated with personnel that was not properly supported or approved by OJP (expenditures of \$20,598) related to the FY 2009 Tribal Youth Program, and personnel that was not properly supported or approved by OJP (expenditures of \$18,134) related to the BJA Tribal Courts Assistance Program.	OJP management reported to OIG on 2/15/2019 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	38,732	-	-
				c	Remedy unallowable expenditures resulting from costs associated with travel expenditures that were not approved in compliance with the Penobscot Indian Nation Travel Policies and grant award terms and conditions (expenditures of \$28,102),	FY 2019	Not applicable. Implementation is in progress.	-	28,102	-	-
				d	Remedy unallowable expenditures resulting from costs associated with other costs that was not approved in the grant budget (\$5,120).	FY 2019	Not applicable. Implementation is in progress.	-	5,120	-	-
				e	Remedy unallowable expenditures resulting from costs associated with equipment expenditures related to the BJA Tribal Courts Assistance Program grant that were not procured competitively and based on contract proposal (expenditures of \$44,410).	FY 2019	Not applicable. Implementation is in progress.	-	44,410	-	-
				OVV	4	Remedy the \$1,538 in unsupported expenditures resulting from costs associated with an equipment expenditure that was not properly supported.	FY 2019	Not applicable. Implementation is in progress.	-	1,538	-

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GR-70-15-007	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to the Penobscot Indian Nation, Indian Island, Maine	9/30/2015	OVW	5 a	Remedy costs associated with a consultant that was not approved in the award budget (expenditures of \$10,476) related to the OVW Grants to Indian Tribal Governments grant.	FY 2019	Not applicable. Implementation is in progress.	-	10,476	-	-
				b	Remedy costs associated with personnel and fringe benefit expenditures related to the OVW Grants to Tribal Governments grant that were not approved by OVW (expenditures of \$35,284).	FY 2019	Not applicable. Implementation is in progress.	-	35,284	-	-
				c	Remedy costs associated with travel expenditures that were not approved in compliance with the Penobscot Indian Nation Travel Policies and grant award terms and conditions (expenditures of \$1,160).	FY 2019	Not applicable. Implementation is in progress.	-	1,160	-	-
GR-70-16-005	Audit of the Office of Justice Programs Grant Awarded to the Housing Authority of Plainfield, Plainfield, New Jersey	3/29/2016	OJP	1	Remedy \$244,233 in unsupported grant funds.	FY 2019	Not applicable. Implementation is in progress.	-	244,233	-	-
GR-70-17-001	Audit of the Office of Juvenile Justice and Delinquency Prevention Multi-State Mentoring Initiative Grants Awarded to Amachi, Inc., Philadelphia, Pennsylvania	12/27/2016	OJP	1 a	Remedy \$283,522 in unallowable subrecipient expenditures that were made to a subrecipient for which the Amachi President had a conflict of interest.	FY 2019	Not applicable. Implementation is in progress.	-	283,522	-	-
				b	Remedy \$120,166 in unallowable contract and consultant expenditures that were not procured competitively.	FY 2019	Not applicable. Implementation is in progress.	-	120,166	-	-
				c	Remedy \$17,241 in unreasonable consultant expenditures.	FY 2019	Not applicable. Implementation is in progress.	-	17,241	-	-
				d	Remedy \$3,500 in unallowable consultant expenditures that exceeded the maximum allowable consultant rate without prior approval from OJJDP.	FY 2019	Not applicable. Implementation is in progress.	-	3,500	-	-
				e	Remedy \$18,860 in unsupported consultant expenditures.	OJP management reported to OIG on 11/7/2018 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	9,575	9,285	-	-
g	Remedy \$1,948,194 in subrecipient expenditures that included unsupported personnel, fringe benefits, indirect costs, and second-tier subawards.	OJP management reported to OIG on 11/7/2018 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	1,948,194	-	-				
GR-70-17-002	Audit of the Office on Violence Against Women Encourage Arrest Policies and Enforcement of Protection Orders Program Grants Awarded to Bucks County, Pennsylvania	2/14/2017	OVW	6	Remedy \$626,133 in unsupported personnel and fringe benefits of subrecipient expenditures.	OVW management reported to OIG on 10/24/2018 that OVW had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	626,133	-	-

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GR-70-17-002	Audit of the Office on Violence Against Women Encourage Arrest Policies and Enforcement of Protection Orders Program Grants Awarded to Bucks County, Pennsylvania	2/14/2017	OVW	8	Remedy \$72,000 in unsupported consultant expenses.	OVW management reported to OIG on 10/24/2018 that OVW had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	72,000	-	-
GR-70-17-003	Audit of the Franklin County District Attorney's Office's Equitable Sharing Program Activities, Franklin County, New York	3/8/2017	CRM	1	Remedy \$59,590 in unsupported drug buy expenditures from FY 2011 through FY 2015 as identified.	CRM management reported to OIG on 2/5/2018 that CRM had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	59,590	-	-
				3	Remedy \$43,851 in unsupported overtime expenditures from FY 2011 through FY 2015 as identified.	CRM management reported to OIG on 2/5/2018 that CRM had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	43,851	-	-
				4	Remedy \$73,329 of garage expenditures identified as unsupported.	CRM management reported to OIG on 2/5/2018 that CRM had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	73,329	-	-
				6	Remedy \$110,548 of unsupported supplies and equipment purchased not properly procured under the Franklin County purchasing policy.	CRM management reported to OIG on 2/5/2018 that CRM had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	110,548	-	-
				7	Remedy \$45,061 in unsupported telecommunication's expenditures.	CRM management reported to OIG on 2/5/2018 that CRM had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	45,061	-	-
GR-70-17-004	Audit of the Office of Justice Programs Office for Victims of Crime Assistance Grants Awarded to the Rhode Island Department of Public Safety Grant Administration Office, Providence, Rhode Island	3/15/2017	OJP	2	Ensure that PSGAO enforce its policies and procedures to adequately monitor its subrecipients including any second-tier subrecipients and assess subrecipient compliance with VOCA Program Guidelines.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				3	Ensure that PSGAO adequately monitor its subrecipients to ensure that performance report data is complete and accurate.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				4	Direct PSGAO to ensure that its payroll supporting documentation accurately reflects the correct victim assistance grant to which the expenditures are charged.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				5	Remedy \$39,837 in unsupported subrecipient personnel and fringe benefit expenditures.	FY 2019	Not applicable. Implementation is in progress.	-	39,837	-	-
				6	Remedy \$2,987 in unallowable subrecipient charges.	FY 2019	Not applicable. Implementation is in progress.	-	2,987	-	-
				GR-70-17-005	Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the National Organization of Sisters of Color Ending Sexual Assault Canton, Connecticut	3/28/2017	OVW	1	Ensure that SCESA implements a financial system that corrects significant internal control deficiencies and produces consistent, accurate, reliable, and verifiable reporting.	FY 2019	Not applicable. Implementation is in progress.

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GR-70-17-005	Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the National Organization of Sisters of Color Ending Sexual Assault	3/28/2017	OVW	2	Ensure SCESA remedies \$2,339,435 in unsupported costs representing the full amount of the awards we audited.	FY 2019	Not applicable. Implementation is in progress.	-	2,339,435	-	-
				3	Ensure SCESA develops written policies and procedures to ensure personnel and fringe benefit expenditures are accurately charged to the awards and include timesheets that show evidence of supervisory approval.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				4	Ensure SCESA develops adequate policies and procedures to ensure only allowable costs are charged to awards, and that award expenditures are supported by adequate and verifiable documentation.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				5	Ensure SCESA develops policies and procedures that ensure it completes its Single Audit Report as required, and in a timely manner, so that award funds totaling \$1,208,853 are not unduly placed at risk.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				6	Ensure the Board takes a more active oversight role in monitoring and oversight of the organization's overall financial status, programmatic performance, and ongoing awards, holding the Executive Director accountable for results, and developing and implementing appropriate policies and procedures to guide the operation and administration of the organization.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				GR-70-17-006	Audit of the Office of the Special Narcotics Prosecutor for the City of New York Equitable Sharing Program Activities, New York, New York	4/26/2017	CRM	1	Ensure OSNP's equitable sharing receipts and expenditures are managed using the appropriate accounting system, as required.	CRM management reported to OIG on 1/22/2019 that CRM had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.
2	Ensure the NYDETF sharing agreement and disbursements to OSNP comply with the Guide, including those disbursements that have not been spent by OSNP.	CRM management reported to OIG on 1/22/2019 that CRM had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.					-	-	-	-
3	Ensure OSNP develops policies and procedures to ensure that it maintains an inventory list that accurately reflects accountable property acquired and/or purchased through the DOJ Equitable Sharing Program.	CRM management reported to OIG on 1/22/2019 that CRM had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.					-	-	-	-
GR-70-17-009	Audit of the Office of Justice Programs Bureau of Justice Assistance Cooperative Agreement Awarded to Margolis Healy & Associates, LLC Burlington, Vermont	9/27/2017	OJP	1	Remedy \$1,324,113 in unsupported expenditures resulting from costs associated with: personnel and fringe benefits (\$1,223,091), consultants (\$49,162), travel, equipment, and other direct costs (\$38,360), and subrecipients (\$13,500).	FY 2019	Not applicable. Implementation is in progress.	1,135,204	188,909	-	-

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GR-70-17-009	Audit of the Office of Justice Programs Bureau of Justice Assistance Cooperative Agreement Awarded to Margolis Healy & Associates, LLC Burlington, Vermont	9/27/2017	OJP	2	Remedy \$11,988 in unallowable expenditures resulting from other (\$3,399) and professional fees (\$7,449) that exceeded the maximum allowable consultant rate and an expenditure totaling \$1,140 that was not approved in the budget.	FY 2019	Not applicable. Implementation is in progress.	-	11,988	-	-
				3	Remedy \$24,636 in unsupported drawdowns that was not recorded in MHA's accounting records.	FY 2019	Not applicable. Implementation is in progress.	-	24,636	-	-
GR-70-18-001	Audit of the Office on Violence Against Women Technical Assistance Grants Awarded to Clery Center for Security on Campus, Strafford, Pennsylvania	11/15/2017	OVW	5	Remedy \$1,807 in unallowable indirect cost expenditures.	FY 2019	Not applicable. Implementation is in progress.	-	1,807	-	-
GR-90-15-006	Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California	9/23/2015	OJP	2 d	Remedy \$24,785 in grant reimbursements from Grant Number 2010-IC-BX-K051, \$118,362 in grant reimbursements from Grant Number 2011-IP-BX-K001, and \$93,109 in grant reimbursements from Grant Number 2011-VF-GX-K020 that were paid for indirect costs without an approved indirect cost rate.	FY 2019	Not applicable. Implementation is in progress.	-	236,256	-	-
				e	Remedy \$30,562 in grant reimbursements from Grant Number 2011-IP-BX-K001 and \$30,554 in grant reimbursements from Grant Number 2011-VF-GX-K020 expended prior to the removal of Special Condition Numbers 21 and 40, respectively.	OJP management reported to OIG on 2/25/2019 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	61,116	-	-	-
				3 a	Remedy \$216,460 in grant reimbursements from Grant Number 2011-IP-BX-K001 for payments to a consultant that were not within the requirements of the MOU or OJP Financial Guide.	FY 2019	Not applicable. Implementation is in progress.	74,503	141,957	-	-
				b	Remedy \$18,900 in grant reimbursements from Grant Number 2010-IC-BX-K051 and \$74,508 from Grant Number 2011-VF-GX-K020 for payments to consultants without sufficient time and effort reports.	FY 2019	Not applicable. Implementation is in progress.	58,050	35,358	-	-
				c	Remedy \$9,865 in grant reimbursements from Grant Number 2010-IC-BX-K051, \$43,084 from Grant Number 2011-IP-BX-K001, and \$3,385 from Grant Number 2011-VF-GX-K020 for payments to consultants without an agreement.	FY 2019	Not applicable. Implementation is in progress.	-	56,334	-	-

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GR-90-15-006	Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California	9/23/2015	OJP	4	We recommend that OJP ensure the NIJC has policies and procedures in place to ensure expenses are properly approved before payment; consultant agreements are in place and time and effort reports are submitted to support payments; signature pages are maintained for each training event where per diem is distributed; an indirect cost rate is approved before incurring indirect costs; and compliance with all grant special conditions.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				5	We recommend that OJP remedy the \$43,360 from Grant Number 2010-IC-BX-K051 and \$265 Grant Number 2011-VF-GX-K020 in unallowable expenses related to cumulative transfers between budget categories exceeding the allowable 10-percent.	FY 2019	Not applicable. Implementation is in progress.	265	43,360	-	-
				7	We recommend that OJP remedy \$5,410 in expenditures that were incurred after the grant period ended for Grant Number 2011-VF-GX-K020.	OJP management reported to OIG on 2/25/2019 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	5,410	-	-
				8	We recommend that OJP ensure that the NIJC implements a process to submit FFRs that accurately reflect expenditures for each reporting period.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				9	We recommend that OJP ensure that the NIJC determines the amount of the total tuition and registration fees collected from the training events partially funded with grant funds that should be considered program income for Grant Number 2011-VF-GX-K020 and either uses the program income for grant purposes or returns generated income to OJP.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				10	We recommend that OJP ensure all deliverables are approved and implemented for use by grantees for Grant Number 2011-IP-BX-K001 and work with the NIJC to resolve the Special Condition removal to allow the NIJC to achieve its goals and objectives under the grant.	OJP management reported to OIG on 2/25/2019 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
GR-90-16-003	Audit of the Office on Violence Against Women Grant to Two Feathers Native American Family Services, McKinleyville, California	3/1/2016	OVW	2	We recommend that OVW remedy \$3,304 in questioned costs associated with an unsupported allocation methodology.	FY 2019	Not applicable. Implementation is in progress.	-	3,304	-	-

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GR-90-16-005	Audit of the Office of Justice Programs Human Trafficking and the Office on Violence Against Women Legal Assistance Awards to the Asian Pacific Islander Legal Outreach, San Francisco, California	8/22/2016	OJP	1	We recommend that OJP and OVW ensure that APILO adheres to the grant requirements for financial management systems by providing a separate general ledger for each grant that is not commingled, and support for its Federal Financial Reports and drawdowns.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-	
				2	We recommend that OJP and OVW ensure that APILO identifies actual payroll and associated fringe benefits expenditures charged to each grant, and adequately supports those expenditures with documentation.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-	
				4	We recommend that OJP and OVW ensure that APILO identifies actual costs paid to its subrecipients, provides adequate support for those expenditures and properly supports these invoices with supportable rates that represent costs of actual services.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-	
				5	We recommend that OJP and OVW ensure that APILO strengthens its policy so that it maintains adequate support for its subrecipient payments, only charges approved subrecipient costs to DOJ grants, and properly approves subrecipient invoices prior to payment.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-	
				7	We recommend that OJP and OVW ensure that APILO provides evidence in the form of documentation to OJP and OVW to demonstrate that it is meeting the goals and objectives of the grants.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-	
				9	We recommend that OJP ensure that APILO meets the match requirement and that it adequately supports that match with documentation.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-	
				OVW	1	We recommend that OJP and OVW ensure that APILO adheres to the grant requirements for financial management systems by providing a separate general ledger for each grant that is not commingled, and support for its Federal Financial Reports and drawdowns.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
					2	We recommend that OJP and OVW ensure that APILO identifies actual payroll and associated fringe benefits expenditures charged to each grant, and adequately supports those expenditures with documentation.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-

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GR-90-16-005	Audit of the Office of Justice Programs Human Trafficking and the Office on Violence Against Women Legal Assistance Awards to the Asian Pacific Islander Legal Outreach, San Francisco, California	8/22/2016	OVW	4	We recommend that OJP and OVW ensure that APILO identifies actual costs paid to its subrecipients, provides adequate support for those expenditures and properly supports these invoices with supportable rates that represent costs of actual services.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				5	We recommend that OJP and OVW ensure that APILO strengthens its policy so that it maintains adequate support for its subrecipient payments, only charges approved subrecipient costs to DOJ grants, and properly approves subrecipient invoices prior to payment.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				6	We recommend that OJP and OVW ensure that APILO adheres to OVW's, OJP's, and APILO's own policies and procedures pertaining to the monitoring of subrecipients.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				7	We recommend that OJP and OVW ensure that APILO provides evidence in the form of documentation to OJP and OVW to demonstrate that it is meeting the goals and objectives of the grants.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				10	We recommend that OVW remedy \$500,000 in questioned costs from the Legal Assistance grant.	FY 2019	Not applicable. Implementation is in progress.	-	500,000	-	-
				11	We recommend that OVW ensure that APILO establishes a process to ensure that the statistical information it collects on Progress Reports is accurate.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				GR-90-17-001	Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon	1/9/2017	OVW	3	Remedy \$2,549 in inadequately supported questioned costs relating to grant expenditures.	OVW management reported to OIG on 12/20/2018 that OVW had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.
4	Remedy \$21,311 for unallowable salary and associated fringe benefits.	FY 2019	Not applicable. Implementation is in progress.					-	21,311	-	-
5	Remedy \$24,149 in inadequately supported salary and fringe benefits.	FY 2019	Not applicable. Implementation is in progress.					-	24,149	-	-
6	Remedy \$1,085 in inadequately supported fringe benefits (health insurance premiums).	FY 2019	Not applicable. Implementation is in progress.					-	1,085	-	-
8	Remedy \$284,785 in inadequately supported LGPD and CUPO costs.	FY 2019	Not applicable. Implementation is in progress.					-	284,785	-	-
9 a	Remedy \$4,511 in unallowable Probation Officer costs.	FY 2019	Not applicable. Implementation is in progress.					-	4,511	-	-
b	Remedy \$7,076 in unallowable counselor costs for payments more than the contracted rate.	FY 2019	Not applicable. Implementation is in progress.					-	7,076	-	-

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GR-90-17-001	Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon	1/9/2017	OVW	9 c	Remedy \$6,520 in unallowable counselor costs payments for no-shows and cancellations of scheduled victim counseling sessions.	FY 2019	Not applicable. Implementation is in progress.	-	6,520	-	-	
GR-90-17-006	Audit of Compliance with Standards Governing Combined DNA Index System Activities at the Los Angeles County Sheriff's Department Scientific Services Bureau Crime Laboratory, Los Angeles, California	9/29/2017	FBI	1	Ensure that it implements the required physical access controls to properly track and maintain its distribution of keycards to ensure that all former employee's keycards have been deactivated.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-	
					2	Ensure that all individuals (including LASD personnel, contractors, and volunteers) have appropriate access to the fourth floor, areas within the LASD Laboratory, and to the LASD Laboratory's assets.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
					3	Ensure that the distribution of all keycards are properly documented and limited to personnel designated by laboratory management, including performing a review of all unknown keycards and deactivating duplicate keycards.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
					4	Ensure that the LASD Laboratory strengthen physical security over the CODIS server and client terminals against any unauthorized personnel gaining access to the computer equipment or to any of the stored data.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
					5	Ensure that the LASD Laboratory has adequate physical security measures in place to protect against unauthorized personnel gaining access to any DNA records or data.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
					6	Ensure that the LASD Laboratory adequately performs its internal QAS reviews to verify compliance with each QAS, including ensuring that the distribution of all keycards are current, accurate, clearly documented, and available for review.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
					7	Ensure that all case files contain sufficient information in order to determine CODIS eligibility.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
I2014003	The Drug Enforcement Administration's Adjudication of Registrant Actions	5/20/2014	DEA	2	Establish policy and procedures, including timeliness guidelines for forwarding a case to the Office of the Administrator for final decision when a hearing is waived or terminated.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-	

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MAM	Management Advisory Memorandum: Referring Alleged Misconduct to the Federal Bureau of Investigation's Inspection Division and the Department of Justice's Office of the Inspector General	9/25/2017	FBI	1	Consider immediate actions to ensure the appropriate reporting of allegations of employee misconduct to the INSD and the OIG as required by FBI and Department policies and federal regulations.	FBI management reported to OIG on 1/10/2019 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
MAM-09-06-17	Management Advisory Memorandum: Recommendations for a Department of Justice Policy Establishing Standards for its Security Offices to Review Misconduct Investigations for Security Clearance Adjudications	9/6/2017	ODAG	1	The Department should issue or clarify policies to require DOJ security offices to routinely request relevant misconduct-related materials from relevant DOJ misconduct offices for consideration in connection with security clearance adjudications. Such policies should include a clear definition of relevant misconduct-related materials that ensures that security offices receive the necessary and appropriate information to make fully-informed decisions.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-
				2	The Department should issue or clarify policies to require DOJ misconduct offices to provide such relevant misconduct-related materials to DOJ security offices or OPM to ensure timely and informed security clearance adjudications, whether those materials arise as a result of a misconduct investigation or are requested in connection with a security clearance adjudication.	FY 2019	Not applicable. Implementation is in progress.	-	-	-	-